



**MINUTES OF THE MEETING
OF THE
GOVERNANCE COMMITTEE**

September 26, 2017

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Minutes of the regular meeting of the New York Power Authority's Governance Committee held at the Clarence D. Rappleyea Building, 123 Main Street, White Plains, New York, at approximately 8:00 a.m.

The following Members of the Governance Committee were present:

Trustee Eugene L. Nicandri, Acting Chair
Trustee John R. Koelmel, Member
Trustee Tracy McKibben, Member

Trustee Anne M. Kress, Excused
Trustee Anthony Picente, Jr. - Excused

Also in attendance were:

Dennis G. Trainor	Trustee
Gil Quiniones	President and Chief Executive Officer
Justin Driscoll	Executive Vice President and General Counsel
Karen Delince	Vice President and Corporate Secretary
Genevieve Fabela	Treasurer
Lorna Johnson	Senior Associate Corporate Secretary
Sheila Baughman	Senior Assistant Corporate Secretary
Jaijah Gottor	Lead Network Analyst – Infrastructure
Glen Martinez	Senior Network Analyst – Infrastructure

Acting Chair Nicandri presided over the meeting. Corporate Secretary Delince kept the Minutes.

Introduction

Acting Chair Nicandri welcomed committee members and Authority senior staff to the meeting. He said the meeting had been duly noticed as required by the Open Meetings Law and called the meeting to order pursuant to Section B(4) of the Governance Committee Charter.

1. **Adoption of the Proposed Meeting Agenda**

Upon motion made by Trustee McKibben and seconded by Trustee Koelmel, the Agenda for the meeting was adopted.

2. CONSENT AGENDA:

Upon motion made by Trustee Koelmel and seconded by Trustee McKibben, the Consent Agenda and Reports provided by staff to members of the Governance Committee were approved.

a. **Approval of the Minutes**

Upon motion made and seconded, the Minutes of the Committee's Regular Meeting of July 25, 2017 were approved.

b. Procurement and Related Reports

The Vice President of Procurement and Strategic Supply Management submitted the following report:

"SUMMARY

This report is to advise the Governance Committee of certain 2017 activities of the Procurement Division, including: procurement contract activity, disposal of personal property (including Fleet-related transactions), Supplier Diversity Program activities and plant inventory statistics; as well as fossil fuels activities conducted by the Fuel Planning and Operations Group; corporate finance activities conducted by the Treasury Group; and transfers of interest in personal property to Canal Corporation.

BACKGROUND

Pursuant to Subsection C.5 of the Authority's Governance Committee Charter relating to Reports, the Vice President – Procurement and Strategic Supply Management is required to report to the Committee at all regularly scheduled meetings and the Committee has the authority to require Procurement and other staff to prepare additional reports and to produce documents for Committee review.

The reported activities are governed by various State laws and regulations and are set forth in the attached Reports.

DISCUSSION

As more fully described in the individual reports attached hereto as Exhibits 2b-A through 2b-G, the Procurement Contract Report summarizes activity for procurements of \$5,000 or greater that were active in 2017, as identified by the Authority's SAP Enterprise Resource Planning ('ERP') system. The Disposal of Personal Property Report lists all personal property disposal transactions over \$5,000 conducted during 2017. The Supplier Diversity Program Activity Report summarizes dollars awarded to New York State-certified Minority and Women-owned Business Enterprises ("MWBEs") as well as to Service-Disabled Veteran-Owned Businesses ('SDVOBs') based on reportable expenditures. The Plant Inventory Analysis lists current stock value and compares it to that of the previous year, with a brief explanation for any significant increase or decrease, where applicable. The Fossil Fuels and Corporate Finance Reports list the fuel- and finance-related transactions conducted by the Fuel Planning and Operations and Treasury work groups, respectively. The Transfer of Interest in Personal Property to Canal Corporation report identifies personal property transferred from the Authority to Canal Corporation deemed prudent by the Contracting Officer to facilitate the Canal Corporation's obligations to operate, maintain, construct, reconstruct, improve and develop the canal system.

FISCAL INFORMATION

There will be no financial impact on the Authority.

RECOMMENDATION

The Governance Committee is requested to review and approve the Procurement, Fossil Fuels and Corporate Finance Reports (attached hereto as Exhibits 2b-A through 2b-G)."

c. Real Estate Report

The Vice President of Enterprise Shared Services submitted the following report:

SUMMARY

This report is to advise the Governance Committee of certain 2017 activities of the Real Estate Division regarding the acquisition and disposal of real property.

BACKGROUND

Pursuant to Subsection C.5 of the Authority's Governance Committee Charter relating to Reports, the Vice President - Enterprise Shared Services, the Vice President - Procurement and the Director - Real Estate are required to report to the Committee at all regularly scheduled meetings, and the Committee has the authority to require Real Estate staff to prepare additional reports and to produce documents for Committee review. Due to recent staff reorganization, the Real Estate Division now reports to the Vice President - Enterprise Shared Services, who is submitting this report.

The reported activities are governed by various State laws and regulations and are set forth in the attached Report.

DISCUSSION

The Report of the Acquisition and Disposal of Real Property for the period January through September 13, 2017 is attached hereto as Exhibit 2c-A."

d. New York Power Authority's Ethics and Compliance Program and Reliability Standards Compliance

The Vice President and Chief Ethics & Compliance Officer submitted the following report:

ETHICS and COMPLIANCE

"SUMMARY

The Office of Ethics and Compliance ('E&C Office') advises NYPA's trustees, officers and employees on the legal, regulatory and NYPA Code of Conduct ethics and compliance standards relating to NYPA's employees and operations. It coordinates the investigation of allegations and concerns involving NYPA's assets and employees. This report highlights significant developments in NYPA's ethics and compliance program for the period July 25, 2017 through September 26, 2017.

BACKGROUND

The principal substantive issues arising under the NYS ethics laws and NYPA's Code of Conduct investigated or researched since the most recent Governance Committee report on July 25, 2017 include various requests to engage in outside activities and employment and issues concerning conflicts of interest, including familial relationships and external board appearance issues, post-employment analyses, policy reviews and gifts inquiries.

DISCUSSION

NYPA has a paid volunteer time ('PVT') policy which allows for salaried employees to take two days annually to volunteer for recognized not-for-profit organizations without using vacation or floating holidays. Recently an employee took the leave under this policy without having completed the required paperwork. After repeated attempts to facilitate the employee's compliance with NYPA's policy, the paid leave status was reversed, and the employee was charged a vacation day for the volunteer time off.

The Buffalo & Erie County Greenway Fund Standing Committee, a group created as a result of the Niagara Project's relicensing agreement, celebrated 10 years of awards and the distribution of approximately \$20 million to projects in the communities it represents. The Committee proposed a tour of several award recipient sites followed by a reception underwritten by the M & T Bank/Wilmington Trust's Charity Corporation. M & T Bank/Wilmington Trust is the Trustee bank for NYPA's annual \$2 million payment to the Buffalo & Erie County Greenway Funds. A review was conducted to determine whether it would be permissible for the bank's Charity Corporation to fund the reception after the tour as it may have created an appearance of a conflict of interest (i.e., quid pro quo scenario to retain the account). During the review, M & T Bank/Wilmington Trust's Charity Corporation withdrew support for the reception.

While inspecting sites for the aforementioned reception, a NYPA staff member visited a local park owned by NYPA but operated by an outside organization. While inside the park's administrative offices the employee noticed a political candidate's fundraising poster prominently displayed. The employee reported this advertisement to the E & C Office which contacted the facility manager and facilitated the removal of the current poster and to ensure that staff at the facility had a clear understanding that political materials could not be displayed on any state owned properties.

NYPA recently was successful in the first round of a competition to submit a project to secure the right to partner with IBM's Watson super computer. The project will analyze historical and near real-time transmission system data as a predictive tool to enhance the reliability of the electric grid and assist in identifying anomalies within this data set that could point to

maintenance needs before they become critical issues. A review was conducted related to confidential information and any issues that may arise out of potential exposure of this data. All identifying information will be removed from the provided data to ensure its confidentiality.

Several members of the Northern New York Power Proceeds Allocation Board (NNYPPAB) have requested opinions from the Corporate Secretary and the E & C Office regarding potential conflicts of interest or an appearance of a conflict of interest relating to current funding applicants appearing before the NNYPPAB. Certain applicants previously appeared before these board members while they were serving on the boards of other funding entities in the North Country or may have interacted with their private sector employers. Several appointees on these external NYPA boards serve in economic development or community leadership roles in which they reviewed grant or funding applications from organizations who are now appearing before the NNYPPAB seeking additional funding for their businesses. The Board members self-disclosed these potential conflicts and working in conjunction with the Secretary's Office, we determined that if the board members had any personal involvement with any type of award to applicants before the Power Allocation Boards that they would need to recuse themselves from evaluating or voting upon those particular applicants. Otherwise, their disclosures pertaining to prior funding reviews would be formally identified on the NNYPPAB record to ensure transparency. This action is consistent with the Conflicts of Interest Procedure applicable to the NNYPPAB.

Several employees have disclosed and been approved to engage in outside employment working for other local, county and state agencies including; town board positions, SUNY adjunct professors, equine testing for the NYS Gaming Commission and part time police and corrections officers. All outside employments approvals are conditioned upon the employees' agreement to follow prescribed ethics guidelines, including their not utilizing any NYPA or State assets in connection with their outside endeavors.

