Title: Design Criteria for Developer Connection to the New York Power Authority Transmission System

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## Design Criteria for Developer Connection to the New York Power Authority Transmission System

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INTRODUCTION

1.1 Intent

The Design Criteria for Developer Connection to the New York Power Authority Transmission System (DCDC) is a guide for Developers interconnecting to the New York Power Authority (NYPA) transmission system. A Developer is defined as any interconnecting entity. The DCDC document is available on the NYPA public website for public viewing/download (http://www.nypa.gov/transmission/default.htm). The DCDC will be reviewed and updated on an annual basis by the NYPA System Planning and Analysis group. Previous versions of this document will be retained in accordance with NYPA’s documentation retention policy.

No guideline can anticipate all the specific requirements for a project to be designed and constructed in the future. Requirements change as regulatory agencies update rules or regulations, or as NYPA practice changes. The Developer shall consider requirements in the DCDC to be the minimum requirements. As they are well-defined relative to other types of interconnections, the detailed requirements for generation projects are the main focus of this document. Network transmission line or radial load interconnections may be subject to similar, or additional, requirements, as may be set forth by NYPA for the specific application. In addition, Developer shall use project-specific interaction with NYPA including correspondence, email, meetings/meeting notes, and Requests for Information/responses to prepare the project-specific design for submittal NYPA. The approved design will govern.

The NYPA system is divided into three major regions: Niagara (NIA), St. Lawrence (STL), and South East New York (SENY). Requirements specific to each region will have differences to some requirements in the DCDC; these shall be incorporated by Developer during detail design.

The Developer shall provide a complete integrated, coordinated design, construction, quality assurance, and documentation process. The design shall consist of coordinated specifications, calculations, drawings, etc. The intent is that when the project is complete the Developer will have provided a facility that meets contractual, regulatory, and NYPA requirements, plus complete Record Drawing and Turn Over Package documentation that NYPA will use to operate and maintain the facility to regulatory requirements and NYPA standards and practice.

NYPA criteria include safety during Construction and Operations, Reliability, Maintainability, Good Utility Practice, and compatibility with NYPA’s Clearance and Protection Procedure (CPP-1).

The Developer shall adhere to NYPA Project Management and Operational Performance Management Group process and policies in execution of the project in conjunction with this DCDC document.

In this document, the word “shall” means that provisions are mandatory. The word “should” indicates provisions that are generally practical. For exceptions to “shall” or “should” provisions, The Developer shall submit specific requests including proposed/recommended resolution and explanation, and obtain NYPA approval prior to proceeding. “Or equal” or “or equivalent” means “or NYPA approved equal/equivalent”;

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“approved” means “NYPA approved”; “including” means “including, but not limited to”. “Switchyard” is used to identify the substation or switchyard which Developer shall provide for NYPA ownership that NYPA will operate subsequent to construction and turnover.

The Developer will construct a switchyard for a new connection to the transmission system. Alternately the Developer will construct facilities in an existing NYPA substation. The Developer’s substation containing generation step-up transformer(s) shall be external to the NYPA switchyard.

References in this document to items to be submitted shall be submitted by Developer to NYPA for NYPA review and approval. NYPA review, comments or approval shall not be construed as confirming, endorsing, or providing a warranty as to the design, fitness, safety, durability or reliability. The Developer shall make such changes as may reasonably be required by NYPA, in accordance with good utility practice, to ensure that the facility is compatible with the technical specifications, operational control, and safety requirements of NYPA. NYPA shall not, by reason of such review or failure to review, be responsible for compliance with codes and/or standards. These responsibilities shall remain the Developer’s obligation.

Items or requirements in this DCDC document do not diminish or supersede requirements of Codes, Standards, New York State Building Code (NYSBC), the Interconnect Agreement (IA) or Developer’s obligations. As Developer encounters conflicts or requires clarification, the Developer shall submit a request for information (RFI) to NYPA for resolution. The Developer shall coordinate requirements and resolve issues.

1.2 Objective

This document includes the design criteria/ minimum requirements for the design and installation of equipment to be connected to the NYPA transmission system at the 115 kV, 230 kV or 345 kV voltage levels. The Developer shall coordinate, plan, design, construct, and test interconnection facilities in compliance with criteria set forth by the NYSBC, New York Independent System Operator (NYISO), New York State Reliability Council (NYSRC), Northeast Power Coordinating Council (NPCC), North American Electric Reliability Corporation (NERC), the Federal Energy Regulatory Commission (FERC), NYPA, and other agencies with jurisdiction.

Approval of the connection concept will be evaluated against statutory, contractual, regulatory, and tariff requirements. The connection configuration shall not result in adverse effects on the NYPA transmission system, NYPA customers’ equipment or the general public, or adversely impact NYPA’s existing contractual rights or obligations.

The design, equipment, installation, and testing shall comply with codes, standards, Good Utility Practice, IA, and NYPA requirements. The developer shall submit the design including equipment and design specifications, drawings, and calculations.
2 SYSTEM REQUIREMENTS

2.1 Impact Studies

All connections to the NYPA transmission system must follow the NYISO Interconnection Process defined in the NYISO Open Access Transmission Tariff (OATT). This process includes filing an Interconnection Request and performing a Feasibility Study, System Reliability Impact Study, and Facilities Study.

2.2 Connection Configuration

The connection configuration shall be submitted.

Connections of facilities to the NYPA transmission system, into a switchyard or a transmission line, shall be in an acceptable configuration:

- The Switchyard connection shall be terminated into an existing switch position as shown in Figure 1 and Figure 2. Each connection requires two associated circuit breakers to permit operation with one circuit breaker out of service. Thus a new connection might be terminated into a new switchyard bay with two new circuit breakers CB1 and CB2 (Figure 1a) or into an expanded existing bay with one additional circuit breaker CB2 or CB3 (Figure 1b).

- Connections to a transmission line shall be terminated in a switchyard. The switchyard for a single Developer connection shall be a ring bus configuration. For example with a three-breaker ring bus configuration, two ends of a segmented transmission line will be connected through circuit breaker CB1 and the facility connected through two circuit breakers, CB2, and CB3 (Figure 2a). In some cases, the addition of a fourth circuit breaker in series with CB1 may be required.
For a switchyard with two Developer connections, the circuit breaker configuration may be a four breaker ring bus (Figure 2), similar to the previous case. In such a configuration the line terminals are connected at the switchyard through CB1 while the facilities are connected to the ring bus by CB2 and CB4 (Facility 1) and by CB3 and CB4 (Facility 2). In a four-breaker ring bus configuration with two connecting facilities, only the failure of CB1 (Figure 2b) results in the shutdown of the transmission line and isolation of the connected facilities. Failure of any other circuit breaker in this configuration leads to the opening of two adjacent circuit breakers and keeps one of the facilities connected to a transmission line terminal. The complete isolation of the facilities during CB1 failure in a four-breaker ring bus can be avoided by installing an additional circuit breaker in series with CB1 (Figure 2c).

The minimum switchyard configuration is a three breaker ring bus in a two-bay configuration. The developer’s submitted configuration shall be reviewed by NYPA and affected transmission owners during the NYISO Interconnection Process and IA negotiation.

### 2.3 Connection Characteristics

Generation facilities shall interface with the NYPA system by means of a transformer or a transformer bank. The facilities shall not cause a reduction in the quality of service provided to NYPA customers. Voltage limits for generators connected to NYPA transmission facilities will be determined by NYISO/NYPA.

Relaying equipment shall provide automatic separation of the facility from the NYPA system. Each connection shall be provided with switching and control devices capable of...
interrupting system short circuit currents at the connection location. The facility shall be equipped with a visual separation from the NYPA system.

A SCADA Remote Terminal Unit (RTU) shall be provided for remote operational control of the station. The RTU shall adhere to NYPS standards NYPA Control Systems. The RTU will provide System Operations personnel control and status monitoring of the circuit breakers, disconnects, station service MODs, protective relaying etc. The RTU shall also have the capability of transmitting analog quantities and revenue metering data. Unless other arrangements are made, the RTU will also serve to provide NYISO control values and flags to the interconnecting generation facilities and will pass Interconnecting Facility status and real time data through to the NYISO via the NYPA Control System. Note that any other requirements for separate NYISO telecommunications are the sole responsibility of the developer.

In addition, a separate data concentrator will be required to collect maintenance data, such as from real time transformer monitoring data for transmission to the NYPA Integrated System Operations Center (ISOC). Other system monitoring requirements may be required as specific to the station equipment.

Reactive compensating devices shall not be installed without the approval of NYPNA / NYISO.

A generating plant shall be able to:

1. Operate continuously within voltage variations of +/-10% for 115 kV system and -10% /+5% for 230 kV and 345 kV systems.
2. Withstand system voltage disturbances in accordance with the time periods and associated voltage levels summarized in Figure 3 (FERC Order 661).
3. Operate within +/-0.95 power factor at the point of connection to the system.

![Figure 3](image-url)
2.4 System Operations

Operating guidelines shall be defined in the Operations Coordination Agreement.

NYISO, in coordination with NYPA, reserves the right to open switching devices without prior notice to Developer for any of the following reasons:

- System emergency or system conditions leading to a possible system emergency.
- Inspection of the connecting facilities or protective equipment reveals a hazardous condition.
- The connecting generating equipment or power transaction interferes with NYPA customers or with operations of the NYISO system.
- Failure to maintain the connecting facilities in accordance with the IA.

Connection equipment between the facility generators and the point of interconnection with the NYPA system shall be inspected and approved for service by NYPA prior to energization.

The Developer is responsible for generator synchronization to the transmission system. The limits established by NYISO for frequency and voltage shall be observed when connecting or disconnecting the generators or station loads from the system.

The Developer shall not be permitted to energize or de-energize NYPA circuits. Energization of the Developer's facility shall be coordinated with NYPA operations. The Developer shall schedule facility outages with NYPA. The Developer's facility shall have controls that are compatible with the controls of the NYPA control area. If Developer's generators remain connected to a de-energized line, Developer's controls shall automatically open the breakers connecting to NYPA's system so that the NYPA switchyard breaker(s) can be reclosed and the circuit reconnected to the supply bus.

Emergency generator(s) connected to the Developer's system shall not operate in parallel with the NYPA system. The Developer's facilities shall not create an energized feedback condition when the NYPA system is de-energized. The electric systems with emergency generators connected must be provided with a 'break before make' transfer switch or other approved method to prevent emergency generator operation in parallel to the NYPA system.
3 SYSTEM DESIGN CHARACTERISTICS

3.1 Electrical System Service Conditions

Main system parameters, meteorological conditions and clearances at the switchyards are summarized in Tables 3.1.1 - 3.1.3.

3.1.1 Electrical System Parameters

<table>
<thead>
<tr>
<th>Nominal Voltage, kV</th>
<th>115</th>
<th>230</th>
<th>345</th>
</tr>
</thead>
<tbody>
<tr>
<td>Maximum Operating Voltage, Umax, kV</td>
<td>126</td>
<td>242</td>
<td>362</td>
</tr>
<tr>
<td>BIL, kV (Clean Insulators)</td>
<td>550</td>
<td>900</td>
<td>1050</td>
</tr>
<tr>
<td>BIL, kV (Contaminated Insulators)</td>
<td>550</td>
<td>1050</td>
<td>1300</td>
</tr>
</tbody>
</table>

Transmission system continuous current and short circuit current ratings are site-specific. These ratings will be specified by NYPA.

3.1.2 Meteorological Conditions

<table>
<thead>
<tr>
<th>Condition</th>
<th>Value</th>
</tr>
</thead>
<tbody>
<tr>
<td>Ambient Temperature Range</td>
<td>-40°C to +40°C</td>
</tr>
<tr>
<td>Average Ambient Temperature</td>
<td>30°C</td>
</tr>
<tr>
<td>Max Bus Temperature Rise</td>
<td>50°C</td>
</tr>
<tr>
<td>Altitude</td>
<td>&lt;1000 m</td>
</tr>
<tr>
<td>Max Gust Velocity</td>
<td>90 mph</td>
</tr>
<tr>
<td>Max Sustained Wind Velocity</td>
<td>15 mph</td>
</tr>
<tr>
<td>Wind Velocity</td>
<td>2 fps</td>
</tr>
<tr>
<td>Keraunic Level</td>
<td>per Keraunic Chart</td>
</tr>
</tbody>
</table>

3.1.3 Minimum Switchyard Clearance Distances

The clearance distances for switchyards are presented as a function of outdoor insulation BIL. For 230kV switchyards 900kV or 1050kV BIL shall be provided; for 345 kV switchyards, 1050kV or 1300kV BIL levels shall be provided, see Section 3.3 B.

