

SUPPLIER DIVERSITY (MWBE/SDVOB) - UTILIZATION PLAN

INSTRUCTIONS: This form must be submitted with any bid, proposal, proposed/negotiated contract or as otherwise required by the Authority and Canal Corporation. **The Utilization Plan must contain details of the services and/or supplies to be provided by each NYS certified business and indicate the dollar amount or percentage of contract utilization.** Attach additional sheets if necessary. Additional resources are available on nypa.gov/procurement or from the Supplier Diversity team.

Bidder/Proposer's Name:

Address:

City, State, Zip Code:

Telephone No.:

Region/Location of Work:

Federal Identification No.:

Solicitation No.:

MWBE Goals: MWBE % or (MBE % WBE %)

SDVOB Goal: %

Total Dollar Value of Proposed Prime Contract: \$

NYS-certified MWBE & SDVOB Subcontractors/Suppliers Contact Information	NYS Certification (Check One)	Subcontract Information			
		Description of Work / Supplies Provided	Contract Value \$ or %	Start Date	Completion Date
Company Name: FEIN: Address: Contact Name: Phone #: E-Mail Address:	<input type="checkbox"/> MBE <input type="checkbox"/> WBE <input type="checkbox"/> SDVOB		\$ OR %		
Company Name: FEIN: Address: Contact Name: Phone #: E-Mail Address:	<input type="checkbox"/> MBE <input type="checkbox"/> WBE <input type="checkbox"/> SDVOB		\$ OR %		
Company Name: FEIN: Address: Contact Name: Phone #: E-Mail Address:	<input type="checkbox"/> MBE <input type="checkbox"/> WBE <input type="checkbox"/> SDVOB		\$ OR %		

Note: If unable to fully meet the Supplier Diversity (MWBE and/or SDVOB) - Participation Goals set forth in the solicitation, the bidder/proposer must submit a Supplier Diversity - Request for Waiver form for a partial waiver, in addition to the Utilization Plan.

Select One: ☐ New Utilization Plan or ☐ Modification to Existing/Approved Utilization Plan

Prepared By (Signature): _____

Date:

Name and Title of Preparer (Print or Type):

Email Address:

SUBMISSION OF THIS FORM CONSTITUTES THE BIDDER/PROPOSER'S ACKNOWLEDGEMENT AND CONTRACT TO COMPLY WITH THE MWBE AND SDVOB REQUIREMENTS SET FORTH UNDER NYS EXECUTIVE LAW ARTICLE 15-A AND VETERAN SERVICES LAW ARTICLE 3, 5 NYCRR PART 143, 9 NYCRR PART 252, AND THE ABOVE-REFERENCED SOLICITATION. FAILURE TO SUBMIT COMPLETE AND ACCURATE INFORMATION MAY RESULT IN A FINDING OF NON-RESPONSIVENESS, NONCOMPLIANCE AND POSSIBLE TERMINATION OF THE CONTRACT. DOCUMENTS SUBMITTED BY VENDORS MAY BE SUBJECT TO DISCLOSURE UNDER APPLICABLE LAW, INCLUDING, WITHOUT LIMITATION, BY THE AUTHORITY AND CANAL CORPORATION POSTING DOCUMENTS TO ITS WEBSITE.

NYPA & CANAL – SUPPLIER DIVERSITY

REVIEWED BY:

DATE:

MWBE PARTICIPATION: ☐

SDVOB PARTICIPATION: ☐

Utilization Plan Approved:

☐ YES ☐ NO Date: _____

Notice of Deficiency Issued:

☐ YES ☐ NO Date: _____

Notice of Acceptance Issued:

☐ YES ☐ NO Date: _____