Cases by Location and Category for the Period July 25-September 26, 2017

Category	Albany	BG	Buffalo	CEC	Niagara	STL	WPO	Multiple Sites	Totals
Allegations							1	1	2
Conflict of Interest						1	1		2
General Inquiries							1		1
Gifts					2		1		3
Nepotism					1		1		2
Outside Activities	1		1		2	1	6		11
Outside Employment		3		4	2		3		12
Political Inquiries					1	1			2
Post-Employment							2		2
Unwarranted Privilege					1		2	1	4
Use of Assets							1		1
Totals	1	3	1	4	9	3	19	1	42

Collaboration with the New York State Inspector General's Office and Other External Agencies

NYPA continues to collaborate with the NYS Inspector General's Office and other external federal and state agencies in providing requested documentation or information related to ongoing investigations. The E&C Office is NYPA's liaison for coordinating compliance with investigations affecting NYPA and its business partners.

The E & C Office has been facilitating the Financial Disclosure Statement filings of employees in the NYS Joint Commission on Public Ethics' ('JCOPE') Financial Disclosure website. JCOPE has continued to enhance the capabilities of its electronic filing system, and after several years of building the system, it is addressing overdue filings. In most instances, employees had completed the filing but had not attested to the accuracy of the statements made on the form. No employees have faced any administrative hearings or fines for having failed to complete their forms in a timely manner.

Training and Outreach

Joseph Gryzlo addressed all NYPA and Canals staff at the most recent All-Hands meeting which was held in the Jaguar Room and broadcast to all NYPA and Canals sites. The presentation was a high level overview of several key ethics principles including nepotism, outside employment and activities, post-employment, financial disclosure, Freedom of Information Law and Project Sunlight.

In the 4th quarter, the E & C Office will deliver the Comprehensive Ethics Training Course ('CETC') developed by JCOPE as well as the abbreviated Ethics Refresher Training for those employees who have completed the CETC within the past three years."

RELIABILITY STANDARDS COMPLIANCE

"SUMMARY

This report highlights important aspects of NYPA's NERC Reliability Standards compliance management program for the period July 25, 2017 to September 26, 2017. A brief background statement is followed by discussion of specific to Reliability Standards-related topics affecting the enterprise.

BACKGROUND

Background information related to the origin of the North American Electric Reliability Corporation (NERC) mandatory standards for reliability and NYPA's obligations to demonstrate compliance with the standards has been presented in previous reports to the Governance Committee.

DISCUSSION

NERC Reliability Standards Compliance Enforcement Actions

During the reporting period, there were six (6) new possible violations of the NERC Reliability Standards reported to the Northeast Power Coordinating Council ('NPCC').

1. CIP-007 Cyber Security - System Security Management: A relay technician discovered that the instructions in a job plan for certain BES Cyber Assets (Schweitzer SEL 300 Series Relays) were incomplete. The job plan did not have a discrete instruction that required security event logging capabilities be enabled for these assets. The investigation team determined that the incomplete job plan is a condition in which there is a possible

violation of CIP-007 R4.1. This requirement requires this security feature to be enabled at all times.

2. CIP-006 Cyber Security - Physical Security of BES Cyber Systems: While conducting an investigation of a possible incident involving unauthorized access into a protected area, site physical security staff discovered that the electronic historical access logs included 30-days of access records which do not meet the 90-days requirement as per CIP-006 R1.9. Thus, the investigation team determined that this event is a possible violation.
3. CIP-004 Cyber Security - Personnel & Training: A contract employee was terminated by its company. This contract employee had physical and interactive remote access to BES Cyber Assets. CIP-004-6, R5.1 requires that revocation of these privileges be performed within a 24-hour period. NYPA removed these privileges for this employee approximately 13-hours after the 24-hour threshold. Thus, the investigation team determined that this event is a possible violation.
4. CIP-007 Cyber Security - System Security Management: Staff identified six (6) switches, BES Cyber Assets, for which security event logs were not automatically monitored by a Security Incident and Event Management System or a Security Operations Center ('SIEM/SOC'). CIP-007 R5.7 requires that these specific BES Cyber Assets be monitored by a SIEM/SOC. Thus, the investigation team determined that this event is a possible violation.
5. PRC-019 Coordination of Generating Unit or Plant Capabilities, Voltage Regulating Controls, and Protection: As required by a regulatory implementation plan, NYPA did not document coordination of 40% of its applicable Facilities by July 1, 2016. NYPA documented coordination for 38.3% of its applicable Facilities. Thus, the investigation team determined that this event is a possible violation.
6. PRC-005 Protection System, Automatic Reclosing, and Sudden Pressure Relaying Maintenance: Staff identified twenty (20) BES battery systems that were not being tested for intercell resistance as required by PRC-005 R3 and its implementation plan. These battery systems have physical design constraints that do not allow this type of testing. As this is a specific test requirement under PRC-005, the investigation team determined that this event is a possible violation.

These possible violations were determined to be of minimal impact to the Bulk Electric System ('BES'). As such, RSC reported these to NPCC under the self-logging process. If confirmed by NPCC, these minimal impact violations will be processed as Compliance Exceptions and will not result in any penalties.

Investigations of Possible Violations

During the reporting period, Technical Compliance reviewed thirteen (13) investigations of possible violations of the NERC Reliability Standards. Six (6) of these were reported to the Northeast Power Coordinating Council ('NPCC') in September 2017 under the self-logging process. Two (2) investigations are under review from the previous reporting period and pending a final determination and five (5) investigations resulted in a determination of no possible violation. This internal process is viewed by the regulator as evidence that NYPA has a strong internal compliance program.

Risk-Based Evidence Management Program

Effective in late 2015, NPCC revamped the self-certification process to a risk-based model. The new process is referred to as the Guided Self-Certification program. This program is being administered to entities in the NPCC region in lieu of the previous annual self-certification

program. In response, Technical Compliance implemented a Risk-Based Evidence Management Program for identifying areas of risk and appropriate frequency for evaluation of and compliance evidence updates for the NERC Reliability Standards applicable to NYPA. This process ensures that NYPA's compliance program aligns with NERC's risk-based Compliance Monitoring and Enforcement Program and the NPCC guided self-certification process. In 2017, Technical Compliance will review and update the evidence for forty-three (43) NERC Reliability Standards that are applicable to NYPA's NERC registrations. Technical Compliance has reviewed and updated the evidence for fifteen (15) NERC Reliability Standards. These reviews have been delayed due to NERC Critical Infrastructure Protection ('CIP') audit readiness efforts.

Guided Self-Certification of Compliance

NYPA did not receive any Guided Self-Certification of Compliance notifications during the reporting period. Technical Compliance has established a rigorous process to ensure that compliance evidence is updated before NYPA self-certifies compliance with NPCC.

Bulk Electric System ('BES') Definition

As stated in earlier reports, the Federal Energy Regulatory Commission ('FERC') approved the new BES definition and that NYPA identified over 50 new BES elements that were subject to the NERC Reliability Standards in July 2016. The new definition applies essentially to any transmission assets operated at or above 100 kV.

The exclusion exception request for the Moses-Alcoa (MAL) 115kV transmission lines that has been reported in previous reports to the Governance Committee is still in process. In May, a NERC-led Review Panel issued its determination and concluded that the MAL lines are BES facilities and that Alcoa is required to register as the Transmission Owner and that NPCC needs to assign the Transmission Operator for the lines. Alcoa submitted an appeal to NERC in May. In July, NPCC requested updates to the documentation related to this exclusion exception request. In August, NYPA and Alcoa submitted the updated documentation to NPCC for their review.

Critical Infrastructure Protection ('CIP') Standards - Versions 5 and 6

During the reporting period, NYPA continued to effectively manage the implementation of CIP Versions 5 and 6 to include the Southeast New York ('SENY') region and the upstate Low Impact facilities and cyber system assets in the CIP Compliance Program. In parallel, Technical Compliance continues to engage the internal stakeholders to implement a work plan to establish a consistent and robust NERC CIP Compliance Program for NYPA's assets. The standardization of the program requires the implementation of certain controls that exceed, in some respects, the CIP requirements. Such an approach, ensures program stability, enhances NYPA's security posture, and aligns with NYPA's enterprise-wide cyber security strategy.

NYPA received its CIP onsite audit notification from NPCC on September 5. The audit will be observed by FERC. As scheduled, audit readiness efforts have entered the final preparation stages."

e. Motor Vehicle and Equipment Policy CP2-8

The Senior Vice President Human Resources & Enterprise Shared Services submitted the following report.

SUMMARY

Enclosed for your review and approval is an update to the New York Power Authority's Motor Vehicle and Equipment Policy CP 2-8.

BACKGROUND

This revised policy includes incorporates a portion of NYPA's Emergency Management Program which requires certain positions within the Corporate Crisis Command Team command team to be eligible to use an assigned NYPA vehicle. These positions are listed in section 5.4.1 of the Motor Vehicle and Equipment Policy and are essential to ensuring public safety, need to respond to events impacting NYPA and/or the reliability of the electric grid of New York State, or are in roles that require immediate on-site response that is critical to maintaining safe operations for NYPA staff and the public.

RECOMMENDATION

The Governance Committee recommends that the Trustees review and approve Motor Vehicle and Equipment Policy CP 2-8.

The policy Motor Vehicle and Equipment Policy CP 2-8 is attached hereto as Exhibit 2e-A."

3. **DISCUSSION AGENDA:**

a. **Appointment of Treasurer**

The President and Chief Executive Officer submitted the following report:

SUMMARY

The members of the Governance Committee are requested to recommend to the Authority's Trustees and the Canal Corporation's ('Canals') Board of Directors, the appointment, effective immediately, of Genevieve Fabela as Treasurer, with an annual salary of \$158,620.