<table>
<thead>
<tr>
<th>BIL, kV</th>
<th>550</th>
<th>900</th>
<th>1050</th>
<th>1300</th>
</tr>
</thead>
<tbody>
<tr>
<td>Metal-to-Metal for Rigid Conductors</td>
<td>4'-5&quot;</td>
<td>7'-5&quot;</td>
<td>8'-9&quot;</td>
<td>9'-11&quot;</td>
</tr>
<tr>
<td>Center Line-to-Center Line for Rigid Buses</td>
<td>7'-0&quot;</td>
<td>11'-0&quot;</td>
<td>13'-0&quot;</td>
<td>15'-0&quot;</td>
</tr>
<tr>
<td>Rigid Conductor-to-Grounded Parts</td>
<td>3'-6&quot;</td>
<td>5'-11&quot;</td>
<td>6'-11&quot;</td>
<td>8'-8&quot;</td>
</tr>
<tr>
<td>Bare Overhead Conductor-to-Ground</td>
<td>12'-0&quot;</td>
<td>15'-0&quot;</td>
<td>16'-0&quot;</td>
<td>18'-0&quot;</td>
</tr>
<tr>
<td>Bare Overhead-to-Roadway</td>
<td>30'</td>
<td>34'</td>
<td>34'</td>
<td>37'</td>
</tr>
<tr>
<td>Rigid Bus-to-Roadway</td>
<td>22'</td>
<td>24'</td>
<td>24'</td>
<td>26'</td>
</tr>
</tbody>
</table>

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3.2 Lightning and Switching Overvoltage Protection

A. Lightning protection shall be accomplished by lightning masts and shields installed within the territory of the switchyard. The probability of protection shall be 99%. The lightning masts and shields shall be directly connected to the switchyard ground via a minimum 4/0 soft drawn annealed copper conductor.

B. All equipment installed in the switchyard shall be protected from lightning and switching overvoltage by surge arresters whose rating is determined by insulation coordination studies.

3.3 Insulation Coordination

A. Early in design development Developer shall submit insulation coordination studies including site-specific BIL recommendation. Consider stresses due to lightning, switching surges, and other transient phenomena which may cause overvoltage.

B. For systems operating at 230kV and above, two BIL levels are available for each voltage. In each case, the lower BIL level is acceptable for clean environment sites, while the higher BIL level shall be provided at locations where there is a significant potential for insulation contamination.

3.4 Relaying Protection

A. The Developer shall submit Relaying and Metering single line drawings including step-up transformers and connections to the NYPA system. The drawings shall include major components with specifications and ratings, protective relaying, and instrument transformers with their full and applied tap ratio information used for each protection zone element. Discrete and multi-function protective relays shall be depicted with each activated function and associated input sources and output trip device designations.

B. The protective relaying system shall be designed to coordinate with other segments of the transmission system based on the analysis of system faults and transient stability of the system for various system conditions to attain the required speed of interruption.

C. The relay protection system shall be engineered in accordance with NYPA design standards. Detailed specifications for the interconnection relay protection shall be provided in the project-specific NYPA General Design and Application Guides. The protection systems illustrated on the functional relaying diagram shall include all elements of:

- Transmission line protection
- Transformer and reactor protection
- Bus protection
- Breaker failure protection
- Independent primary and secondary systems from diverse manufacturers for each of the above
- Generator protection

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- Station service transformer protection
- Synchronizing and synch check relaying
- Distribution line protection
- Miscellaneous service protection
- Special Protection Systems or Remedial Action Schemes
- Reclosing
- Stub-bus Protection
- Circuit Breaker Low Gas Pressure Protection

D. The diagrams shall include:
- Point of Interconnection to NYPA system
- Switchyard equipment and ratings
- Relay manufacturers
- Relay models with ANSI device numbers
- Relaying schemes
- Zones of protection
- Associated instrument transformer ratios and accuracy class
- Auxiliary relays and relay communication equipment

E. If the facility is to be connected to an NYPA facility considered part of the Bulk Power System (BPS), the interconnecting facility’s protection system shall comply with Northeast Power Coordinating Council (NPCC) Bulk Power System Protection Criteria and NERC Reliability Standards.

F. The Developer shall design and document the protection systems for all equipment. The protective relay and design for the line, bus, transformer, breaker, and other switchyard equipment for the interconnecting facility shall be submitted for review by NYPA Protection & Control Engineering (PCE) group.

G. Each step-up transformer connected to the NYPA system shall have a delta winding configuration on the high-voltage (NYPA connected) side of the transformer.

H. All protective relay systems shall be fully numerical, microprocessor-based relays with GPS clock time-stamped fault data recovery via sequence of events, waveform capture, and relay watchdog functions.

I. When the interconnection is to the BPS, or if the interconnection is to a critical NYPA circuit, each HV circuit breaker shall be provided with two completely separate breaker failure relaying systems.

J. Depending on the classification of the particular line system configuration and/or conditions, special protection or additional redundant systems may be required.
K. Instrument transformers used for protective relaying shall be of C800 accuracy class. HV circuit breakers shall have dual independent trip coils.

L. The relay cabinet and the panel layouts shall be submitted. Specification for relay panel design will be provided by NYPA in the standard EDS-PCE110 "Electrical Design Standard for Indoor Protective Relay Panel/Cabinets and Terminal Cabinets". The Developer shall provide space and layout for future addition of relay control panel cabinets in each of the primary room (minimum 4 cabinets) and secondary room (minimum 6 cabinets).

M. The DC power supply for the protective relaying and communication system shall be 125 VDC battery sources in accordance with the DC station service section of this document. Fully redundant battery systems and chargers shall be provided for BPS interconnections and interconnections to systems designated critical by NYPA. Relaying and annunciation for the 'loss of DC' to protection and breaker control circuits shall be provided locally and remotely.

N. Automatic re-closing requirements shall be determined by NYPA based on the location of the interconnecting facility.

O. Automatic synchronizing and manual synchronizing including Synch Scope shall be provided.

P. Interlocks and/or synch check equipment shall be provided to allow the remote operation of the switchyard breakers.

Q. Standalone Sequence of Event Recorder equipment and Digital Fault Recorder equipment or equivalent functionality shall be provided per NYPA specifications. Communications shall be provided for remote access to event and fault records. The Developer shall provide engineering drawings documenting the design, installation, and functional testing (yellow line).

R. An HMI (Human-Machine Interface) computer including software shall be provided for local status indication, metering, and alarm annunciation/acknowledgment. The HMI shall be capable of providing a status indication, metering, and alarms typically via an interface with the SCADA RTU. The HMI shall be powered from a non-interruptible power source.

S. All HV circuit breakers and motorized disconnect switches shall be provided with local and remote control and status indication. Manual disconnects shall be provided with local and remote position indication.

T. Relay equipment status, trouble and failure alarms shall be wired to the SCADA RTU for remote monitoring.

U. Local control and panel devices (switches, lights, synch scope, etc.) shall be provided.

V. Phase angle transducers shall be provided to measure the phase angle across HV circuit breakers. They shall be connected to the SCADA RTU for monitoring.

W. Breaker control and relay protection circuits shall be configured with relay and breaker control functions segregated and separately fused. This usually relates to the protection relays that would in some designs be powered by the breaker...
control fuses in schemes including synch check or reclosing. The Developer shall coordinate this design aspect with NYPA.

3.5 Short Circuit Current

NYPA shall provide the site-specific available short circuit current design criteria along with the system X/R ratio. The Developer shall submit short circuit studies with variations and contingencies of fault currents for proper coordination and settings of the protective relay system and for the selection of switching equipment.

3.6 Protective Relay Settings

The Developer shall submit relay setting calculations, justifications, and applied settings for the switchyard and Developer’s switchyard protective relaying. The Developer shall submit preliminary relay setting calculations including the basis used for the calculations and the applied settings. The Developer shall submit final commissioned in-service relay setting files in a complete report including the basis for calculations. These submissions shall be in an editable Word (doc(x) file) format. The Developer shall apply settings for the transmission line and feeder protective relaying up to and including the adjacent terminals and from POI to the Developer’s switchyard or generator step-up transformers.

3.7 Metering

The Developer shall confirm in writing that new or modified transmission or generating facilities are within Balancing Authority (NYISO) Area’s metered boundaries. Revenue metering shall be at the point of interconnection, e.g. the high-voltage side of the step-up transformer, and shall include the following:

A. Instrument Transformers: The specific type, number, connection, and ratios of the instrument transformers will be checked and determined by NYPA PCE/Metering groups based on the expected output and load and the connection to the NYPA system.

B. Intelligent Electronic Devices (IEDs): The IEDs for revenue metering MWH, MW, and MVAR and other electrical indications shall be configured and tested by NYPA and mounted in a separate metering cabinet. These devices will be connected to the RTU/RTAC via RS232, RS485 or Ethernet connection. Ethernet and landline or cellular communications shall be provided by Developer for redundant communications paths to the IEDs as described in the NYPA standard SCADA RTU/RTAC substation requirement.

C. Metering Cabinet: The metering cabinet(s) shall be a free-standing or wall mount lockable, sealable cabinet located near the SCADA RTU/RTAC cabinet. AC and DC station power shall be supplied to the metering cabinet(s).

D. Instrument Transformer Secondary Wiring: PT and CT secondary wiring shall be routed underground in a dedicated PT conduit and a dedicated CT conduit (“home runs”) from the instrument transformers to the meter cabinet. These CT and PT secondary wiring conduits shall not run in the yard common wiring trenches, shall be segregated from all other power cables, and shall be routed at a distance to eliminate the influence from other voltage and current-carrying cables. The Developer shall provide a lockable/sealable CT/PT junction box at
the base of the CT/PT structure for shorting and fusing. Additionally, a lockable/sealable Burden Limiting Fuse Box may be required on PT secondary connections. The CT/PT junction box and Burden Limiting Fuse Box will be supplied by NYPA. Only a multi-conductor control cable may be utilized with a minimum 600V AC insulation level. Minimum # 10 AWG stranded copper cable shall be used for the CTs wiring and minimum #12 AWG stranded copper shall be used for the PTs wiring. Additional control cable requirements, such as shielding and twisted pairs, may be specified.

3.8 Communication Systems and Equipment

A. Communication systems shall be provided to integrate seamlessly into the existing and planned NYPA communication systems. The systems shall provide for inter-connectivity with the switchyard and the NYPA SCADA, Sensor Monitoring, Revenue Metering, Security, voice and business system. The system shall utilize mediums specified by NYPA. The system shall provide continuous communication from the facility to the designated NYPA termination location. Where direct connection to NYPA communications infrastructure is to be provided, NYPA will specify the channel equipment to be provided by the developer. This may include additional channel equipment to be installed by NYPA at the remote location.

The systems shall have the capability to transmit and receive various types of signaling including metering, relay protection, security, CCTV, voice, life safety, alarms, and SCADA equipment.

The Developer shall provide the switchyard audio public address system including indoors serving the NYPA Control Building and outdoors in the switchyard. The Developer shall provide local and remote paging from any NYPA location.

B. NYPA will provide the Developer with communication systems high-level specifications including interconnectivity with existing and planned NYPA systems. The Developer shall design and provide communications systems.

C. Circuits designated by NYPA as critical shall be supported by two separate communication mediums/pathways. Any diverse transmission medium or other broadband based facilities supplied by common or value added carrier shall be configured dedicated point to point. Virtual circuits shall not be allowed for protective relay or SCADA circuits.

D. System security requirements using encryption or other means shall be specified by NYPA.

E. Certain communications applications, e.g. SCADA, Protective Relaying, shall be provided via a minimum two full DS1 Channels/ Ethernet pathways. Additional separate DS1 channels/bandwidth shall be supplied for the other applications, plus spare capacity.

F. The communication system design shall include:

1. The communication system and related equipment shall be provided with redundant power supplies and related equipment.
2. If a standalone building supporting microwave equipment is provided, it shall be provided with a dedicated UPS system, redundant HVAC sources, standalone battery bank, and space for future addition of equipment.

3. NYPA will specify communication requirements and will apply for FCC license, FAA filings, etc. NYPA shall be the named licensee for Communications licenses and permits.

4. The public address system shall have a minimum impact on surrounding areas and conform to Federal, State, and local ordinances and regulations. Submit supporting calculations.

5. Lightning protection shall be provided for communication equipment. Bonding and grounding shall conform to the latest edition of the Motorola R56 manual, “Motorola Standards and Guidelines for Communications Sites”, and NFPA.