BACKGROUND AND DISCUSSION

The appointment of officers is governed by Article IV of the Authority's Bylaws, which provides that the officers shall be appointed by formal resolution adopted by the Trustees upon the recommendation of the Governance Committee at any annual, regular or special meeting of the Trustees. The Authority's Governance Committee Charter further provides that the Committee shall review and make recommendations to the Board of Trustees concerning the election and compensation of all officers, consistent with the By-laws, the needs of the Authority, good organizational management practices and such other criteria the Committee deems appropriate.

The Canals' Bylaws, Article IV, provides that officers shall be appointed by formal resolution adopted by the Board at any regular or special meeting.

RECOMMENDATION

Pursuant to the Authority's and Canals' Bylaws and the Authority's Governance Committee Charter, I hereby request that the Governance Committee recommends to the Authority's Board of Trustees and the Canals' Board of Directors, the appointment of Genevieve Fabela as Treasurer, with an annual salary of \$158,620, effective immediately.

Upon motion made by Trustee Koelmel and seconded by Trustee McKibben, the members approved the recommendation, as submitted by the President and Chief Executive Officer, that the Authority's Board of Trustees to approve the following resolution.

RESOLVED, That pursuant to Article IV, of the Authority's and Canals' By-Laws, the Governance Committee hereby recommends the appointment of Genevieve Fabela as Treasurer, effective immediately, at an annual salary of \$158,620 to hold office until her successor is chosen and qualified or her earlier removal, resignation or death.

4. **Next Meeting**

Acting Chair Nicandri said that the next regular meeting of the Governance Committee is to be held on Tuesday, December 12, 2017 at a time to be determined.

Closing

Upon motion made by Trustee Koelmel and seconded by Trustee McKibben, the meeting was adjourned by the Acting Chair of the Committee at approximately 8:10 a.m.

Karen Delince

Karen Delince
Corporate Secretary

September 26, 2017

EXHIBITS

For

September 26, 2017

Governance Committee

Meeting Minutes

PROCUREMENT CONTRACTS SUMMARY **January - August 2017**

In accordance with the Procurement Guidelines, “procurement contracts” are contracts for the acquisition of goods and/or services in the actual or estimated amount of \$5,000 or more. Such goods and/or services are those necessary to support the Authority’s White Plains office, facilities (including Canal Corporation), operations and maintenance (“O&M”) and capital projects, including but not limited to, goods and commodities, major electrical equipment, construction, maintenance work and other services.

The following is a summary of all procurement contracts including multi-year contracts awarded through August 31, 2017. These contracts have been active during 2017 and are \$5,000 or greater in value. There are 2,169 such contracts with a total estimated value of more than \$4.7 billion not including fossil fuel or corporate finance expenditures covered in Sections 2b-5 and 2b-6 of this report. Total expenditures thus far in 2017 have exceeded \$371 million for these contracts.

The following is a breakdown of the total number of active contracts, excluding fossil fuel and corporate finance contracts, by contract type:

- 12% of these contracts are for Construction Services;
- 43% are for the purchase of Equipment and Commodities;
- 2% are for Architectural and Engineering Services;
- 2% are for Legal Services;
- 15% are for Personal Service contracts such as professional consulting services;
- 26% are for Non-Personal Service contracts such as maintenance, technicians, contracted personnel and repairs.

Based on the total value of the contracts included in this summary (\$4.7 billion), approximately 99% of contracts were competitively bid. Thus far in 2017, approximately 1% of contracts (with a total contract value of approximately \$37 million), were sole/single-source awards, which included over \$2 million in Minority- and Women-owned Business Enterprises (MWBES), Service-Disabled Veteran-Owned Businesses (SDVOBs) and NYS Small Businesses contract awards. Sole/single-source awards also included, but were not limited to, the purchase of highly specialized spare parts and services from original equipment manufacturers, procurement of services on an emergency basis and proprietary sources.

DISPOSAL OF PERSONAL PROPERTY **January – August 2017**

January through June 2017 YTD Report of Disposal of Personal Property

Public Authorities Law (“PAL”) § 2896, enacted as part of the Public Authorities Accountability Act of 2005 (“PAAA”), as amended by the Public Authorities Reform Act of 2009 (“PARA”), requires the Authority to prepare a report, not less frequently than annually, listing all Personal Property in excess of \$5,000 in value that was disposed of during the reporting period. Furthermore, pursuant to Subsection C.5 of the Authority’s Governance Committee Charter relating to Reports, the Vice President of Procurement is required to report to the Committee at all regularly scheduled meetings. To that end, the 2017 YTD Report of Disposal of Personal Property over \$5,000 in value is attached. The description of the property, purchaser’s name and price received by the Authority, as required by PAL § 2896, will be presented in the official 2017 Annual Report of Disposal of Personal Property for review and approval by the full Board of Trustees at their March 2018 meeting, referenced in the Authority’s § 2800 Annual Report and included in other filings with various State entities, in compliance with applicable law. The sale price and other additional data (such as fair market value, date of transaction, full address of purchaser, etc.) required by the Authorities Budget Office (“ABO”) will be included in the 2017 Public Authorities Reporting Information System (“PARIS”) Annual Report of Personal Property Disposal for submittal to the ABO by March 31, 2018.

FACILITIES and WPO – July and August 2017 Activity

During the reporting period, there were no personal property disposals in excess of \$5,000 in value.

FACILITIES and WPO – YTD August 2017 Summary and Subtotal

The January through August 2017 Total “Price Received by the Authority” for Personal Property Disposal transactions over \$5,000 conducted by or on behalf of the Property Disposal Coordinators (“PDCs”) at the Facilities was \$84,331.53.

FLEET – July and August 2017 Activity

During the reporting period, the Authority participated in one Fleet-related auction conducted on behalf of the Authority’s Fleet Operations Division by the firm J.J. Kane Associates, Inc. (DBA J.J. Kane Auctioneers (of Delran, NJ) on August 26, 2017. Of thirty vehicles sold for \$315,745, twelve vehicles had an assessed sale price or fair market value in excess of \$5,000 and these sales resulted in payment to the Authority of \$279,050. This value is net of transportation and other fees, as fully detailed in the attached report.

FLEET – YTD August 2017 Summary and Subtotal

In summary, the January through August 2017 YTD Fleet Total “Price Received by the Authority” for the sale of thirty-three vehicles with an assessed sale price or fair market value exceeding \$5,000 (less transportation and other fees) was \$471,150.00.

Grand Total as of 08/31/17

As summarized on page 2 of the attached Report, the YTD August 2017 Grand Total "Price Received by the Authority" for all Personal Property in excess of \$5,000 was \$555,481.53.

POWER AUTHORITY OF THE STATE OF NEW YORK

YTD AUGUST 2017 REPORT OF DISPOSAL OF PERSONAL PROPERTY OVER \$5,000

DESCRIPTION	PURCHASER	SALE PRICE	PRICE RECEIVED by the Authority
REFRIGERANT TANKS AT NIAGARA AND ST LAWRENCE PROJECTS	AIRGAS USA LLC, DBA FOR AIRGAS, INC.	\$ 6,723.88	\$ 6,723.88
SCRAP METALS AT ST LAWRENCE PROJECT	CASELLA WASTE SYSTEMS, INC.	\$ 9,994.00	\$ 9,994.00
CO2 AND HYDROGEN CYLINDERS AT ST LAWRENCE PROJECT	AMERITANX, INC.	\$ 19,000.00	\$ 19,000.00
SCRAP METALS AT ST LAWRENCE PROJECT	CASELLA WASTE SYSTEMS, INC.	\$ 15,757.03	\$ 15,757.03
SCRAP METALS AT ST LAWRENCE PROJECT	CASELLA WASTE SYSTEMS, INC.	\$ 10,156.62	\$ 10,156.62
CO2 CYLINDERS AT NIAGARA PROJECT	AMERITANX, INC.	\$ 22,700.00	\$ 22,700.00
	SUBTOTAL:	\$ 84,331.53	\$ 84,331.53

POWER AUTHORITY OF THE STATE OF NEW YORK

YTD AUGUST 2017 REPORT OF DISPOSAL OF PERSONAL PROPERTY OVER \$5,000

FLEET OPERATIONS

DESCRIPTION	PURCHASER	SALE PRICE	Transportation and Other Fees	PRICE RECEIVED * by the Authority
2000 HYSTER FORKLIFT	POWER & CONSTRUCTION GROUP INC	\$ 13,500.00	\$ 100.00	\$ 13,400.00
2004 JLG 450AJ MANLIFT	AMAZING MOTORS	\$ 21,000.00	\$ 100.00	\$ 20,900.00
2004 JLG 600S MANLIFT	NICHOLAS KRYWOSA	\$ 2,000.00	\$ 100.00	\$ 21,900.00
2005 YALE GLP06-TG FORKLIFT	DAN DIMOND EQUIPMENT INC	\$ 1,000.00	\$ 100.00	\$ 10,900.00
2008 CHEVY IMPALA	UPSTATE AUTO SALES INC	\$ 5,500.00	\$ 100.00	\$ 5,400.00
2008 FORD ESCAPE	UPSTATE AUTO SALES INC	\$ 5,100.00	\$ 100.00	\$ 5,000.00
2008 FORD ESCAPE	JULIAN PADUANA	\$ 5,200.00	\$ 100.00	\$ 5,100.00
2008 FORD ESCAPE	UPSTATE AUTO SALES INC	\$ 5,250.00	\$ 100.00	\$ 5,150.00
2008 FORD ESCAPE	UPSTATE AUTO SALES INC	\$ 5,250.00	\$ 100.00	\$ 5,150.00
2008 FORD ESCAPE	DILCIA BARROS	\$ 5,750.00	\$ 100.00	\$ 5,650.00
2008 FORD F350 PICKUP	UPSTATE AUTO SALES INC	\$ 1,000.00	\$ 100.00	\$ 10,900.00
2008 FORD F550 PICKUP	CNY SEALCOATING & CONCRETE LLC	\$ 3,750.00	\$ 100.00	\$ 13,650.00
2009 CHEVY IMPALA	THOMAS BROWN	\$ 6,250.00	\$ 100.00	\$ 6,150.00
2009 DODGE DURANGO	CHAMPION INTERNATIONAL	\$ 5,250.00	\$ 100.00	\$ 5,150.00
2011 FORD F350 PICKUP	ICAR AUTO SALES LLC	\$ 1,000.00	\$ 100.00	\$ 10,900.00
2011 FORD F350 PICKUP	CHRIS ALBICKER	\$ 1,000.00	\$ 100.00	\$ 10,900.00
2011 FORD FUSION	UPSTATE AUTO SALES INC	\$ 5,250.00	\$ 100.00	\$ 5,150.00
2011 FORD FUSION	UPSTATE AUTO SALES INC	\$ 5,250.00	\$ 100.00	\$ 5,150.00
2011 TOYOTO HIGHLANDER	L&V DOM & IMPORTED AUTO SALES INC	\$ 2,500.00	\$ 100.00	\$ 12,400.00

* Sale Price less transportation and other costs. Includes items where the Sale Price and/or the estimated Fair Market Value exceeded \$5,000.

POWER AUTHORITY OF THE STATE OF NEW YORK

YTD AUGUST 2017 REPORT OF DISPOSAL OF PERSONAL PROPERTY OVER \$5,000

FLEET OPERATIONS (CONTINUED)

DESCRIPTION	PURCHASER	SALE PRICE	Transportation and Other Fees	PRICE RECEIVED * by the Authority
2012 FORD FUSION	RWC ENTERPRISES	\$ 6,450.00	\$ 100.00	\$ 6,350.00
2012 FORD FUSION	CJ'S CAR AMERICA	\$ 6,950.00	\$ 100.00	\$ 6,850.00
2005 JD ATV	HYLAN DATACOM & ELECTRICAL LLC	\$ 7,750.00	\$ 100.00	\$ 7,650.00
2013 FORD FUSION	SUSAN M BUTTON	\$ 7,500.00	\$ 100.00	\$ 7,400.00
2012 FORD FUSION	CHICAGO AUTOPLEX INC	\$ 5,750.00	\$ 100.00	\$ 5,650.00
2012 FORD FUSION	CHICAGO AUTOPLEX INC	\$ 5,250.00	\$ 100.00	\$ 5,150.00
2012 FORD FUSION	CHICAGO AUTOPLEX INC	\$ 5,250.00	\$ 100.00	\$ 5,150.00
2008 FORD ESCAPE	CONNIE BURNETT	\$ 6,000.00	\$ 100.00	\$ 5,900.00
2009 FORD F250	ICAR AUTO SALES LLC	\$ 10,750.00	\$ 100.00	\$ 10,650.00
2005 INTR 7400	ACE EQUIPMENT NORTH	\$ 13,000.00	\$ 100.00	\$ 12,900.00
2003 WORK VAN	LEHIGH AUTO SALES & SERVICE LLC	\$ 8,000.00	\$ 100.00	\$ 7,900.00
2007 INTR 5600	KT POWER	\$150,000.00	\$ 100.00	\$ 149,900.00
2006 CATE D5GL	VILLAGE TRUCK SALES	\$ 46,000.00	\$ 100.00	\$ 45,900.00
2004 JLG M6400J	INTEGRA SUPPLY	\$ 15,000.00	\$ 100.00	\$ 14,900.00
	FLEET SUBTOTAL:	\$ 474,450.00	\$ 3,300.00	\$ 471,150.00
	+ SUBTOTAL Page 1:	<u>\$ 84,331.53</u>	--	<u>\$ 84,331.53</u>
	GRAND TOTAL:	<u>\$ 558,781.53</u>	\$ 3,300.00	<u>\$ 555,481.53</u>

* Sale Price less transportation and other costs. Includes items where the Sale Price and/or the estimated Fair Market Value exceeded \$5,000.

SUPPLIER DIVERSITY PROGRAM (SDP) **January – August 2017**

At the end of New York State's 2nd reporting quarter (ending June 30, 2017), the Authority expended a total of \$10.7 Million or 16.7% of its reportable expenditures to New York State-certified Minority and Women-Owned Business Enterprises (MWBEs).

From January to August 2017, the Authority expended approximately \$37.1 Million or 24.8% of its reportable expenditures to New York State-certified MWBEs. Treasury transactions with NYS-certified MWBE financial dealers for the first eight months of calendar year 2017 resulted in \$466.7 Million or 33.9% in principal sales and purchases for the Authority.

Service-Disabled Veteran-Owned Business (SDVOB) utilization for the period January – August 2017 is approximately \$1.16 Million or 12.3% of designated spend. The Authority's utilization of SDVOBs continues to increase. Additionally, SDVOB financial dealers transacted over \$384.4 Million or 27.9% in principal sales and purchases for the period January – August 2017.

The Authority's 2017-2018 MWBE goal is 30%. The Authority remains challenged in meeting this aggressive goal due to numerous factors; onboarding of the Canal Corporation, the conclusion of multiple energy efficiency contracts and NYPA's unique position as an energy producing utility. We are hopeful that the new procurement model and enhanced SAP tracking reporting features, the use of Ariba Discovery and revised approaches in determining MWBE goal applications will collectively assist the Authority in its outreach to and utilization of diverse suppliers.

Victoria Daniels is the new Manager of Supplier Diversity for the Authority. This position resides in the Supplier Relationship Management (SRM) pillar of the new Strategic Supply Management model. She assumed responsibility for the program June 1, 2017.

The new 2016 Disparity Study ("Study") was released early July 2017. The Study is an evaluation of the participation by MWBEs in government contracting compared to the availability of MWBEs in the marketplace and determines whether race or gender based disparity continues to exist in New York State contracting. We are assessing its impact on the Authority's Supplier Diversity Program.

With the Senior Director of Category Management and the Analytics COE Manager in place, the SDP team is seeking increased procurement opportunities with diverse suppliers by utilizing strategic analytics and sourcing strategies.

INVENTORY STATISTICS
August 31, 2017

Facility	8/31/17	8/31/16	8/31/15
Niagara	18,337,715	18,177,911 ¹	20,446,308
St. Lawrence	15,478,485 ²	14,319,151 ³	12,174,658
Poletti Project	9,010,422	8,998,483	8,128,670
Flynn Project	7,598,898	7,145,175 ⁴	14,143,303
Blenheim-Gilboa	9,061,284	8,723,402	8,582,215
500 MW Project	27,125,147	25,878,246	25,301,909
Clark Energy Center	6,926,609	6,405,182	5,610,126
Canal Corporation	737,293	N/A	N/A
Total Stock Value	\$ 94,275,853	\$ 89,647,550	\$ 94,387,189

¹ 2016 Includes \$2.0 million reduction for NIA Dual Voltage Transformer installed in RM Unit 5 to replace the fire damaged transformer.

² 2017 Includes \$1.1 million for STL net purchases of:

765KV Disconnect Switches & Structure Supports	\$1,131K
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³ 2016 Includes \$1.6 million for STL net purchases of:

Headgate Motor Control Panels	\$ 800K
765KV Disconnect Switches & Lattice Structure Supports	\$ 284K
Wood Poles - 70 & 75 Ft	\$ 272K
Reinhausen Transducer	\$ 172K
Lubron Bushings	\$ 66K

⁴ 2016 Includes \$6.2 million reduction for Flynn 2015 Major Outage:

Turbine Blades and Vanes	\$4.258 million
Turbine Inner Casing	\$1.305 million
Mixing Casing	\$0.653 million