6. All telephone or T1 facilities including broadband entering a switchyard shall be via buried non-conductive fiber optic cable.

7. The Developer shall supply a plain old telephone (POTS) circuit and phone handsets. In addition, a minimum of six telephone circuits shall be integrated into the NYPA region phone system:
   - Ring down line to control center (multiple extension locations)
   - Gate phone (plus provide a key or card key access at truck and automobile window heights)
   - Primary room (multiple extension locations)
   - Secondary room (multiple extension locations)
   - Public address
   - Breaker cabinet circuit (bridged, jack located in each HV Circuit Breaker cabinet)

Handsets for POTS and NYPA circuits shall be provided at multiple locations (in addition to that of the corresponding designation); submit layout. The Developer shall provide telephone handsets with audible ring and visual flashing light indication.

8. The Developer shall install local area network (LAN) jacks at primary, secondary, control room desks, and other locations; submit layout.

9. Communication equipment shall be installed per industry standards and NYPA practice.

10. Communication facilities shall be designed assuming they are Critical Assets. The installation shall comply with NERC-CIP, see below section.

3.9 SCADA and RTU

   A. The Developer shall provide a SCADA RTU (Supervisory Control and Data Acquisition Remote Terminal Unit) per NYPA specification in the switchyard

For the latest revision of this document, refer to the Policy and Procedure PowerNet Site.
Control Building. NYPA will specify the network routers, switches, and/or firewalls as appropriate for installation by the Developer. The Developer shall contract with the RTU manufacturer or a system integrator to configure the RTU and integrate the RTU into the substation control system as per NYPA standard.

B. The Developer shall notify NYPA and coordinate so NYPA personnel may observe SCADA/RTU system integrator’s work. Depending on the extent of the work (e.g. for a completely new station) NYPA may require an integrated factory test of the SCADA and associated networking, IEDs and controls.

C. The minimum SCADA RTU communication channel is a dedicated, non-virtual, T1.5 digital point-to-point circuit from the facility to NYPA’s existing control center.

D. The Developer shall install the network routers, switches, and firewalls in a separate free-standing, lockable cabinet provided with tamper switches wired to the RTU and/or substation security equipment. Equipment (routers, switches, etc.) for SCADA communications shall be powered by station batteries via redundant power supplies, see figure below. Equipment servicing diverse communications pathways shall be powered by separate station batteries.
E. Each Router/Switch shall communicate using a standard T1.5 digital termination facility. In the configuration typical, two (2) T1.5 digital facilities shall be supplied by the Developer. The intent is to provide true diversity for the switchyard communication system. Typically the first T1.5 digital facility shall be supplied via a microwave system. The diverse T1.5 digital facility will be the point-to-point common carrier supplied pathway. The two T1.5 digital facilities may not share the same physical or electrical paths. A typical configuration is shown below.

F. Hardwired equipment status inputs shall utilize dry contacts only. Hardwired analog inputs shall utilize ungrounded current (+/- 1mA inputs (nominal) or 4-20mA transducer inputs). The RTU shall also be capable to poll intelligent electronic devices (IEDs) using DNP 3 and MODBUS RTU protocols via serial or Ethernet connections. Connections between the RTU and protective relaying shall be as per the NYPA Standard for Substation SCADA but shall also include hardwired inputs. Serial connections that extend outside the Control Building shall connect to the RTU via Fiber/RS232 converters. Ethernet connections shall utilize switchyard qualified Ethernet switches installed no more than 20 feet from the RTU.
G. The RTU shall be enclosed in a free-standing lockable cabinet provided with tamper switches wired to an RTU input and or the statin Security System, be installed in the Control Building, typically with front and rear access. Cabinets will provide for top and bottom cable entry compatible with the Control Building design. At a minimum, a POTS line handset and a NYPA extension handset shall be installed a maximum of 12’ from the RTU.

H. The Developer shall provide the HMI computer and software per NYPA specification.

I. If the cyber facility is categorized by NYPA as part of the BPS, the RTU and communication cabinet shall be protected both physically and electronically to NERC CIP requirements, including:
   1. Adherence to the NYPA Standard for Control System Cyber Security Requirements
   2. Card Key access control with logging of access to the equipment.
   4. Separate secure room (preferred) or “six” wall enclosure, such as a security cage, if the physical area around the device cannot be secured from general access.
   5. TCP/IP access should be limited, monitored, and controlled via firewalls, Intrusion Detection.
   6. Dial-up access is prohibited.

3.10 Grounding

A. The switchyard grounding system shall be comprised of ground rods and ground grid. The grounding system shall be designed to provide safety for personnel, protect equipment, and property. The system shall be designed with sufficient fault current flow to the ground for reliable operation of relaying protection.

B. The perimeter of the ground grid shall be extended minimum 3’ outside the fence of the switchyard. For outward swinging gates, the ground grid shall be extended to encompass the total gate swing plus 3’.

C. The grounding system shall encompass the switchyard yard, switchyard fence, and Control Building.

D. The criteria for a safe, effective, and reliable grounding system shall be the value of ground grid resistance, the level of step and touch potential, and the magnitude of fault currents flowing to ground. The Developer shall submit soil test data, design data, and calculations.

E. The ground grid shall be buried minimum 2’ below grade, with a parallel and perpendicular pattern of grid conductors.

F. A minimum of ten (10) soil resistivity tests shall be conducted. The average test value shall be used in calculations to determine the optimum configuration of the grounding grid and the depth and quantity of ground rods.

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G. The grounding system resistance prior to connection to the out of switchyard grounding system shall be less than 2 ohms. The Developer shall obtain the maximum short circuit current design criteria from NYPA.

H. A minimum of two test stations shall be constructed and covered with hand-holes on the top of the rods for maintenance access.

I. The grounding system in the Control Building shall include a separate approach, which shall be bonded together with the yard grounding system to form one complex grounding system. The special grounding for the Control Building shall be a high-frequency grounding system. The metallic enclosures and panels shall be connected to a reference ground to minimize high-frequency noise. Equipment connection details shall be provided.

J. Submit grounding design drawings including a minimum of three separate layout drawings: for switchyard, fence grounding, and the Control Building. Provide equipment grounding details and grounding details.

K. All structures and equipment shall be grounded to a common ground grid. Minimum #4/0 AWG bare stranded soft drawn annealed copper shall be used for the ground grid as well as for connections to equipment and structures. Exothermic connections shall be used for below-grade connections. Above grade connections to equipment and structures shall be bolted or crimped.

L. Transformers, cooling tanks, circuit breaker tanks, and support structures shall be grounded at two points at diametrically opposed corners. Other equipment shall be grounded at a minimum of one point. HV disconnect and ground switch operating handle location(s) shall be provided with an at-grade grounding mat. The grounding mats shall be connected to the grounding grid at two locations. Platforms for access due to the height of the cabinets (e.g. HV circuit breaker cabinets) shall be grounded at two opposed locations.

M. All cable shields, except instrumentation cable shields, including those of the station service transformer feeders, shall be solidly grounded at both ends unless otherwise specified by NYPA. Instrumentation cable shields shall be grounded at the cabinet end. Provide equipment grounding conductors. Metallic conduits, whether embedded or exposed, shall be electrically continuous and bonded at both ends. Flexible conduits shall be installed with bonding bushings and external bonding jumpers.

N. All outdoor equipment cabinets and junction boxes shall have a ground connection to the ground grid.

O. The switchyard fence and gates shall be grounded. Every other steel post of the switchyard fence shall be grounded. The barbed wires shall be connected to ground at every other post using screw connectors and minimum 2/0 AWG stranded soft drawn annealed copper wire to the ground connection at the base of each grounded post.

For the latest revision of this document, refer to the Policy and Procedure PowerNet Site.
4 ENGINEERING AND DESIGN DOCUMENTS

Engineering Documents including drawings, specifications, calculations, studies, reports, etc. shall be signed and sealed by the Engineer of Record (EOR), a New York State (NYS) licensed Professional Engineer (PE), in accordance with NYS Education Department guidelines.

Design drawings, specifications, and Contractor submittals including catalog cuts, manufacturers’ documentation, shop drawings, etc. shall be submitted. Submittals shall include calculations, assumptions, and supporting documentation. Submit studies and calculations including time—overcurrent protection, protection coordination, insulation coordination, AC and DC fuse coordination, and ground grid. Prior to submittal Developer/Contractor submittals shall be stamped to indicate approval by the EOR.

Calculation submittals shall be formatted to include: Summary of Results, Purpose, Basis, Assumptions, References, and Detailed Calculations.

The Developer shall provide the design, drawings, calculations, and specifications complete and coordinated in detail. The Developer shall provide complete detailed design packages in accordance with Good Utility Practice that will be used for Construction, Operations, Maintenance, and Clearances under NYPA’s CPP-1 program. Design / Build quality drawings and specifications are not sufficient. The design packages shall include drawings, specifications, and calculations that unambiguously detail the design. The intent is that the construction contractors may construct the design with a minimum of field interpretation and that the As-Built and record documents faithfully and unambiguously document the installation and testing, including down to the detailed point to point wiring level.

The design drawings and specifications shall be submitted in logical packages for each design phase including Schematic, For Design, For Construction. The Developer shall submit As Built Drawings and Record Drawings. The Developer’s project schedule shall allow sufficient time intervals for NYPA review/approval, and for re-issue of drawings and specifications required thereby. The Developer shall document the SCADA, sensor monitoring and cyber security systems as per the NYPA Substation SCADA Requirements Standard for communications diagrams, point lists and SCADA designations on elementary diagrams.

The Developer shall submit, administer, and enforce a rigorous Design Quality Assurance / Quality Control (D-QA/QC) Program and proactively quality assure the design packages prior to submittal including “Yellow Lining” prior to drawing package submittal. Design submittal Yellow Lining includes the physical checking, marking, initialing, and dating of the drawings as part of the design check and coordination D-QA/QC process.

The Developer shall also provide Construction Yellow Lining as part of the Record Drawing Process, see below.

The Developer shall provide extensive labeling of items including panels, panel schedules, equipment, conduits, cables, terminations, etc. Labels shall coordinate exactly to the drawings in order to comply with CPP-1 and to ensure the safety of personnel. The Developer shall coordinate labels with NYPA including equipment, yard equipment, and structures. The Developer shall coordinate with NYPA the cable and termination labeling. The Developer shall coordinate with NYPA to incorporate any non-industry standard labels (e.g. DC label colors for 125V DC terminations). See also Section 5.20.
The Developer shall maintain an organized Request for Information (RFI) process and database for RFIs.

The Developer shall provide databases including Drawing Index/database, Specification Index/database, Calculation Index/database, Equipment Index/database, Bill of Material (BOM)/database, and Vendor Drawing Index/database. The Drawing Index database shall track drawings, drawing revisions, submittal dates/transmittal numbers, comments/responses/transmittals, etc.; similar for the Specification database. Each equipment item shall be assigned a unique equipment number. The BOM shall have unique designations for each material item that will be coordinated onto the drawings – each drawing BOM will not start with item number 1, rather items shall coordinate to the BOM database number. BOM item numbering shall not be fully consecutive. Rather BOM items should be grouped in logical system groups and sequences, with gaps in the numbering to allow BOM items to be added in the latter stages of design and construction.

Databases shall be MS Access or approved format. Databases shall be kept up to date contemporaneously with submittal transmittal.

The Developer shall coordinate with NYPA to establish the Drawing Index and drawing numbers and to detail the drawing and drafting requirements for the interconnection design. The Developer shall coordinate requirements to NYPA standard Appendix F: Computer-Aided Drawing Requirements for New York Power Authority. The Developer shall supply NYPA standard ANSI “E” size drawings.

The Developer shall submit and administer a detailed Change Control Program that ensures that changes made by the EOR are documented on the design documents and submitted, and that field changes have been approved in advance of installation by the EOR and NYPA. Developer Change Control Program shall conform to the NYPA Configuration Management policy.

4.1 Drawings

Developer drawings shall detail the Work. Changes to the content of a drawing shall result in the incrementing of the revision from A to B, B to C, etc. Addition and deletion changes shall be described in the revision block or by notes on the Drawing (BLUE) and by back circling (clouding) and revision triangles on a freezable revision layer. Revisions shall be dated and initialed. After submission to, and approval by NYPA, Record Drawings shall be issued Revision 0 (zero).

Drawings and packages shall be fully coordinated and referenced ("to" and "from" associated drawing(s)) within types (e.g. “S”) and across types (e.g. “S” to “E” to “W”) and reference specific Vendor Drawings.