**POWER AUTHORITY OF THE STATE OF NEW YORK
FOSSIL FUELS ACTIVITY
JANUARY - AUGUST 2017**

Exhibit 2b-E
September 26, 2017

REQUIRED BY N.Y. PUBLIC AUTHORITIES LAW, SECTION 2879

CONTRACT NUMBER	PROVIDER NAME	CONTRACT DESCRIPTION	T Y P E	M O A	R A	O P A	M O B E	B I D S	TOTAL EXPENDED TO DATE	AMOUNT EXPENDED 1Q & 2Q	AMOUNT EXPENDED (JULY '17 & AUG '17)	AMOUNT EXPENDED 2017	DATE OF CONTRACT	O \ C	PROJ COMPLETE DATE	DATE CONTRACT COMPLETE
FD-1990-33	Northville Industries Corp. NIC 25 Melville Park Road Melville NY 117470398	Oil Storage Agreement	E	1			N	N	15,146,515	282,166	94,056	376,222	4/1/1994		3/31/2019	
FD-1990-34	Northville Industries Corp. NIC 25 Melville Park Road Melville NY 117470398	Oil Storage Agreement	E	1			N	N	4,601,887	70,542	23,513	94,055	10/1/1993		3/31/2019	
FD-2002-11	PSEG EnergyResourcesTrade 80 Park Plaza Floor T-19 Newark NJ 07102	NAESB Agreement for Natural Gas	E	B			F	N	11,017,721	0	0	0	11/1/2002		*	
FD-2003-09	J. Aron and Company 85 Broad Street New York NY 10004	NAESB Agreement for Natural Gas	E	B			N	N	83,241,402	0	0	0	4/22/2003		*	
FD-2003-10	BP Energy Company 201 Helios Way Houston TX 77079	NAESB Agreement for Natural Gas	E	B			F	N	210,472,430	1,232,438	0	1,232,438	10/1/2004		*	
FD-2003-12	Exelon Generation Company, LLC 1310 Point Street Baltimore MD 21231	NAESB Agreement for Natural Gas	E	B			F	N	55,860,651	0	0	0	6/9/2003		*	
FD-2003-13	Merrill Lynch Commodities, Inc. 20 East Greenway Plaza Houston TX 77046	NAESB Agreement for Natural Gas	E	B			F	N	83,974	0	83,974	83,974	11/1/2003		*	
FD-2003-14	Colonial Energy Inc. 3975 Fair Ridge Drive Fairfax VA 22033	NAESB Agreement for Natural Gas	E	B			F	N	513,356,662	5,063,264	1,136,318	6,199,582	7/1/2003		*	
FD-2003-15	Virginia Power Energy Mktg P.O. Box 25773 Richmond VA 23260	NAESB Agreement for Natural Gas	E	B			F	N	629,265,426	0	0	0	7/11/2003		*	
FD-2004-08	Shell Energy North America (US), L.P. 1000 Main Street Houston TX 77002	NAESB Agreement for Natural Gas	E	B			F	N	83,906,072	5,842,561	9,000	5,851,561	4/1/2004		*	
FD-2005-12	NJR Energy Services Co 1415 Wyckoff Road Wall NJ 07719	NAESB Agreement for Natural Gas	E	B			F	N	429,620,241	3,419,577	2,331,441	5,751,018	9/1/2005		*	
FD-2007-10	Eastern Generation, LLC 18-01 20th Avenue Astoria NY 11105	Oil Delivery Labor Fees	E	1			N	N	60,771	0	0	0	7/1/2006		*	
FD-2008-05	Sequent Energy Mgmt LP 1200 Smith Street Houston TX 77002	NAESB Agreement for Natural Gas	E	B			F	N	36,565,826	0	0	0	3/1/2008		*	
FD-2008-06	Macquarie Energy LLC 500 Dallas Street Houston TX 77002	NAESB Agreement for Natural Gas	E	B			F	N	47,096,447	0	0	0	7/1/2008		*	
FD-2008-09	Con Edison 4 Irving Place New York NY 10003	LDC Agreement	E	1			N	N	26,273,898	(534,558)	531,802	(2,756)	8/1/2008		6/30/2019	
FD-2008-10	United Energy Trading, LLC 215 Union Boulevard Lakewood CO 80228	NAESB Agreement for Natural Gas	E	B			F	N	158,345,320	0	0	0	11/19/2008		*	

**POWER AUTHORITY OF THE STATE OF NEW YORK
FOSSIL FUELS ACTIVITY
JANUARY - AUGUST 2017**

Exhibit 2b-E
September 26, 2017

REQUIRED BY N.Y. PUBLIC AUTHORITIES LAW, SECTION 2879

CONTRACT NUMBER	PROVIDER NAME	CONTRACT DESCRIPTION	T Y P E	M O A	R O A	N O A	O P A	M W B E	B I D S	TOTAL EXPENDED TO DATE	AMOUNT EXPENDED 1Q & 2Q	AMOUNT EXPENDED (JULY '17 & AUG '17)	AMOUNT EXPENDED 2017	DATE OF CONTRACT	O \n C	PROJ COMPLETE DATE	DATE CONTRACT COMPLETE	
FD-2009-07	Conoco Phillips Company 600 North Dairy Ashford Houston TX 77079	NAESB Agreement for Natural Gas	E	1				F	N	32	41,705,075	3,052,205	476,891	3,529,096	5/1/2009		*	
FD-2009-08	NextEra Energy Power Marketing, LLC 700 Universe Blvd Juno Beach FL 33408	NAESB Agreement for Natural Gas	E	B				F	N	32	8,624,466	5,383,054	575,653	5,958,706	12/1/2009		*	
FD-2010-02	ENSTOR Energy Services, LLC 20329 State Highway 249 Houston TX 77070	NAESB Agreement for Natural Gas	E	B				F	N	32	17,800,734	25,500	75,200	100,700	8/9/2010		*	
FD-2011-06	EDF Trading North America, LLC 4700 West Sam Houston Houston TX 77041	NAESB Agreement for Natural Gas	E	B				F	N	32	193,565,483	8,176,709	553,474	8,730,183	6/1/2011		*	
FD-2011-10	Tenaska Gas Storage, LLC 14302 FNB Parkway Omaha NE 68154	NAESB Agreement for Natural Gas	E	B				F	N	32	1,251,315	0	0	0	12/1/2011		*	
FD-2011-11	Statoil Natural Gas, LLC 120 Long Ridge Road Stamford CT 06902	NAESB Agreement for Natural Gas	E	B				F	N	32	18,067,472	1,169,746	716,516	1,886,262	4/18/2012		*	
FD-2012-02	Repsol Energy North America Corporation 2455 Technology Forest Rd The Woodlands TX 77381	NAESB Agreement for Natural Gas	E	B				F	N	32	38,198,810	24,160	0	24,160	11/13/2012		*	
FD-2013-06	Chesapeake Energy Marketing, LLC P.O. Box 18496 Oklahoma City OK 73154-0496	NAESB Agreement for Natural Gas	E	B				F	N	32	35,495,700	7,927,372	3,354,742	11,282,114	5/28/2013		*	
FD-2014-08	Spark Energy Gas, LLC 12140 Wickchester Lane Houston TX 77079	NAESB Agreement for Natural Gas	E	B				F	N	32	270,430,032	21,855,924	6,884,312	28,740,237	2/1/2014		*	
FD-2014-12	EQT Energy, LLC 625 Liberty Ave Pittsburgh PA 15222-3111	NAESB Agreement for Natural Gas	E	B				F	N	32	144,531	36,285	0	36,285	5/1/2014		*	
FD-2014-16	Pacific Summit Energy LLC 2010 Main Street Irvine CA 92614	NAESB Agreement for Natural Gas	E	B				F	N	32	41,709,940	2,887,892	675,120	3,563,012	8/11/2014		*	
FD-2015-03	Cabot Oil & Gas Corporation 840 Gessner Road Houston TX 77024-4152	NAESB Agreement for Natural Gas	E	B				F	N	32	2,439,304	0	0	0	3/2/2015		*	
FD-2015-05	DTE Energy Trading, Inc. 414 South Main Street Ann Arbor MI 48104	NAESB Agreement for Natural Gas	E	B				F	N	32	33,924,938	9,981,635	5,696,436	15,678,071	8/14/2015		*	
FD-2015-07	Definite Energy Group 575 Lexington Ave New York NY 10022	NAESB Agreement for Natural Gas	E	B				N	N	32	385,895	93,730	0	93,730	10/1/2015		*	

**POWER AUTHORITY OF THE STATE OF NEW YORK
FOSSIL FUELS ACTIVITY
JANUARY - AUGUST 2017**

Exhibit 2b-E
September 26, 2017

REQUIRED BY N.Y. PUBLIC AUTHORITIES LAW, SECTION 2879

CONTRACT NUMBER	PROVIDER NAME	CONTRACT DESCRIPTION	T Y P E	M O A	R A	O P A	M B E	B I D S	TOTAL EXPENDED TO DATE	AMOUNT EXPENDED 1Q & 2Q	AMOUNT EXPENDED (JULY '17 & AUG '17)	AMOUNT EXPENDED 2017	DATE OF CONTRACT	O C	PROJ COMPLETE DATE	DATE CONTRACT COMPLETE
FD-2015-10	Direct Energy Business Marketing, LLC 194 Wood Ave South Iselin NJ 08830	NAESB Agreement for Natural Gas	E	B		F	3	32	972,398	131,250	31,650	162,900	12/14/2015		*	
FD-2016-01	Saybolt LP P.O. Box 844640 Dallas TX 752844640	Oil Inspection Agreement	P	B		N	N		5,698	5,599	100	5,698	1/1/2017	O	12/31/2019	
FD-2016-02	Astoria Energy II LLC 1710 Steinway Street Astoria NY 11105	AEII Demand Charges	E	1		N	N		8,445	3,233	622	3,855	5/1/2016		4/30/2019	
FD-2016-05	Transco P.O. BOX 201371 Houston TX 77216	Firm Gas Transportation Agreement	E	1		F	N		655,463	389,551	265,912	655,463	4/1/2017	O	3/31/2018	
FD-2016-07	National Grid - LI 100 East Old Country Road Hicksville NY 11801	LDC Agreement (Flynn)	E	1		N	N		500,518	176,187	324,331	500,518	4/1/2017	O	3/31/2018	
FD-2017-01	National Grid - NY 1 Metrotech Centre Brooklyn NY 11201	LDC Agreement	E	1		N	N		752,552	241,844	510,708	752,552	6/1/2017	O	5/31/2018	
FD-2017-02	National Grid - LI 100 East Old Country Road Hicksville NY 11801	LDC Agreement (Brentwood)	E	1		N	N		323,798	105,215	218,583	323,798	6/1/2017	O	5/31/2018	
FD-2006-09	Transco P.O. BOX 201371 Houston TX 77216	Firm Gas Transportation Agreement	E	1		F	N		16,642,323	384,809	0	384,809	8/4/2006	C	3/31/2017	3/31/2017
FD-2015-04	National Grid - LI 100 East Old Country Road Hicksville NY 11801	LDC Agreement (Flynn)	E	1		N	N		3,471,497	236,106	0	236,106	1/1/2015	C	3/31/2017	3/31/2017
FD-2014-14	National Grid - NY 1 Metrotech Centre Brooklyn NY 11201	LDC Agreement	E	1		N	N		5,386,764	926,994	0	926,994	5/30/2014	C	5/31/2017	5/31/2017
FD-2014-15	National Grid - LI 100 East Old Country Road Hicksville NY 11801	LDC Agreement (Brentwood)	E	1		N	N		1,200,619	72,463	0	72,463	5/30/2014	C	5/31/2017	5/31/2017
FS-1994-17	Merrill Lynch Commodities, Inc. 20 East Greenway Plaza Houston TX 77046	NAESB Agreement for Natural Gas	E	B		F	N	32	276,520,337	496,431	0	496,431	7/1/1994	C	6/30/2017	6/30/2017
FUELS TOTALS									3,038,579,013	78,663,452	24,570,353	103,233,806				