The Drawing scale and font sizes shall be suitably selected. The normal minimum font size is 1.0; the absolute minimum is 0.9 only with prior NYPA approval. Drawing title blocks, numbering system and dimensions shall conform to NYPA standards. Overhead conductor drawings shall be prepared on PLS CADD. Other design drawings shall be prepared in AutoCAD. Submittals shall include full size drawings, 11”x17” plots, plus dwg. and pdf files.

For the latest revision of this document, refer to the Policy and Procedure PowerNet Site.
Drawings shall incorporate NYPAA convention drawing colors. Revisions to NYPAA drawings or drawings related to installed Work shall utilize NYPAA colors: GREEN = delete/remove/demolish; RED = add; BLUE = design/construction note that will not be included on the Record Drawings.

For example Drawing Index, the STL region index includes drawing types: S – Schematic; E – Elementary; W- wiring; C – Conduit; A – Arrangement; L – Lighting; M – Miscellaneous; N – Numerical; T- Transmission. See Appendix A, STL – FDR Project Drawing Index.

Each drawing category shall include a symbol legend. Plan, elevation, equipment, and associated detail drawings shall be scaled. Drawings shall accurately depict the Work.

4.1.1 Schematic Drawings

“S” drawings shall be complete including equipment specification information, equipment IDs, and ratings. Include one-line diagrams for power system, metering, and relaying diagrams, the low voltage AC and DC systems, grounding; system, phasing, and logic diagrams; relay setting sheets, protective functions, and communications. Panel schedules shall identify the loads associated with each breaker. Panel breaker loads, maximum continuous loads per phase, etc. shall be included in the calculations.

4.1.2 Elementary Drawings

“E” drawings shall depict instrument, component, and terminal block point to point connections with cable, terminal, and conductor identifications depicted. Reference origin and destination device and reference drawings shall be identified. Show detail including internal device diagrams; synchronizing; DC systems; breaker, breaker failure, MOD control; watt-hour metering; sequential event, and digital fault recorders; transformer, bus, line, breaker failure, backup relaying, disconnect switches; AC elementary; annunciator; drainage and dewatering; air conditioning; lighting; control, and miscellaneous.

4.1.3 Wiring Drawings

“W” drawings shall depict all point to point wiring connections, terminal blocks, relay panel wiring, cabinet wiring, etc. Cable, conductor, and terminal identification/labels shall be depicted. Detail including relaying, metering, synchronizing, sequential event recorder, digital fault recorder, microwave system, security, HV circuit breakers, switches, MODs, station service, fire protection, control building power distribution, ATSs, communication, lighting control, and miscellaneous circuits.

4.1.4 Conduit Drawings

“C” drawings shall identify and locate all installed conduit. Subsurface locations shall be depicted with dimensional accuracy. Stub-ups shall be dimensionally located relative to served equipment or structure. Conduit size, material, and labeled ID’s shall be included, along with continuation drawing reference. Cable tray routing and contents shall be detailed. Conduit and cable schedule, and
cable tray schedule shall identify conductor IDs, type, number, size, and equipment served.

4.1.5 Arrangement Drawings

“A” drawings shall completely depict structures and equipment installations to scale in the plan, elevation, and/or sectional views sufficient to convey full spatial information. Dimensional information shall be sufficient to allow ready interpretation of available space, working, and clear spaces.

4.1.6 Lighting Drawings

“L” drawings shall locate and identify lighting including identifications of fixtures, electrical and control circuits, and conduit. Lighting distribution with lumen levels at surface, working platform levels and equipment shall be depicted.

4.1.7 Miscellaneous Drawings

“M” drawings are required, not included in other types. Consult NYPA for items to be included.

4.1.8 Numerical Drawings

“N” drawings include switchyard topography, grading, plan, foundations, switchyard steel, building architectural, steel, and concrete, heating and ventilation, plumbing, and fire alarm drawings.

4.1.9 Transmission Drawings

“T” drawings shall depict attachment facility transmission level conductors, equipment, structure, and grounding. Drawings include location plan, clearing ROW, ROW map, plan, and profile, wood structures, steel towers, miscellaneous details, counterpoise and grounding, site access, stringing tables, obstacle lighting, phasing, and line data.

4.1.10 Cable and Conduit Schedules

The Cable and Conduit schedules shall be complete and fully coordinated with the drawings. Depict data including cable ID’s, endpoints, wire sizes, conduit, and/or cable tray ID’s.

4.2 Specifications

Purchase and construction specifications shall be in CSI or approved format, detailed and complete. Components of the Work shall be sufficiently specified as to minimize the installation contractor decision process.

Examples (as well as NYPA requirements):

- Low voltage fusible and circuit breaker overcurrent protective devices shall be identified with specificity, including voltage, ampere, interrupting capacity ratings, protective, and dimensional class. Fuse holders shall include rejection feature for class R, J, L, etc. Low voltage renewable fuses or one-time fuses utilizing zinc link fusible elements shall not be permitted.
120 V outlets mounted on outdoor surfaces shall be in weatherproof box equipped with clear covers, individually protected by GFI receptacles rated 20 A.

- Electrical conductors shall be specified type, stranded copper, gauge or kCMIL, insulation, and jacketing. Thermoplastic insulation or PVC insulation or jacket is not acceptable in the switchyard or Control Building.

- Fire extinguishers shall have effective rating categories and capacities specified.

4.3 Record Drawings

Record Drawings shall faithfully reflect the As-Built installation.

As the construction proceeds, the Developer shall maintain detailed As-Built Drawings (Construction Yellow Lines) of each drawing. The Developer shall maintain Construction Yellow Lines including contemporaneously keeping up to date As-Built Drawings that yellow line /confirm that the design matches the As-Built condition with date and initialing showing a progression of completed components; and/or showing changes using RED, GREEN, and BLUE notations with the date and initial. Any adjustments to design documents caused by engineering or field changes shall be incorporated into the As-Built yellow lines (including attaching the ECN or FCN and yellow lining) and subsequently incorporated into the Record Drawings. The As-Built components and materials shall be the components and materials installed. The Developer shall submit the As-Built Drawings (yellow lines), including BOM, Cable, and Conduit schedules, etc. prior to the energization of the switchyard by NYPA.

Record Drawings shall be CAD drafted using the As-Built Drawing information. Record Drawings and specifications shall be signed and sealed by the EOR.

The Developer shall require the EOR /EOR representative to continuously monitor construction or make periodic, sufficient site verification inspections to assure the installation reflects the design and enable EOR to issue Certificate(s) of Completion.

A Developer surveyor shall survey the As-Built locations of items including grading, access roads, structures, manholes, equipment, buildings; underground structures, underground conduit route and elevations, drainage routes and inverts for the purpose of accurately depicting the information on the Record Drawings. Microwave towers shall be surveyed including foundation elevation and tower location.

Arrangement drawings shall reflect to scale, structure, actual equipment installed, locations, and spatial relationships, both in plan and elevation views.

Elementary and wiring diagrams shall be verified to ensure they reflect installed conditions including cable and wire termination labels, and terminal IDs.

The Developer shall remove or finalize interim notes prior to submittal of Record Drawings.

Developer Record Drawings shall meet the following criteria:

- TYPE I – Drawings originated and drafted by Developer

  - Work shall be BLACK color
- Clouding, revision triangles, and notes (BLUE) shall be removed
- Drawing Revision Block shall indicate Rev 0, “Record Drawing”, be initialed, and dated. Record Drawings shall be signed and sealed with PE stamp by the EOR.
- Transmittal of Record Drawings to NYPA:
  A. Electronic copies provided in DWG file format. DWG files shall have an indication in the seal box that the drawing has been sealed, coordinate with NYPA. Drawing file is named the drawing number without revision number added.
  B. “Wet” signed and sealed full-size copy and PDF thereof.

**TYPE II – NYPA SK1 drawings supplied to Developer by NYPA**

- Work shall be shown: additions in RED or in BLACK and back circled; deletions GREEN, or in BLACK and back circled.
- Revision triangles and notes (BLUE) shall be removed
- Drawing Revision Block shall indicate Rev xa, “Record Drawing”, be initialed, and dated. X in xa references the revision number that was provided to Developer by NYPA; a in xa references the next alphabetic character in the series incremented one from the last alphabetic character used by Developer. Record Drawings shall be signed and sealed with PE Stamp by the EOR.
- Transmittal of Record Drawings to NYPA:
  A. Electronic copies provided in DWG file format. DWG files shall have an indication in the seal box that the drawing has been sealed, coordinate with NYPA. Drawing file is named the drawing number without revision number added.
  B. “Wet” signed and sealed full-size copy and PDF thereof.

**TYPE III – Drawings that have been produced by NYPA For Construction and provided to Developer for use on the project**

- Work shall be shown: additions in RED or in BLACK and back circled; deletions GREEN, or in BLACK and back circled.
- Revision triangles and notes (BLUE) shall be removed
- Drawing Revision Block shall increment the alpha character indicating “Record Drawing”, initialed, and dated.
- Record Drawings shall be signed and sealed with PE Stamp by the EOR.
- Transmittal of Record Drawings to NYPA:
  A. Electronic copies provided in DWG file format. DWG files shall have an indication in the seal box that the drawing has been sealed, coordinate with NYPA. Drawing file is named the drawing number without revision number added.
  B. “Wet” signed and sealed full-size copy and PDF thereof.
4.4 Turn Over Packages

Turn over packages (TOPs) include Permit / Construction TOPs (CTOPs) and Equipment TOPs (ETOPs).

The Developer shall transmit the construction turn over and construction QA/QC documentation in the CTOPs; equipment information for NYPA Operations and Maintenance in the ETOPs. The TOPs shall contain all pertinent information. Developer shall provide CTOPs and ETOPs as hard copy, pdf files, and in MS Access database(s) or other approved format. The ETOPs shall be coordinated to the Equipment List and BOM. The database shall allow drawings and documents associated with any particular equipment or BOM item to be easily accessed, retrieved, and printed.

The following lists are indicative of contents. The Developer shall submit content early during the project design phase.

**CTOP:**
- All permits, variances, licenses, access roads, rights of way, structures, and allowed usage.
- Certificates of Inspections including Electrical, Structural, Fabricator, Fire Alarm, Board of Underwriters.
- Testing Certifications, Soil Compaction, Concrete testing, etc. (compilation of reports)
- Certificates of Special Inspections
- EOR Certificates of Completion
- Certificate of Occupancy from Authority Having Jurisdiction
- Field Testing documentation
- QA/QC checklists (compilation of reports, CIMP checklists, etc.)
- As-Built Construction Drawing List
- Field Change Request (FCN)/ Field Change Notice (FCN)/ Design Change Notice (DCN) list
- QA/QC Nonconformance List / Documentation of resolutions

**ETOP:**
- Equipment Specification
- Equipment Purchase Order documentation
- Factory Test documentation, Field Installed (Baseline) Test data.
- Pictures of Equipment Nameplate showing Model and Serial Numbers and picture of Equipment Label
- Vendor product documents including drawings, specifications, operation and maintenance manuals, recommended spare parts lists, Representative and Factory contact information. Vendor Drawings shall be EOR approved and annotated to

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5 EQUIPMENT AND MATERIALS

Developer shall submit equipment and materials.

5.1 Power Circuit Breakers

A. HV circuit breakers shall be dead-tank, SF6 type.
B. The continuous and short-circuit current rating of the circuit breakers shall be determined by NYPA for each specific project.
C. Circuit breakers shall be equipped with two trip coils.
D. The circuit breakers shall be supplied with a minimum of 18 normally open and 18 normally closed auxiliary contacts.
E. The circuit breaker selector switch shall have a minimum of 10 decks, each with 4 contacts.
F. Circuit breaker control cabinets shall be equipped with local/remote switch and telephone extension jack.
G. Power Circuit Breakers shall comply with NYPA ES-103-01.

5.2 Disconnect Switches

Motorized Group Operated Line Disconnect Switches and Manual Gang Operated Circuit Breaker Disconnect Switches

A. Motorized and manual line and breaker disconnect switches shall be designed to operate in all weather conditions, including icing conditions.
B. Line disconnect switches shall be provided with manually operated grounding switches.
C. The site-specific continuous and momentary current ratings of disconnect and grounding switches will be specified by NYPA.
D. Motorized and manual disconnect switches shall be provided with external limit switches with a minimum of 6 normally open and 6 normally closed auxiliary contacts. The LSs shall be used for indication and/or relaying purposes.
E. Line disconnect switches and grounding switches shall have “open” and “closed” position indication located on the operating mechanism.
F. Disconnect Switches shall comply with NYPA ES-103-02.

5.3 Potential Transformers

A. Potential transformers shall be provided for relaying and/or metering.
B. Both capacitance coupled and magnetically coupled units are acceptable. However, for revenue metering only magnetically coupled potential transformers shall be used.
C. If capacitance coupled transformers are used for an application that requires periodic CCVT recalibration, then these transformers shall be used only if a set of
magnetically coupled transformers is installed at the switchyard and can be used for in-service comparison.

D. The Developer shall submit an accuracy class and burden of each potential transformer.

5.4 Current Transformers

A. Current transformers shall be bushing type, window type or free-standing installed for relaying and/or metering.

B. The accuracy class and burden of the current transformers shall be as follows:
   1. Relaying Accuracy Class shall be in compliance with $V_k \geq 800V$ at the maximum tap.
   2. Metering accuracy class $CT$ shall typically be $0.15 \pm 1.8$. Extended range accuracy Class $CT$ performance may be required.

5.5 Surge Arresters

A. Surge arresters shall be station class, metal oxide, gapless. The Surge arrester ratings shall be determined by the Insulation Coordination Studies.

B. Surge arrestors shall comply with NYPA SR-101.

5.6 Power Transformers

A. The step-up transformer shall be supplied by the Developer and installed outside the perimeter of the NYPA switchyard.

B. The step-up transformer shall meet the following criteria:
   1. The high voltage winding shall be delta connected, unless otherwise instructed by NYPA;
   2. The high voltage rating shall match the NYPA system voltage;
   3. No-Load tap changer is usually acceptable. There may be specific instances where load tap changer shall be required. The Developer shall coordinate with NYPA early in the design.
   4. The Developer shall submit the transformer MVA rating and impedance p.u. value.

C. The step-up transformer should meet the following criteria. If the installation is on the property to be owned by NYPA the transformer shall:
   1. Transformer shall be oil-filled. The oil shall be certified PCB free. An oil containment system meeting regulatory requirements for oil filled equipment in the Developer’s switchyard shall be provided. The fluid containment system shall be designed to provide for rain, ice, and snow accumulation of the location. Drainage piping from such containment shall be to “daylight” and shall not be perforated piping.
   2. Noise generated by the transformer and accessory fans, shall be within NEMA/IEEE limits.
3. Power Transformers should comply with NYPA ES-102-01. Section 1.12, A – E shall not apply.

5.7 Control Building

A. Developer shall provide a switchyard Control Building. The Control Building shall be a single story building with layout to neatly accommodate equipment and accessories, allow access for maintenance of equipment, and shall contain layout and allocated space for future equipment associated with expansion of the switchyard.

B. The following Control Building spaces shall be provided:
   - Heated vestibule, approximately 6’x8’
   - Equipped kitchen / break room, minimum 14’x14’
   - Storage room, minimum 12’x12’, with interior and exterior doors
   - Control room/work room, minimum 14’x14’
   - Primary and Secondary Relay Rooms (each fire rated)
   - Primary and Secondary Battery Rooms (each fire rated)
   - Emergency generator room
   - Bathroom with shower

The equipment layout shall provide proper working clearances and clear space.

C. The control building will house the primary and secondary relay panels located in separate primary and secondary relay rooms, communication and carrier cabinets, monitoring equipment, SCADA cabinets, and fault and event recorder cabinets. The batteries, battery chargers, low voltage switchgear, and AC and DC distribution panels will be located within the building.

D. Provide a single handicapped design bathroom with shower. Provide hot and cold water. Provide sewer connection to an on-site sewage treatment system or local POTW (Publicly Owned Treatment Works). Hot water shall be provided by a tank-less water heater.

E. Potable water shall be provided, including connection to municipal water source and/or well. If well, test water for determination of disinfection, chlorination or other treatment system options. Submit recommendation and provide systems.

F. Cables entering the building from the switchyard shall be routed below the Control Building floors in trenches / cable trays and/or via overhead cable trays. If below floor, the relay, terminal, RTU, etc. cabinets shall be fastened to structural steel frames at the top of the trenches to provide wire routes from below.

G. All conduits penetrating the exterior walls of the building shall be plugged with duct seal to preclude condensation associated with convection within the conduit.

H. Overhead interior cable trays shall be routed above the equipment.
I. Building and structure design parameters including importance factors, component importance factors; dead, live, wind and snow loads; seismic, and site class shall be in accordance with the NYSBC, IEEE, and standards and codes.

J. The Control Building shall be provided with fire detection, alarm, and suppression systems. All spaces of the control building shall be provided with the fire detectors. The fire alarm system shall be capable of local and remote indication with local acknowledgement.

K. Fire detectors shall be early warning smoke detectors of the photoelectric or ionization type. The alarm system shall provide local audible/visible annunciation in and exterior to the Control Building. The local fire alarm panel shall be connected to the RTU to provide remote alarm. Circuits shall be electrically supervised. The smoke detection system shall be installed in enclosed areas/buildings which house cabling, breakers, control cabinetry, switchgear, transformers, or other electrical equipment of low, high, or control voltages. Installation shall conform to latest edition of ANSI/NFPA.

L. The fire alarm panel shall be provided with battery back-up with a minimum capacity of 24 hours per NFPA.

M. Floor and wall openings in the Control Building shall be sealed with a UL listed penetration detail to maintain the fire rating of the floor or wall. Conduit penetrations shall be sealed at ceiling, floor, and wall.

N. The HVAC system for the control building shall be designed to provide heating, cooling, and ventilation air. Ambient design conditions shall be as specified in the NYSBC. Outside design temperature and conditions shall be per ASHRAE for the location of the switchyard. HVAC equipment shall be sized for 120% of the design load. HVAC equipment shall have multiple units in a lead-lag control arrangement. Space heaters shall be provided to back up the HVAC system(s).

O. Battery rooms shall be provided: primary and secondary. The HVAC system for each battery room shall be designed in accordance with IEEE and NYS Fire Code to provide ventilation air. This airflow shall be factored into the heating and cooling load calculations. Provide ventilation fan with spark resistant construction and explosion-proof motor. Doors to any battery room/area shall be equipped with safety signs, prohibiting smoking, sparks, or the use of open flames per ANSI. Battery room fire suppression shall be provided by portable non-conductive dry chemical fire extinguishers minimum Classification 4-A: 60-B: C.

P. HVAC equipment shall be electric, self-contained, pre-assembled, pre-wired, and thermostatically controlled.

Q. A Control Building security system shall be integrated with the switchyard security system described in Section 7. HVAC, security, and fire system operation, trouble and failure alarms shall be monitored via the RTU. High and low-temperature alarms in each of the Primary and Secondary Relay and Battery Rooms, hydrogen alarm, eye wash or emergency shower activation and other alarms (e.g. sump high level) shall be wired to the RTU.

R. Eye wash stations and emergency showers shall be provided in accordance with IEEE for eye wash and ANSI for eye wash and emergency showers. Submit locations, at least one in each battery room. Each station shall have a collection
For the latest revision of this document, refer to the Policy and Procedure PowerNet Site.
D. Instrumentation Cable shall comply with NYP A ES-106-01.

5.10 Cable Trenches, Conduits, and Trays

A. Underground raceways should be the primary means to run power and control cables to yard equipment. The raceway system should be comprised of direct buried conduits from equipment to a cable trench system containing cable trays leading to the Control Building.

B. Acceptable construction for the cable trench systems shall be either:

1. Cast-in-place concrete construction
2. Precast concrete trench

Trenches shall be provided with installed access ladder rungs.

C. Cable trenches shall be arranged such that the routing follows the basic coordinates of the switchyard. Provision for storm water drainage, e.g. French drains, shall be provided. Segregation barriers shall be provided where it is necessary to run cables of different signal levels in the same tray or trench. HS-20 rated trench / galvanized covers shall be provided. Minimum trench inside clear dimensions shall be 4’ height x 4’ wide. Same minimum dimensions if cable trenches are provided under the floor in the Control Building.

If cable trenches are provided under the floor in the Control Building, they shall be dry bottom. Slope to sump and provide discharge piping. If water infiltration is encountered, the Developer shall install sump pumps.

D. Functional separation criteria for conduit runs are that power, control, primary relaying and secondary relaying cable classes shall be routed in dedicated conduits until they reach the pertinent cable trays, as described below. Power cables above 600V shall be run entirely in direct-buried conduit outside the cable trenches.

E. Aluminum ladder type cable trays shall be installed in the trench for cable placement. The cable segregation criteria in a tray shall be as follows:

1. Station Service conductors;
2. All other 600 V power cables (operating at 480/277V, 120/208 V, 120-240 V);
3. 120 VAC control, PT, and CT wiring;
4. 125 VDC (or less) power and control (less than 20 amperes);
5. Instrumentation, low voltage (24VDC or less) discrete inputs, analog signals (4-20mA); Communications, telephone, fiber, Ethernet, etc.;

All cables associated with fire detection, alarm, and suppression systems shall occupy a dedicated raceway.

In addition, cables shall be separated as required by NPCC, NEC, IEEE, and codes and standards.

F. Cable trays shall have bonding jumpers to maintain continuity of the ground path.

For the latest revision of this document, refer to the Policy and Procedure PowerNet Site.
G. Underground conduits in the switchyard shall be buried at least 24” below finished grade. Conduits shall be PVC with rigid steel stub-ups, or rigid galvanized steel.

H. Conduit exposed in the switchyard shall be rigid galvanized steel. Indoor and outdoor conduits shall be labeled per the Conduit Schedule, see section 5.20.4. Watertight conduit fittings shall be used at outdoor junction boxes and terminal cabinets.

I. Where liquid-tight flexible conduit is used, provide bonding bushings and external bonding jumpers.

J. Pull boxes or manholes shall be spaced so that allowed cable pulling tension and sidewall pressure are not exceeded. Conduit elbows, pull-box dimensions, and manhole openings shall be such that the minimum cable bending radius is not violated. The following parameters shall be determined based on pertinent standards and manufacturer’s recommendations:
   1. Allowable pulling tension
   2. Allowable sidewall pressure
   3. Minimum bending radius

K. Cables shall be continuous runs. Pull boxes, cable trays, trenches, LB’s, etc. shall not be used for locating splices, even if listed for such service. Submit specific proposed exceptions. Conduit runs shall be sloped to provide drainage into hand holes or cable trenches.

L. Provide spare conduits with pull chords:
   1. At each HV Circuit Breaker – one 2” to each of primary, secondary trench
   2. One 1-1/2” from gate phone location to Control Building telephone board.
   3. As appropriate

5.11 Lighting

A. Interior lighting shall be fluorescent. Exterior wall pack and switchyard lighting shall be timer/photocell controlled with manual override, pulse start metal halide, suitable for ambient conditions with re-strike quartz lamp. Lighting shall be high efficiency with low harmonic distortion.

B. Design drawings and calculations shall be submitted showing illumination levels for each area, calculation methods (not on drawing itself), design and installation details, and bill of materials.

C. Lighting shall fulfill the following functions:
   1. Outdoor general lighting
   2. Indoor general lighting
   3. Local lighting
   4. Emergency lighting
   5. Security

For the latest revision of this document, refer to the Policy and Procedure PowerNet Site.
D. The switchyard shall be illuminated by general lighting and security lighting. The Control Building shall be illuminated by general, emergency, and local lighting.

E. The levels of illumination shall be:

For outdoor general lighting ..........................................................10 fc ground level
Illumination level at ground level about 3' outside the switchyard fence shall be less than 0.5 fc (coordinate with surveillance camera equipment)

For indoor general lighting..............................................................30 fc ground level
For local lighting of switchboards, metering, and control panels in the Control Building……………………….50 fc front panel @ 4’
Emergency light (ground level) ..................................................15% of general lighting

Security lighting at the fence ...........................................................See Section 7

F. Exit and directional signage shall be provided with battery back-up (UL listed, minimum 90 minute capacity), or powered from the UPS panel - in accordance with NYSBC.

G. Emergency lighting shall be provided in Control Building illuminated spaces. Emergency lighting shall be provided at the primary and secondary relay cabinet faces. The emergency lighting fixtures shall be UL listed with battery back-up for a minimum 90 minutes capacity or powered from the UPS panel - in accordance with NYSBC. Emergency lighting shall also be provided on the exterior of the building at each of the personnel exits.

H. Lighting shall be calculated in accordance with accepted standards, namely:
general lighting, by “zonal cavity” method; local lighting, by “point-by-point” method; switchyard lighting, by “graphical method.”