* Contract continues until terminated by NYPA or counterparty upon specified notice

POWER AUTHORITY OF THE STATE OF NEW YORK
CORPORATE FINANCE ACTIVITY
JANUARY THROUGH AUGUST 2017
REQUIRED BY N.Y. PUBLIC AUTHORITIES LAW, SECTION 2879

PROVIDER NAME	CONTRACT DESCRIPTION	T Y P E	M O A	R A	O N P A	M W B E	B I D S	TOTAL CONTRACT AMOUNT	TOTAL EXPENDED TO DATE	AMOUNT EXPENDED 2017	CONTRACT BALANCE	DATE OF CONTRACT	O C	PROJ COMPLETE DATE	DATE CONTRACT COMPLETE
Bank of New York (formerly JPMorgan) 101 Barclay Street New York, NY 10286	Trustee/Paying Agent Services on Bonds	S	C	9	N			515,231	515,231	13,157	-	2/24/1998	O	*	
Bank of New York (formerly JPMorgan) 101 Barclay Street New York, NY 10286	Trustee- Commercial Paper	S	C	9	N			225,392	225,392	14,592	-	10/2/2006	O	*	
Barclays Capital (assigned from Lehman Bros.) ** 745 Seventh Avenue New York, NY 10019	Re-marketing Agent CP-1	S	C	9	N			1,865,477	1,865,477	82,729	-	7/14/1994	O	*	
Barclays Capital (assigned from Lehman Bros.) 745 Seventh Avenue New York, NY 10019	Re-marketing Agent CP-3	S	C	9	N			10,775	10,775	-	-	6/26/2008	O	*	
Citigroup 390 Greenwich Street New York, NY 10013	Re-marketing Agent CP-2	S	C	9	N			1,080,870	1,080,870	55,810	-	12/4/1997	O	*	
Goldman, Sachs & Co. 85 Broad Street New York, NY 10004-2456	Re-marketing Agent CP-2 and 3	S	C	9	N			1,096,813	1,096,813	27,069	-	12/4/1997	O	*	

POWER AUTHORITY OF THE STATE OF NEW YORK
CORPORATE FINANCE ACTIVITY
JANUARY THROUGH AUGUST 2017
REQUIRED BY N.Y. PUBLIC AUTHORITIES LAW, SECTION 2879

PROVIDER NAME	CONTRACT DESCRIPTION	T Y P E	M O A	R O A	N P A	M O A	B I D E S	TOTAL CONTRACT AMOUNT	TOTAL EXPENDED TO DATE	AMOUNT EXPENDED 2017	CONTRACT BALANCE	DATE OF CONTRACT	O C	PROJ COMPLETE DATE	DATE CONTRACT COMPLETE
Goldman, Sachs & Co. 85 Broad Street New York, NY 10004-2456	Re-marketing EMCP	S	C	9	N			940,670	940,670	4,758	-	3/4/2003	O	*	
JPMorgan Chase 270 Park Avenue New York, NY 10017-2070	Re-marketing Agent CP-2	S	C	9	N			316,442	316,442	32,527	-	6/28/2001	O	*	
JPMorgan Chase 270 Park Avenue New York, NY 10017-2070	Re-marketing Agent CP-3	S	C	9	N			638,776	638,776	8,152	-	12/4/1997	O	*	
JPMorgan Chase 270 Park Avenue New York, NY 10017-2070	Escrow Agent POCR/CASP & LMEI Funds	S	C	9	N			558,845	558,845	3,000	-	5/30/1996	O	*	
JPMorgan Chase 270 Park Avenue New York, NY 10017-2070	Revolving Line of Credit 2015	S	C	9	N		2	8,139,333	6,175,464	1,274,333	1,963,869	1/15/2015	O	1/19/2018	

POWER AUTHORITY OF THE STATE OF NEW YORK
CORPORATE FINANCE ACTIVITY
JANUARY THROUGH AUGUST 2017
REQUIRED BY N.Y. PUBLIC AUTHORITIES LAW, SECTION 2879

PROVIDER NAME	CONTRACT DESCRIPTION	T Y P E	M O N E Y	R O A D	O P E R A T I O N	M I S C E L L A N E O U S	B I D D E N	TOTAL CONTRACT AMOUNT	TOTAL EXPENDED TO DATE	AMOUNT EXPENDED 2017	CONTRACT BALANCE	DATE OF CONTRACT	O C C U R R E N C Y	PROJ COMPLETE DATE	DATE CONTRACT COMPLETE	
Morgan Stanley 1221 Avenue of the Americas New York, NY 10020	Re-marketing Agent CP-1	S	C	8	N		7	442,996	442,996	24,233	-	3/31/2009	O	*		
RBC Capital Markets ** 1211 Avenue of the Americas New York, NY 10036	Re-marketing Agent CP-1	S	C	9	N			715,247	715,247	38,631	-	7/14/1994	O	*		
CORPORATE FINANCE TOTALS								16,546,867	14,582,998	1,578,989	1,963,869					

NOTES:

* Contract continues until terminated by NYPA or Counterparty upon specified notice

** Expense History only available from 1997

TRANSFER OF INTEREST IN PERSONAL PROPERTY TO CANAL CORPORATION

January – August 2017

2017 YTD Report of Transfer of Interest in Personal Property to Canal Corporation

Effective January 1, 2017, management and administration of the New York State Canal Corporation is an additional corporate purpose of the Authority. New York Public Authorities Law § 1005-b (2) authorizes the Authority to “transfer to the canal corporation any moneys, real, personal, or mixed property or any personnel in order to carry out the purposes of this section...” to the extent that the Authority’s Trustees deem it feasible and advisable. Under Public Authorities Law Title 5-A Disposition of Property by Public Authorities, the Trustees annually designate a contracting officer as part of their approval of the Guidelines for the Disposal of New York Power Authority Personal Property.

The Trustees’ authorized, on January 31, 2017, the Authority’s personal property Contracting Officer to transfer any interest in Authority personal property to the Canal Corporation, as the Contracting Officer deems prudent after balancing the respective needs of the Authority and the Canal Corporation, will facilitate the Canal Corporation’s obligations to operate, maintain, construct, reconstruct, improve and develop the canal system. The Contracting Officer will authorize the transfer of Authority personal property or any interest therein only at the request of Canal Corporation. The Contracting Officer will authorize the transfer to Canal Corporation any interest in Authority personal property, valued at up to \$500,000 per transaction, in parity with the authorization level and signing authority set forth in the Guidelines for the Disposal of New York Power Authority Personal Property. Additionally, the Contracting Officer will report to the Trustees or committee thereof regarding such property transfers. To that end, the 2017 YTD Report Transfer of Interest in Personal Property to Canal Corporation less than \$500,000 in value is attached.

FLEET – July and August 2017 Activity Summary

In response to a Canal Corporation request for certain Fleet assets, and subsequent to the demonstrating a need for these assets, the Contracting officer approved the transfer of two Fleet vehicles, valued at \$29,000, as described in the attached report in furtherance of Canal Corporation’s operation, maintenance, construction, reconstruction, improvement and development of the canal system.

FLEET – YTD August 2017 Activity Summary

In summary, the January through August 2017 Fleet Total “Value of Interest in Property Transferred to Canal Corporation”, for Fleet transactions less than \$500,000 authorized by the Contracting Officer was \$257,900.

OTHER PERSONAL PROPERTY– July and August 2017 Activity Summary

During the reporting period, there were no other personal property transfers to Canal Corporation.

OTHER PERSONAL PROPERTY– YTD August 2017 Activity Summary

The January through August 2017 Total “Value Transferred to Canal Corporation” for personal property transactions less than or equal to \$500,000 authorized by the Contracting Officer was \$0.

Grand Total as of 8/31/17

As summarized on the attached Report, the January through August 2017 Grand Total “Value of Interest in Personal Property Transferred to Canal Corporation” for all Personal Property less than or equal to \$500,000 authorized by the Contracting Officer was \$257,900.