I. Lighting fixtures and lamp types are:
- Control Building..............................................................Fluorescent, warm white
- Switchyard .............................................................Metal Halide with re-strike quartz lamp
- Battery room...............................................................Vapor-proof or explosion proof

5.12 AC Station Service Power

A. The entire AC station service system shall be considered essential.

B. The AC station service shall be configured for reliability. Reliable supplies shall be configured in a “cascade” using two breaks before making automatic transfer switches (ATSs) equipped with maintenance bypasses. ATS signals shall be connected to the RTU. Primary power shall be Developer supplied power derived from Developer's HV bus via a transformer (or from a transformer in the switchyard), the first backup from local utility source and second backup from a Developer supplied emergency propane fueled generator. This configuration assumes the Developer's substation is adjacent to the NYPA switchyard.

C. The voltage shall match the local utility service voltage. Sources shall be 480V, 3-phase, 4-wire, grounded; 120/208V, 3-phase, 4-wire, grounded; or 120/240 V, 1-phase, 3-wire, grounded.
D. AC distribution panel boards shall be the main breaker equipped, configured with Critical and Non-Critical circuits in separate panels (Red Dot and Green Dot per NYPA CPP-1). Coordinate with NYPA.

E. The station service kVA sizing shall be calculated based on the calculated maximum AC load plus a 25% margin for future expansion. Each source, transformer or emergency generator, shall be rated to supply the entire AC station service load plus a margin.

F. A battery backup UPS system and panel board shall be provided for AC loads sensitive to switching transients including fire alarm system, HMI, security system, site computers (provide labeled outlet receptacles at various locations), SER and DFR computers, emergency lighting (if included in design), and loads that do not tolerate break before make transfer (e.g. radios, other computer equipment). The Developer shall provide 8 hours, plus 40% load margin in the UPS system backup load supply capability. The UPS shall comply with NYPA SR-106. If desired, the Developer design may power the UPS from the primary/secondary 125V battery set(s), appropriate load to be included in that sizing calculation.

G. The Developer shall provide Arc Flash studies and labeling in NYPA standard format for enclosed alternating current switches, circuit breakers, power distribution panels, and other accessible equipment operating at or below 35kV without limitation to the level of personal protective equipment. Calculations per NFPA 70E shall be submitted.

5.13 DC Station Service Power

A. The DC station service arrangement shall consist of two complete systems, each consisting of a nominal 125V battery, battery charger, and distribution panel. The two systems shall normally operate isolated, one dedicated to primary relaying and the other to secondary relaying; plus other station service loads. The systems shall be ungrounded, floating. Protection shall be appropriate for a floating system including ground detection alarm. Batteries for Station Service and Microwave communication shall be vented (flooded) lead-acid type.

B. Each battery shall be sized to provide the entire calculated switchyard DC power load plus 10% for future expansion. In addition, the AH capacity shall take into account the battery aging and temperature correction and shall be based on 8-hour discharge rate providing 8 hours of backup. Station Service Batteries shall comply with NYPA ES-107.

C. A DC system switching arrangement shall be provided such that a battery may be taken out of service for maintenance or testing while the other is in service, providing for the entire station load in accordance with NPCC.

D. Each battery bank shall be installed a dedicated battery room within the Control Building.

E. Sizing shall be calculated according to IEEE recommended practices. The sizing of the switchyard batteries shall include the following loads:
• Momentary loads due to the simultaneous operation of a group power circuit breakers (for example, bus differential protection) and inrush currents such as those from a motor

• Continuous loads such as panel lights, holding coils, carrier equipment transmitters, and receivers, microwave, protective relaying, and SCADA for 8 hours minimum

• Connected DC emergency and exit lighting

F. For battery sizing purposes, the duration of continuous loads and emergency lighting shall be taken as 8 hours.

G. The battery chargers shall be of the electronic type. The DC output capacity of each charger shall be such that it is capable of recharging the battery at the highest system voltage in 16 hours while serving the connected continuous loads. Battery chargers shall comply with NYPA SR-107.

H. Ventilation and safety equipment shall be provided for the battery rooms.

5.14 Emergency Power

A. The Developer shall supply propane fueled emergency generator. This generator shall be sized to supply the AC station service distribution panels load plus margin at continuous operation rating. Generator operation, Fire Suppression System, etc. trouble and failure alarms shall be monitored via the RTU.

B. Critical exhaust silencer and a sound attenuating enclosure shall be provided.

C. The starting battery system including a charger capable of supplying generator auxiliary load and charging the battery shall be provided.

D. The Developer shall provide for periodic testing of the emergency generator. Periodic testing shall be performed either by onsite personnel, programmable automatic timer, or by NYPA via supervisory control signals from the RTU. The Developer shall provide controls for automatic testing and an integrated stepped load bank sized for generator rated load.

E. An underground propane tank shall be provided sized for 3 days of continuous operation at the generator rated load. Provide a propane heater system to ensure reliable fuel supply during extreme weather conditions at the site.

F. The generator shall be located in the Control Building in a separate room provided with minimum 4’ maintenance clearance on minimum 3 sides around. Provide a dry agent Fire Suppression System. Provide a single interior access door and double exterior access door.

5.15 Bus and Bus Support

A. The aerial bus shall be rigid, high strength ANSI Schedule 80, Alloy 6063-T6 or equivalent.

B. Strain bus extensions may be installed to relieve stresses on potheads and equipment terminals. The strain bus shall be All Aluminum Conductor (AAC) or All Aluminum Alloy Conductor (AAAC) and shall be of compatible size.

For the latest revision of this document, refer to the Policy and Procedure PowerNet Site.
C. Bus size shall be determined considering the continuous current rating of the project and other parameters including short circuit current, ambient temperature and maximum temperature rise, in accordance with IEEE standards. The minimum bus size shall be 4”.

D. The Developer shall calculate the number of supports based on factors such as fiber stress, deflection, cantilever strength, wind-induced vibrations, etc. in accordance with IEEE standards.

E. Provisions shall be made for thermal expansion of bus. For each length of the rigid bus the Developer shall show the type of fitting at each bus support (fixed-bus or slip-fit) and the location and type of bus couplers.

F. All horizontal bus runs shall have damping cables placed inside. Do not drill weep holes.

G. The length of a continuous bus shall be limited to 100’.

H. The Developer shall submit bus calculations.

5.16 Insulators

A. Insulators shall be of high strength. Extra high strength insulators shall be used if site specific conditions warrant their use. Coordinate with NYPA.

B. Insulators shall be in unit stacks in accordance with NEMA. Stacks of different cantilever strength shall be identified.

C. Station post insulators shall have a minimum of two stacks. All stacks shall be of the same cantilever strength.

D. Post-type insulators shall not be used with strain bus, except as stand-off insulators, and only with prior approval from NYPA.

E. Strain type insulators shall be used to terminate the incoming overhead lines.

F. Suspension type insulators shall be used where necessary with strain bus for under-hung installation.

G. Polymer insulators shall not be used for any application.

5.17 Corona Control

A. The design and construction of the switchyard shall take into consideration means to minimize the audible noise induced by frequency and corona. Corona-free hardware shall be used. Sharp corners on the bus conductors shall be avoided and bolts shall be kept short.

B. All 345 kV switchyard hardware shall be rated for the EHV application.

C. The switchyard bus in a 345 kV switchyard shall be fitted with grounding studs for corona control.

5.18 Surge Counters

Surge counters shall be provided on surge arresters.
5.19 Acoustics

The Developer shall design to ensure that the noise generated by equipment including transformers, corona, and generators meets local and national standards.

5.20 Electrical Identification

The Developer shall install identification on items including structures, equipment, cable trays, conduit, cable and wire. Identification shall be in strict coordination with the drawings. The Developer shall coordinate requirements with NYPA. Electrical equipment, relays, conduits, cables, and conductor terminations shall be labeled and identified as to function and designation. Sample labels of each type shall be submitted.

5.20.1 Equipment Labels

Equipment and device nameplates shall be engraved laminated phenol resin. Equipment shall be labeled with equipment number and identification. Items including relays, instruments, and control switches shall be labeled to show device designation and function.

5.20.2 Cable Identification

Each single or multi-conductor cable shall be labeled at both ends. Cables shall be tagged within the termination enclosures using approved cable tags with lettering minimum 3/16" tall. Cable tags shall be located near the end of the jacket, at a safe distance from terminations, attached using plastic wire tie, and not be concealed. In addition to cable tags, termination labeling is required, see below.

5.20.3 Conductor Identification – Termination Labels

Conductor terminations, regardless of use, shall be identified. Each termination shall have a sleeve identifying the terminal point of the conductor. Conductor identification sleeve material shall be approved. Lettering for conductor marker sleeves shall be 1/8" tall.

5.20.4 Conduit Identification

The Developer shall label each end of conduits with stamped 19-gage brass tags affixed by stainless steel wire ties. Tags shall be 1.50" diameter with 3/16" diameter top hole. The Conduit number shall be stamped with 3/16" tall letters.
Site selection and development is critical to the design of the switchyard. The Developer shall consult and coordinate with NYPA concerning site selection. The Developer selected site shall be suitable for the intended purpose. Plot, layout, and availability of real estate (future purchase) shall take into account that available plot area for future expansion (additional bay of HV circuit breakers) is required. The switchyard shall not be “boxed in”. Sites requiring extensive cut and fill should be avoided.

6.1 Soil Conditions

A. The Developer shall perform geotechnical investigations to characterize the site soil bearing capacity, resistivity, water table, bedrock depth, etc. The Developer shall submit comprehensive geotechnical investigations reports. The report(s) will be the basis of site and soil classification for seismic, structural, and other design aspects. The Geotechnical Report recommendations shall be incorporated into the project structural drawings and specifications.

6.2 Limits of Construction

A. The limits of construction, roadway centerline, right-of-way, easements, and tree protection zones shall be located and flagged prior to site grading and drainage operations.

6.3 Erosion Control

A. The Developer shall design and provide erosion control. If construction limits are modified, the installation of erosion control measures and tree protection fencing shall be re-established.

B. Provide NYS approved barriers to trap sediment in runoff prior to runoff entering adjacent buffer areas.

C. Side slopes adjacent to the roadway and the switchyard will be riprap armored or will be re-vegetated to protect side slopes. Riprap stone will be hard durable rock, angular in shape, and conforming to the USACE, “Hydraulic Design of Flood Control Channels,” EM 1110-2-1601.

6.4 Drainage

A. Site drainage will be facilitated by grading the switchyard and access road or via discharge to an approved storm-water drainage system. Disturbed buffer areas will be re-vegetated with a seed mix conforming to NYSDOT Standards.

6.5 Site Surfacing

A. Materials, application of aggregate surfacing, and the sampling and testing of aggregates shall comply with NYSDOT Standards. Aggregates shall be supplied from approved NYSDOT sources. The Access road, on-site parking, and switchyard roads shall be paved. The top coat layer of asphalt paving shall be applied subsequent to the final utilization of heavy construction equipment on site.
B. Immediately prior to surfacing, the sub-grade shall be at minus 3% / plus 2% of the optimum moisture content and shall be compacted to 95% of the maximum dry density. Soft, organic, and other unacceptable material shall be removed from the sub-grade. The aggregate surface course shall be uniformly graded crushed stone. The aggregate shall be placed in uniform lifts not exceeding 8 inches pre-compacted depth (6 inches in compacted depth).

C. Field testing and sampling shall be provided by an independent testing laboratory retained by the Developer. The following tests shall be conducted for aggregate surfacing:

- Gradation tests prior to the delivery of materials on site for each aggregate source. Sieve analysis shall be made for each sample according to ASTM C136
- Two tests for laboratory density prior to delivery of material onsite for each aggregate source. Tests shall be performed according to ASTM D1557
- In-place field density tests at average intervals of one test per 500 cu. yards. In-place density shall be measured per ASTM D2922.

6.6 Switchyard Structure Design

A. Structural steel fabrication, erection, and connection design shall conform to the AISC “Specification for the Design, Fabrication, and Erection of Structural Steel”, and the provisions included in this section. The steel shall be fabricated and shipped as hot-dip galvanized according to the latest version of the Standard Specification of Zinc Coating on Structural Steel. Steel members shall be cut from full-length stock. Unauthorized splices shall be rejected.

B. Structural steel shall conform to the following:

- Wide flange shapes: ..............................................ASTM A992
- Plates and other shapes: ........................................ASTM A36
- Square and rectangular tubing: .........................ASTM A500, Grade B
- Round pipes and tubing:........................................ASTM A53, Grade A
- Anchor rods: ..........................................................ASTM F1554, Grade 36
- Headed studs:......................................................ASTM A108
- Structural bolts and nuts: .................................ASTM A325, A307, A394
- Hot-dip galvanizing of structural steel:..............ASTM A123
- Hot-dip galvanizing of bolts and nuts: ...............ASTM A153

C. Structural design criteria shall be provided on the drawings.

D. Field connections shall be bolted using ASTM A325 high-strength bolts unless noted otherwise. Welding shall conform to AWS D1.1. Welding electrodes shall comply with AWS. Concrete shall be set level. If leveling nuts are used, anchor bolts shall be designed for biaxial bending.
E. Deflection calculations shall be based on service load conditions, not ultimate loads. The following deflection limits shall apply:

- Horizontal deflection of vertical members shall be limited to 1/100 of the vertical span.
- Vertical deflection of horizontal members shall be limited to 1/200 of the span.
- Horizontal deflection of horizontal members shall be limited to 1/200 of the span.

F. The following deflection loading conditions shall be used to calculate deflections for structure racking purposes:

- NESC heavy loading;
- No wind, 60°F.

G. Loadings shall meet or exceed the following:

The loadings used in the design of structures shall not be less than those specified in the NESC for “heavy loading”. Structures, supports, etc. shall also be designed to withstand the following load:

6. Condition I (0°F) – NESC
   Structures: ..............................6.4 PSF Wind
   Bus & Conductors: .....................4.0 PSF Wind and 0.5" Ice

7. Condition II (60°F) – NESC
   Structures: ..............................Wind per NESC Rule 250C
   Bus & Conductors: .....................Wind per NESC Rule 250C and No Ice

8. Condition III (0°F)
   Structures: ..............................No Wind
   Bus & Conductors: .....................No Wind with 1.5" Ice

The following overload factors shall apply to structures and equipment:

9. Condition I (NESC load factors for Rule 250B loads and Grade B construction)
10. Condition II
    Transverse Wind Load: ..........1.25
    Transverse & Longitudinal
    Wire Pull: ..............................1.25
    Vertical Loads: .......................1.25

11. Condition III
    All Structures & Equipment: .....1.25
    Bus & Conductors: ....................1.25
Transverse loading shape factors shall be as per NESC Section 252B. Switchyard structures shall also be designed to withstand forces caused by short-circuit current.

6.7 Foundation Design

A. Foundation design shall usually be based on spread footings bearing on sandy, gravelly native soils, bedrock, or compacted structural fill placed over native soils.

B. The bottoms of exterior footings shall be placed at or below local frost depth (Geotechnical Soils Report or National Weather Service, NOAA Figure 1), but in no case less than 5 ft. Soft, organic, and other unacceptable material shall be removed from the sub-grade. If bedrock is encountered at the site, or there exists other relevant geological soil condition affecting structural stability, then the recommendations of the Geotechnical Soil Report shall be followed for foundation design and foundation bedding. The Depth of footings shall be determined from the exterior grade elevation adjacent to the foundation.

C. Steel reinforcing bars shall be in accordance with ASTM Specification A615 – Grade 60 and shall be detailed, fabricated, and placed in accordance with ACI 315. Welding of reinforcing bars shall not be permitted. Welded wire fabric shall conform to ASTM A185-Grade 40. The concrete design shall conform to ACI 318 “Building Code Requirements for Structural Concrete.” Concrete shall have a minimum compressive strength of 3,500 psi at 28 days (ASTM Specification C94). For drilled caissons, concrete shall have a minimum compressive strength of 3,500 psi at seven days and 5,000 psi at 28 days (ASTM Specification C94). Slump shall not exceed 4 inches; entrained air shall be 5-7%. Concrete shall be cured for a minimum of six days after placement.

D. Minimum concrete protective covering for reinforcement shall be as follows:
   i. Surfaces cast against and permanently in contact with earth: 3 inches.
   ii. Formed surfaces in contact with earth or exposed to weather: 2 inches.
   iii. Surfaces not in contact with earth or exposed to weather: 1½ inches.
   iv. Portland cement shall conform to ASTM C150 Type I or II. Aggregates shall conform to ASTM C33.
   v. The slab finish is very critical for certain structures. The transformer pads need to be level, true, and very smooth. These are to be finished to ACI 301 Class A tolerance.

6.8 Seismic Design

A. The switchyard and Control Building shall meet the NYSBC seismic design and installation requirements. Structures, equipment, equipment, piping, and ductwork shall be designed and constructed to resist the effects of earthquake motions in accordance with NYSBC, Chapter 16 “Structural Design”, Chapter 17 “Structural Tests and Special Inspections”. The use of SMACNA “Seismic Restraint Manual” is acceptable.

B. Basic seismic design assumptions shall include (NYSBC references):
   12. Seismic Use Group III .............(Ref: 1616.2.3)
13. Seismic Factor, $IE = 1.50$ ........(Ref: Table 1604.5)
14. Site Class = C...........................(Ref: Table 1615.1.1)

C. For seismic design purposes, meet the following minimum earthquake ground motion values (Figures 1615(1) and 1615(2)):

<table>
<thead>
<tr>
<th>NYPA Site Location</th>
<th>SS (%) *</th>
<th>S1 (%) **</th>
</tr>
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<tr>
<td>Ashokan</td>
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<tr>
<td>Blenheim Gilboa</td>
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<tr>
<td>Vischer Ferry</td>
<td>28.2</td>
<td>9.2</td>
</tr>
</tbody>
</table>

* Maximum Considered Earthquake (MCE) of 0.2 sec Spectral Response acceleration 5% damping site class B.

** Same as above except 1.0 sec Spectral Response

D. The Developer shall submit the manufacturer’s complete design calculations for electrical equipment, related mechanical components, and support system. The manufacturer shall document the requirement noted in Section 1603.1.5 “Earthquake Design Data” and Section 1621.3.5 “Component Certification.”

E. Construction inspections shall meet the requirements noted in Section 1704 “Special Inspection”, specifically Section 1705.3 “Contractor Responsibility”, and Section 1707.7.3 “Component Manufacturer Certification.”

6.9 HV Circuit Breaker Platforms

A. The Developer shall provide platforms at each HV Circuit Breaker cabinet for access and maintenance. Platforms shall be steel construction with Grip Strut or equal safety grating, concrete pad, stairs, removable handrails, and appropriate footers.

6.10 Mechanical

A. The mechanical design shall comply with the NYSBC, NYS Mechanical Code, NYS Energy Conservation Code, NYS Fuel Gas Code, and NYS Plumbing Code, plus codes and standards.

B. The propane emergency generator shall be installed in accordance with NYS codes, and NFPA. Provide combustion, cooling, and ventilation air. Provide safety valves, shut-offs, regulators, and vents.
C. All storm and sanitary drainage systems shall comply with NYS and local plumbing codes and the Recommended Standards for Wastewater Facilities (also referred to as the “10 States Standards”).

D. Ductwork shall be designed in accordance with SMACNA standards.
7 ENVIRONMENTAL AND FLOOD HAZARDS

A. The switchyard shall be designed and constructed in accordance with Federal, New York State, and local regulatory requirements, including NYSBC, and NYS Fire Code affecting design, construction, and operation and maintenance of the facility.

B. The Developer shall submit to Agency and NYPA a SPDES General Storm Water Permit for storm water discharges from construction activities that disturb one or more acres of land and discharge to surface water or municipal storm sewer. The Developer shall submit a Notice of Intent (NOI) form and a Storm Water Pollution Prevention Plan (SWP3) prior to the commencement of construction. The NOI and SWP3 shall be prepared in accordance with Permit for Storm Water Discharge from Construction Activity (GP-0-08-001) issued by the New York State Department of Environmental Conservation (NYSDEC).

C. The Developer shall ensure that environmental considerations are identified and addressed in the design process. SF6 gas emissions to the environment shall be minimized.

D. The Developer shall identify and submit Environment, Health & Safety (EH&S) operational documents and plans.

E. The Developer shall arrange for disposal of excavated soil and other waste materials utilizing approved disposal rules and procedures.

F. Surfacing material such as crushed stone shall be well graded and washed with no fine materials which would cause dust. Crushed stone shall be granite type, shaped flat, to provide low hazard walking surfaces.

G. The Developer shall provide freeze protection of control, relaying, and instrumentation components installed outdoors.


I. The secondary containment shall be designed to contain the full volume of the oil, plus any water which may enter the area, including water from precipitation from a 25-year, 24-hour storm event.

J. Any discharge from the oil containment area shall either be captured and held for disposal or shall be treated for discharge to SPDES limits. The use of perforated pipes or percolation pits for flows from oil containment is prohibited.
8 SECURITY

A. The switchyard shall be totally enclosed by an 8' tall #9 AWG galvanized steel chain link fence with pipe rail at top and bottom and one-foot topping of three strands of barbed wire. The fence, gates, and barbed wires shall be connected to the grounding system. A motorized sliding gate and a main gate shall be provided to facilitate traffic access to the switchyard. Sliding gate installation shall comply with ASTM F2200 and F1184. No gap between gate and fence post shall exceed 2”. The yard shall be graded so that the bottom fence gap is maximum 1”. The bottom gap at the motorized gate shall be maximum 4”. The fence shall be constructed to accommodate a future fence-mounted intrusion detection system.

B. The Developer shall provide the switchyard security system, including alarm system, PTZ (Pan Tilt Zoom) cameras, access point key card, and/or card readers, DVRs for CCTV, and appropriate lighting.

C. The cameras shall be installed to capture the switchyard perimeter areas. As such, perimeter lighting for night time recording shall be even and low, approximately 1/5th of the surrounding area lighting.

D. The cameras shall be controlled by the intrusion system.

E. All security system component power including CCTV cameras, monitors, intrusion detection and alarm devices and panels shall be battery-backed for 12 hours.

F. The Developer shall take measures for animal deterrence such as physical barriers and other methods. A type of physical barrier would be a fence with small mesh fabric.

G. Additional site specific security requirements may be applicable. The Developer shall coordinate with NYPA.

H. The Developer shall provide a free-standing sign near the road identifying the NYPA Switchyard (includes 911 signage); plus fence identification/emergency notification signage and HV safety signage.
9 FIRE PROTECTION, EQUIPMENT, AND PERSONNEL SAFETY

A. Switchyard fire protection shall be designed in accordance with IEEE 979-1994 (R2004) Guide for Substation Fire Protection. The Developer shall provide fire protection components including:

- Control and power cables used in the Control Building shall be constructed of a material that does not readily propagate fire and shall be qualified by the flame test parameters specified in the latest edition of IEEE Std. 383. Arrangement of control panels and electrical equipment shall be designed to meet the flame-retardant specifications as prescribed by the latest edition of ANSI/IEEE Standard 420.

- The Control Building shall have at least two exits located at opposite ends of the building. The arrangement of control panels and electrical equipment inside the Control Building shall be designed to allow personnel to exit the building from either side. The doors shall be adequately marked with illuminated exit signs and the doors shall open in the direction of egress. Doors shall be equipped with panic hardware that will override any exterior lock on the doors. Emergency lighting shall be installed in the Control Building. Emergency lighting shall also be installed on the exterior walls or the building at personnel exits to illuminate the area to allow persons to move away from the building. Emergency lighting and egress arrangement shall conform to the latest editions of ANSI/NFPA standards, NYS Fire Code, and NYSBC Chapter 11 - Means of Egress.

- Surge arresters shall be located as close as possible to the equipment they are protecting yet minimize the possibility of damaging nearby equipment due to an exploding arrester. Surge arresters installed inside the Control Building shall be enclosed or located a safe distance away from passageways and combustibles to minimize the possibility of an equipment fire initiated from surges. Arresters shall be rigidly supported and properly grounded. Arrester arrangement shall conform to the guidance in the latest editions of IEEE. Arrester discharge vent direction shall be indicated on the drawings.

- All floor and wall openings in the Control Building shall be sealed to maintain the fire rating of the floor or wall, as directed by NYSBC Chapter 7, “Fire Resistance Rated Construction”. Conduits shall be sealed at ceiling, floor, and wall penetrations to prevent the propagation of flame, smoke, and other gases/vapors from one area to another. Floor and wall openings shall be sealed in accord with a UL listed penetration detail to maintain the fire rating of the floor or wall.

- Portable fire extinguishers shall be located in the switchyard and in the Control Building including adjacent to normal entrance/exit doors. Only portable fire extinguishers having a nonconductive extinguishing agent, such as dry chemical agent, or clean agent types shall be used on fires involving energized electrical equipment. Carbon dioxide fire extinguishers equipped with metal horns shall not be allowed. Carbon Dioxide type fire extinguishers shall not be used for battery room locations. Only portable dry chemical extinguishers shall be provided for the switchyard. The type, size, distribution, and installation of portable fire extinguishers shall be per the latest edition of ANSI/NFPA 10.