POWER AUTHORITY OF THE STATE OF NEW YORK

2017 YTD AUGUST REPORT OF TRANSFER OF INTEREST IN PERSONAL PROPERTY TO CANAL CORPORATION LESS THAN OR EQUAL TO \$500,000

FLEET OPERATIONS

<u>DESCRIPTION</u>	<u>VIN</u>	<u>UNIT #</u>	<u>TRANSFER DATE</u>	<u>Transfer Value Recorded by the Authority</u>
2008 INT'L 5600i DMP TRUCK	1HTXGSBT08J688037	T620	3/24/17	\$ 60,000
2012 FORD ESCAPE	1FMCU9DG9CKA15338	12S23	5/09/17	\$ 7,425
2016 TRAILKING TK40LP	1TKC03322GR051624	16R98	5/09/17	\$ 13,000
2010 CHEVY IMPALA	2G1WA5EK9A1236031	10A34	5/19/17	\$ 4,825
2011 FORD FUSION	3FAHP0HG7BR322496	11A204	5/19/17	\$ 5,275
2012 FORD FUSION	3FADP0L33CR340806	12H48	5/19/17	\$ 7,550
2011 FORD FUSION	3FAHP0HG0BR322503	11A25	5/31/17	\$ 5,275
2011 FORD FUSION	3FAHP0HG7BR322501	11A7	5/31/17	\$ 5,275
2011 FORD FUSION	3FAHP0HG9BR322502	11A8	5/31/17	\$ 5,275
2008 CHEVY IMPALA	2G1WB58K881319808	08A20	5/31/17	\$ 5,000
2008 CHEVY IMPALA	2G1WB58K481319496	08A243	5/31/17	\$ 5,000
2008 CHEVY IMPALA	2G1WB58K881318383	08A263	5/31/17	\$ 5,000
2010 LTL GIANT 6430 CRANE	641002	10N9	6/27/17	\$ 100,000
2010 CHEVY IMPALA	2G1WA5EKXA1235616	10A23	7/26/17	\$ 5,000
2003 FORD F550	1FDAF57P03ED85291	MT33	8/25/17	\$ 24,000
SUBTOTAL:				\$ 257,900

POWER AUTHORITY OF THE STATE OF NEW YORK

2017 YTD AUGUST REPORT OF TRANSFER OF INTEREST IN PERSONAL PROPERTY TO CANAL CORPORATION LESS THAN OR EQUAL TO \$500,000

OTHER PERSONAL PROPERTY

<u>DESCRIPTION</u>	Transfer Value Recorded by the Authority
	\$ 0
SUBTOTAL:	\$ 0
+ SUBTOTAL Page 1:	<u>\$ 257,900</u>
GRAND TOTAL:	<u>\$ 257,900</u>

Interim Report
ACQUISITION AND DISPOSAL OF REAL PROPERTY
January 1– September 13, 2017

Section 2896 of the Public Authorities Law (PAL) requires a report setting out all real property transactions of the Authority over a given reporting period. Such report shall consist of a list and full description of all real property disposed of during such period. The report shall contain the price received by the Authority and the name of the purchaser. There is no monetary threshold, so all disposals regardless of value need to be reported. In addition, acquisitions and leasing transactions are also included in this report. All acquisitions and dispositions reported herein were approved by the Authority's Trustees and/or are consistent with current Trustee-approved Expenditure Authorization Procedures. The reporting period is January 1 – September 13, 2017.

I. ACQUISITIONS

1.) Acquisitions by Deed or Easement:

Greg and Karen Liesten – Acquisition of a permanent easement for an access road in the Town of Palmyra, County of Wayne (Map No. WP-1451, Parcel No. 1451) from Greg and Karen Liesten. The consideration for this transaction was \$550.00. The easement was recorded on January 10, 2017.

Emergency Energy Control Center- New Hartford – During this reporting period, NYPA Real Estate acquired 3.01 acres from New Hartford Office Park, L.L.C. in support of the new Emergency Energy Control Center in the Town of New Hartford, County of Oneida. The consideration for this transaction was \$90,300.00. The warranty deed was executed on February 15, 2017. This was approved by Authority's Board of Trustee's at their September 2016 meeting.

Thomas Maine – Acquisition of a permanent easement for an access road in the Town of Sullivan, County of Madison (Map No. MS-1450, Parcel No. 1450) from Thomas Maine. There was no consideration for this transaction. The permanent easement was recorded on May 25, 2017.

2.) Danger Tree Permits:

During this reporting period, the Authority acquired 56 danger tree permits. These rights allow the Authority to eliminate dangerous vegetation which is critical to the safe operation of the New York State transmission grid. The transmission facilities covered by these danger tree permits include the Niagara-Adirondack, Fitz-Edic, Moses-Willis, and Gilboa-New Scotland.

II. DISPOSITIONS

1.) Dispositions by Deed or Easement:

Transfer of Real Property to New York State Office of Parks, Recreation and Historic Preservation - Transferred .43 acres of surplus lands (Map No. 331-C, Parcel No. 3725A) to New York State Office of Parks, Recreation and Historic Preservation in the City of Niagara Falls, Niagara County. This transfer satisfies a Niagara Power Project relicensing commitment and was authorized by the Authority's Board of Trustees at their July 30, 2015 meeting. The transfer of jurisdiction was executed by the New York State Office of General Services on January 31, 2017.

Conveyance of Real Property to New York State Office of Parks, Recreation and Historic Preservation – Conveyed 1.15 acres of surplus lands (Map No. 331-C, Parcel No. 3725B) to New York State Office of Parks, Recreation and Historic Preservation in the City of Niagara Falls, Niagara County. This conveyance satisfies a Niagara Power Project relicensing commitment and was authorized by the Authority's Board of Trustees at their July 30, 2015 meeting. The quit claim deed was filed on June 8, 2017. There was no consideration for this transaction.

Transfer of Real Property to New York State Department of Environmental Conservation – Transferred 56.83 acres (Map No(s). 1121C, 1123C, 1124C, 1135C, 1139C, Parcel No(s). 8680, 8681, 8678, 8679, 8683) to NYS DEC for wildlife management purposes located in the Towns of Lisbon and Waddington, County of St. Lawrence. The transfer of jurisdiction was executed by the New York State Office of General Services on July 12, 2017.

2.) Land Use Permits:

During this reporting period, the real estate group issued 17 land use permits for use of the Authority's real property, both fee-owned and held by easement:

LAND USE PERMITS			
Permit No.	Town/ Issue Date	Permittee	Purpose
CEC-15-159P-A1	Marcy 3-3-2017	National Grid	Installation, operation, and maintenance of a replacement microwave antenna on a 765kV tower at the Marcy Substation.
CEC-17-434P	Herkimer 2-9-2017	Adirondack Foothills Rotary	Host an ice fishing tournament and related events during the period February 18, 2017-February 25, 2017.
MA-17-444P	Massena 3-30-2017	Massena Electric Department	Construct, install, and maintain a 13.8kV single phase overhead distribution line at the Authority's Barnhart-Adirondack 230kV

			Corridor.
MSU-16-348P	Grieg 5-25-2017	High Voltage Hills MX LLC	Operate and maintain a Motocross Track for recreational use and to hold semi-sanctioned racing events.
NATL-16-419P	Whitesboro 1-26-2017	Michael Mimassi	Maintain two existing dug wells supported with concrete tiles, together with 240V underground electric and black PVC water pipes.
NPP-16-422P	Niagara Falls 1-12-2017	Roswell Park Alliance Foundation	Access to Authority's Niagara Scenic Parkway Intake Park to host the "Ride for Roswell" charity bike race and related events on June 24, 2017.
NATL-17-2P	Chili 7-25-2017	Rochester Gas & Electric	Construct, install, operate and maintain a 24" diameter natural gas pipeline.
NATL-17-447P	Boonville 2-24-2017	National Grid	Deliver electric services on land under the jurisdiction of Authority.
NATL-17-448P	Henrietta, Chili 2-23-2017	Rochester Gas & Electric Corporation	Access to certain areas of the Authority's right-of-way for purposes of ingress and egress to perform soil boring investigations on property adjacent to the right-of-way in support of its Rochester Area Reliability Project.
NPP-17-465P	Lewiston 3-22-2017	Niagara Orleans Central Labor Council	Construct, install, operate, maintain and repair Permittee's Worker's Memorial Monument.
NPP-17-1P	Niagara Falls 5-31-2017	New York State Office of Parks, Recreation and Historic Preservation	Perform survey work and other related tests and samples for their proposed Robert Moses Parkway North project.
NPP-17-2P	Lewiston 5-31-2017	New York State Office of Parks, Recreation and Historic Preservation	Install exercise equipment at Reservoir State Park.
NPP-17-2P-A1	Lewiston 7-20-2017	New York State Office of Parks, Recreation and Historic Preservation	Install additional exercise equipment at Reservoir State Park.

NPP-17-4P	Niagara Falls 7-21-2017	United States Department of Agriculture	Set and monitor traps for the European cherry fruit fly at various locations of the Niagara Power Project.
SLPP-17- 2P	Waddington 6-23-2017	Majestic Fireworks LLC	Access to Ogden Island to set up and launch fireworks in the area on July 22, 2017 and August 5, 2017.
SLPP-17- 3P	Waddington 7-20-2017	Village of Waddington	Access to Whittaker Park for the Party in the Park and 2017 BassMaster Elite Series Fishing Tournament
SLPP-17- 4P	Waddington 8-17-2017	St. Lawrence County Chamber of Commerce	Host the 15 th Annual Jr. Carp Fishing Tournament on August 17- August 19, 2017.

III. MISCELLANEOUS TRANSACTIONS

Sprint Spectrum L.P., a Delaware limited partnership - During this reporting period Authority amended a Transmission Structure Antenna Right of Occupancy Agreement which granted Sprint Spectrum, L.P. use of space on Authority transmission towers for communication services. This first amendment extended the term of the original agreement for an additional five (5) years, terminating on September 30, 2021. This was executed on January 30, 2017, and was approved by the Board of Trustees on July 26, 2016.