- All extinguishing agents shall be non-conductive.

- Specific fire safety measures for the Control Building are described in Section 5.
SF6 is a nonflammable gas that may generate by-products as a direct or indirect result of a fire. Protection against SF6 concentrations inside any enclosure housing equipment shall be considered in building/enclosure ventilation design. Precautions regarding the harmful effects of SF6 gas and SF6 gas by-products can be found in IEEE Std. C37.122.

The Developer should consider additional methods of fire protection:

- Physical separation of equipment
- Fire barriers
- If there isn’t municipal water main available, or if it is insufficient, then consider pressure maintenance pumps, water storage tanks or a private fire service
- Minimize the spread of flammable oil by containment
- Fire Suppression Systems:
  - Portable Fire Extinguishers
  - Sprinkler Systems
  - Deluge System
  - Dry Chemical
  - Clean Agent
10 REGULATIONS, STANDARDS, AND CODES

Developers design and construction Work shall comply with regulations, standards, and codes including those listed below. The most recent edition of the listed documents in effect at the time of the construction shall apply. Any proposed exceptions shall be submitted. Any conflict between the standards or codes or regulations and the DCDC shall be submitted with an explanation and proposed resolution to NYPA. In general, the more stringent requirement shall apply. Where an organization is listed include, without limitation, all codes, guides, and standards of that organization.

The design and construction of control building wiring shall meet the National Electrical Code (NEC; NFPA 70), NFPA 70E, NYSBC, and local Authority Having Jurisdiction (AHJ) requirements including lighting, receptacles, fire alarm detection, and protection, security, and HVAC.

NYPA Code Compliance shall issue Building Permits for Work on NYPA owned property. The Developer shall follow NYPA procedures relating thereto. In addition, NYPA Code Compliance will act in an advisory capacity to the (AHJ) for the Construction Permits for the switchyard to be owned by NYPA. That AHJ shall not issue Temporary or Final Certificate(s) of Occupancy or Certificate(s) of Completion for the Work without prior written concurrence from NYPA. The Developer shall implement NYPA Code Compliance department requirements as if NYPA were the AHJ.

Codes and Standards:

- Aluminum Association
- American Association of State Highway and Transportation Office
- American Concrete Institute (ACI)
- American Institute of Steel Construction Specification and Standards (AISC)
- American National Standards Institute (ANSI)
- American Society of Civil Engineers (ASCE)
- American Society of Heating, Refrigerating, and Air-conditioning Engineers (ASHRAE)
- American Society for Testing and Materials (ASTM)
- American Welding Society Structural Welding Code (AWS)
- Association of Edison Illuminating Companies (AEIC)
- Concrete Reinforcing Steel Institute (CRSI)
- Construction and Materials (NYSDOT Standards)
- Illuminating Engineering Society Handbook
- Institute of Electrical and Electronic Engineers (IEEE)
- Insulated Cable Engineers Association (ICEA)
- National Bureau of Standards (NBS)
- National Electrical Code (NEC)
National Electrical Manufacturers Association (NEMA)
National Electrical Safety Code (NESC)
National Earthquake Hazards Reduction Program (NEHRP)
National Fire Protection Association (NFPA)
New York Code of Rules and Regulations (NYCRR)
New York State Building Code, including referenced standards.
New York State Department of Transportation
New York State Energy Conservation Construction Code
New York State Fire Code
New York State Fuel Gas Code
New York State Independent System Operator (NYISO)
New York State Mechanical Code
New York State Plumbing Code
New York State Reliability Council (NYSRC)
North American Electric Reliability Corporation (NERC)
Northeast Power Coordinating Council (NPCC)
Occupational Safety and Health Administration Standards (OSHA)
Sheet Metal and Air Conditioning Contractors National Association (SMACNA)
Underwriters Laboratory (UL)
United States Code of Federal Regulations (CFR)
U.S. Army Corps of Engineers (USACE)
USDA Rural Utilities Service Bulletins
11 APPENDIX A: STL – FDR PROJECT DRAWING INDEX

**Prefix**

- 100-3-Adirondack Substation
- 100-4-Saranac Substation
- 100-5-Cumberland Head
- 100-6-Plattsburgh Substation
- 100-8-Reynolds Substation
- 100-10-General Motors
- 100-13-Municipal & Coop.
- 100-14-Horton Sub. (MED)
- 100-D-Duley Substation
- 100-RY-Ryan Substation
- 110-VD-Hawkins Point Visitor Center
- 128-MTC-Marcy Training Center
- 174-MC-Marcy Substation
- 6487-MC-Marcy Substation
- 174-MS-Massena Substation
- 6489-MS-Massena Substation
- 176-W-Willis Substation
- PA-5-RMPD, LSD, ID, MI & S.P.

**PA-5-NUMERICAL INDEX**

- PA-5-1000 Architectural
- PA-5-2000 Concrete
- PA-5-3000 Structural
- PA-5-4000 (See SEWCALM)
- PA-5-5000 Mechanical
- PA-5-6000 Iroquois Dam, Mass. Intake, Etc.

**TRANSMISSION LINE DWG. INDEX**

- 100-MA1-Moses-Adir. MA-1 & 2
- 100-MA3-Moses-Adir. Third Ckt.
- 100-MW1-Moses-Willis-Platts. 1 WP1
- 100-PV20-Platts.-Vermont
- 100-PAF1-Platts.-Air Base
- 100-PSI-Platts.-Saranac
- 100-MR1-Moses Reynolds
- 100-RGM1-Reynolds-Gen. Motors 13.8KV
- 100-MRG-Moses-Reys.-GM
The Electrical Drawings are arranged in a uniform numbering sequence. The alphabetical numbering scheme describes the type of drawing.

S........Schematic
E.........Elementary
W.........Wiring
C........Conduit
A.........Arrangement
L ..........Lighting
M ........Miscellaneous
N..........Numerical
T ..........Transmission

**SCHEMATIC DRAWING INDEX**
1S.........Index
2S........Legend (see 3E also)
3S.........Relay Setting Sheets
4S........Logic Diagram
5S.........Power System One Line
6S.........System Diagram
9S.........Metering & Relaying One Line
11S.......Grounding One Line
13S.......Protective Functions
15S.......D.C. Systems
17S.......13.8KV One Line
18S.......480V – One Line
25S.......Phasing
27S.......Communications (see 54E)
30S.......Computers
33S.......Monitors

**ELEMENTARY DRAWING INDEX**
1E.........Index
2E........Internal Device Diagrams
3E........Legend
5E........Synchronizing

For the latest revision of this document, refer to the Policy and Procedure PowerNet Site.
6E........D.C. Systems
7E........Generator and Sync. Cond. Control
8E........Synchronous Condensers
9E........Generator Instrumentation
10E.......Generator Excitation
11E.......Intake and Draft Tube
12E.......Relaying Generator
13E.......Transformer Relaying
14E.......Bus Relaying
15E.......Line Relaying Under Frequency Load Shedding
16E.......Breaker Failure and Backup Relaying
17E.......Breaker Control
18E.......Motor Operated Disconnect Control
19E.......Station Service Switchgear (13.8KV)
20E.......Disconnect Switches
21E.......Breaker Failure – Synchronous Condenser
22E.......A.C. Elementary
24E.......Annunciator
26E.......CO2 Systems and Fire Protection
28E.......Transformer Control
29E.......Reactor Bks. & Over-voltage
30E.......Oscillograph
31E.......Watthour Metering
33E.......Drainage and Unwatering
34E.......Heating & Ventilating, Fire Dampers and Fans
35E.......Lighting
36E.......Miscellaneous
40E.......Station Service Switchgear (480V)
48E.......Load Frequency Control
50E.......Supervisory Control
52E.......Telemetering – Recorders, Transducers, RTD’S
53E.......Sequential Event Recorders
54E.......Communications
60E.......Centrimax
70E.......Cranes
80E.......Monitoring System

**WIRING DRAWING INDEX**

1W.......Index
6W.......Main Control Board
7W.........SERS Term. Cabs.
8W.........“R” Term. Cabinets
9W...........L” Term. Cabinets
10W...........C” Term. Cabinets
12W........Station Service & Recorder Board
13W.......Data Acquisition Cabinets
14W...........S” Term. Cabinets
16W...........LR” Line Relaying Cabinets
18W...........PDA” Potential Device Adjust
19W........Pilot Wire Terminal Cabinets
20W...........CC” Carrier Current Cabinets
21W........CCT Carrier Current terminal Cabinets
22W.......Console – Control Room
23W.......Supervisory Console (Computer)
24W.......Generator Control Wiring
25W.......Generator Diff. Cabinets
26W.......Unit Boards
27W.......Synchronous Condenser
28W.......D.C. Station Batteries
29W.......Switchgear 13.8KV
30W.......Station Service Sw. Gr. (480V)
31W.......MCC – Motor Control Center
32W.......“M” Panels
36W.......Reactor Banks
38W.......Main Transformer Banks
40W.......Switchyard Wiring
41W.......Revenue Metering Panels
42W.......Communications System
44W.......Drum Gates
48W.......Drainage & Unwatering
50W.......Heating & Ventilating
60W.......Fire Protection
61W.......CO2 Wiring
65W.......Miscellaneous
66W.......Test Bench – Test Lab.
67W.......Test Lab. Control Panel
70W.......Gantry Cranes
80W.......Generator Condition Monitors

For the latest revision of this document, refer to the Policy and Procedure PowerNet Site.
CONDUIT & GROUNDING INDEX
1C..........Index
2C..........Office Bay or Control House
3C..........Elevation 246.0 or Switchyard
4C..........Elevation 210.0
5C..........Ice Sluices
6C..........Elevation 194.5’ Units
7C..........Elevation 174.0’ Units
8C..........Elevation 159.0’ Units
9C..........Conduit Details
10C........Conduit & Cable Schedule Index
11C........Conduit & Cable Schedule
12C........Tray & Cable Schedule

ARRANGEMENT INDEX
1A..........Index
2A..........Control House Arrangement
3A..........Miscellaneous Arrangement
5A..........Generator Main Leads (Isolated Phase Bus)
7A..........Main Transformer Arrangement
8A..........High Voltage Leads – Power Tunnel – Pipe Type Cable
10A--------Cable Trays
12A........Crane Conductor System
22A........Control areas

LIGHTING DRAWING INDEX
1L..........Lighting Index
2L..........Fixture Type Drawing or Control House & Tunnels
3L..........Lighting One Lines or Swyd. Miscellaneous Details
4L..........Lighting Plans
5L..........Lighting Panel Schedules
6L..........Lighting Panel Details
7L..........Lighting Wiring Diagrams
8L..........Lighting Miscellaneous Details

ALL ELECTRICAL EQUIPMENT OUTSIDE OF POWERHOUSE
1M..........Index
4M..........Switchyard Arrangement
6M..........Switchyard Plans & Sections
8M..........Switchyard Conduit & Grounding
10M........Switchyard Lighting

For the latest revision of this document, refer to the Policy and Procedure PowerNet Site.
12M ......Service Building
13M ......Switchyard Relay Building
14M ......Fan Houses
16M ......Maintenance Building
18M ......Maintenance Storage Building
19M ......Maintenance Storage Building No. 2
20M ......Boiler House & Water Tank
21M ......Office Annex
22M ......Guard House
24M ......Warehouse Building
26M ......Synchronous Condenser
30M ......Tower Take Off – Tower Line A-B-C-D
32M ......13.8KV Distribution System
34M ......Sub – Distribution – Parkway Ltg. & Obstruction Ltg.
40M ......Coles Creek
50M ......Long Sault Dam
60M ......Construction Power
61M ......Cedar Rapids Transmission Line Relocation
70M ......Visitor Center
80M ......Eel Ladder

NUMERICAL INDEX
1N.........Index
4N.........Substation Topography
6N.........Grading Plan
8N.........Foundations
9N.........Foundation Repair
10N........Swyd. Steel
12N.......Bldg. Arch. – Steel – Conc.
16N.......Heating & Vent.
18N.......Plumbing
19N.......Fire Alarm

TRANSMISSION INDEX
1T ........Index
3T ........Location Plan
4T ........Clearing ROW
5T ........ROW Map
7T ........Plan & Profile
8T ........Wood Str.
9T ........Steel Towers
## E-Signature Approval History

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