State University of New York - During this reporting period Authority entered into a Permit with the State University of New York College of Agriculture and Technology at Cobleskill for the use of University Facilities for the Annual Emergency Action Plan presentation. The fee for this Permit was \$262.50 and was for May 16, 2017.

Astoria Gas Turbine Power LLC – During this reporting period NYPA Real Estate entered into a License Agreement with Astoria Gas Turbine Power LLC to allow Authority access to their property to perform soil borings. This agreement was executed on May 1, 2017.

IV. LEASING

1) Landlord Leases:

Beacon Associates LLC and Danziger & Markoff LLP – During this reporting period, tenants Danziger & Markoff LLP and Beacon Associates LLC terminated a lease for office space on the 9th floor of Authority’s 123 Main Street Building in White Plains, New York. The lease ended on August 27, 2017. The tenants have vacated the building.

Berman, Fruccho, Gouz, Mitchel & Schub P.C. – Tenant Berman, Fruccho, Gouz, Mitchel & Schub P.C. advised of its intention to terminate its lease of office space on the 17th floor of Authority’s 123 Main Street Building in White Plains, New York. The lease will terminate on February 28, 2018.

Canter Law Firm P.C. – During this reporting period, Canter Law Firm P.C. terminated its lease of office space on the 9th floor of Authority’s 123 Main Street Building in White Plains, New York. The lease will terminate on September 30, 2017.

Dahan & Nowick LLP – During this reporting period, Dahan & Nowick LLP elected to exercise a five year option for the extension of an existing lease for 2,675 square feet of office space on the 9th floor of Authority’s 123 Main Street Building in White Plains, New York. The extension term will commence on July 1, 2018 and terminate on May 31, 2023 with a six-month right to terminate. The extension agreement was executed on September 7, 2017.

2) Tenant Leases:

Seneca One Tower, ATC Managed Sites LLC – During this reporting period the Real Estate Department entered into a lease amendment with ATC Managed Sites, LLC for the installment, operation and maintenance of additional communications equipment at the Seneca One Tower in the City of Buffalo, County of Erie. The parties agreed to an increase of \$900.00 per month bringing the rent to \$2729.25 per month; in addition to one five-year renewal term. This amendment was executed on June 8, 2017 and approved by the Board of Trustees on March 21, 2017.

Town of Tonawanda Water Gauge Lease – On June 19, 2017, the Real Estate Department entered into a lease with the Town of Tonawanda for the lease of a portion of the Town’s property to serve as the site for a new water gauging station in the County of Erie. The term of this lease is from September 1, 2017 through September 1, 2022, with two successive 5-year options, at a monthly fee of \$400.00. The Board of Trustees approved this transaction on March 21, 2017.

City of North Tonawanda Water Gauge Lease – The Real Estate Department entered into a lease with the City of North Tonawanda on August 18, 2017 for the lease of a portion of the City’s property to serve as the site for a water gauging station in the County of Niagara. This lease supersedes a previous Right of Entry agreement with the City of North Tonawanda. The term of this lease is for five years, with two successive 5-year options, at a monthly fee of \$400.00. The Board of Trustees approved this lease on July 25, 2017.

V. ST. LAWRENCE RELICENSING

1.) St. Lawrence Lands Program:

On October 31, 2001, the Authority filed an application for a new license, pursuant to Sections 4(e) and 15 of the Federal Power Act for the continued operation and maintenance of the 912 megawatt (MW) St. Lawrence/FDR Power Project. On February 6, 2003, the Authority filed a "Comprehensive Accord" (Settlement Agreement). On October 23, 2003, the Federal Energy Regulation Commission (hereinafter "FERC") issued an "Order Approving Settlement Agreements, Dismissing Complaint and Issuing New License" in which FERC *inter alia* approved the Settlement Agreement.

As part of the Settlement Agreement, the Authority agreed to several changes to the Project boundary. These proposed changes removed approximately 1,340 acres from the Project. Approximately 599 acres of the removed lands are intended to be conveyed either to adjoining landowners or to the affected local municipality, if interested. For all conveyed lands, the Authority retains flowage and access easements, to provide necessary rights to traverse conveyed lands for Project-related activities.

DEEDS FILED						
NYPA Surplus Map No.	NYPA Surplus Parcel No.	Current Owner Name	Acreage	Appraisal Value	Filing Date	Town
1179C	8538	M. Shawna Cecot and Catherine M. Cecot	.14	\$1,700.00	1-26-2017	Louisville
1153C	8264	Austin D. Hicks and Whitney L. Hicks	.05	\$50.00	1-26-2017	Waddington
1167C	8188	James J. and Alane L. Farrell	.15	\$2,550.00	2-17-2017	Waddington
1176C	8483	Daniel J. Miller	.16	\$2,400.00	2-17-2017	Louisville
1149C	8333	Mary Mayette	.78	\$15,600.00	2-17-2017	Waddington
1132C	8581	Richard and Nancy Scott	.04	\$600.00	2-17-2017	Louisville
1174C	8456	Kevin Bessy	.19	\$2,850.00	4-7-2017	Louisville
1178C	8514	Gill A. Viskovich, Ann J. Viskovich, Michael J. Viskovich, and Victoria G. Viskovich	.12	\$1,800.00	5-11-2017	Louisville
1155C	8281	Michael J. Whalen and Candace L. Riggs	.02	\$25.00	6-29-2017	Waddington
1148C	8373	Nancy Chamberlain	.07	\$1,050.00	6-29-2017	Waddington
1176C	8484	Anthony and Mary Jo O'Geen	.11	\$1,650.00	7-14-2017	Louisville
1179C	8527	Lorraine Salamon and Thomas J. Tebo	.12	\$1,800.00	9-7-2017	Louisville

During this period 12 deeds were finalized and recorded in the County Clerk's office. To date, 533 of the 599 acres have been conveyed. Of the approximately 520 private parcels to be conveyed, approximately 482 transactions have been completed. The remaining properties have been offered to the landowners or municipalities as agreed. In the majority of these cases the landowners or municipalities have indicated that they do not wish to acquire the properties in question.

Motor Vehicle and Equipment Policy

Note: Revision # should be listed in descending order starting with most recent version at the top.

Revision Date(For BCG Use Only)	Revision #	Description/Modification	Revision Section (s)	Author
	10	Updated to new company policy format	N/A	Brian Everetts Fleet System Analyst
		Definitions added	3	
		Assigned vehicle use & reporting requirements	5.4	
		Pool & Department assigned vehicle	5.5	
		Responsibilities	5.6	

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1 PURPOSE AND SCOPE

This Policy addresses the management of company motor vehicles, mobile specialized work equipment, and trailers, including fleet management, acquisition and disposal, assignments, maintenance, usage, and reporting.

2 APPLICABILITY

The staff of all Authority Business Units and Departments shall adhere to this Policy. Implementing procedures shall be prepared as necessary to provide appropriate guidance in meeting the management controls described. Recommendations for changes to this Policy or a new company policy shall be processed in accordance with CP1-1 "Company Policy Program Administration".

3 INITIALISMS (ACRONYMS) AND DEFINITIONS

AFV – Alternate Fuel Vehicles

Assigned Vehicle – Specifically assigned to any one individual

Commercial motor vehicle – any self-propelled or towed motor vehicle used on a highway in interstate commerce to transport passengers or property when the vehicle

1. Has a gross vehicle weight rating or gross combination weight rating, or gross vehicle weight or gross combination weight, of 4,536 kg (10,001 pounds) or more, whichever is greater; or
2. **GCWR – Gross Combination Weight Rating** *is the greater of:*
 - i.* A value specified by the manufacturer of the power unit, if such value is displayed on the Federal Motor Vehicle Safety Standard (FMVSS) certification label required by the National Highway Traffic Safety Administration, or
 - ii.* The sum of the gross vehicle weight ratings (GVWRs) or the gross vehicle weights (GVWs) of the power unit and the towed unit(s), or any combination thereof that produces the highest value. Exception: The GCWR of the power unit will not be used to define a commercial motor vehicle when the power unit is not towing another vehicle

DFO – Director of Fleet Operations

Department Vehicle – Specifically assigned to any one department for general usage by those in the department

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EMP – Employee Management Program

FMVSS – Federal Motor Vehicle Safety Standard

GVW – Gross Vehicle Weight

GVWR – Gross Vehicle Weight Rating is the value specified by the manufacturer as the loaded weight of a single motor vehicle

HR – Human Resources Department

HRFM – Human Resources Facility Manager

LENS – License Event Notification Service

Mobile Specialized Equipment – Equipment such as earthmovers, tractors, diggers, aerial devices, forklifts, etc. intended for use other than on public roads

Motor Vehicle – A vehicle which is self-propelled and capable of transporting a person or persons or any material or any permanently or temporarily affixed apparatus

Pool Vehicle – Group of vehicles centrally controlled and dispatched for use when needed

OGS – Office of General Services

Trailer – Any unpowered vehicle towed by another

4 RESPONSIBILITY

- 4.1** The Director of Fleet Operations (DFO) will have overall responsibility for overseeing this Policy and assuring that all sites are in compliance with it.
- 4.2** The General Maintenance Superintendents will be responsible for compliance with the vehicle policy at their respective sites.
- 4.3** The DFO will oversee the surplus and disposal process for all fleet assets covered by this policy utilizing the existing procurement guidelines.

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5 POLICY IMPLEMENTATION

5.1 Acquisition

- 5.1.1 Fleet Management will purchase, lease or rent all of NYPA's passenger vehicles and mobile specialized work equipment. All fleet vehicle and equipment purchases covered by this Policy will be funded in the Fleet Management budget. Maintenance and supplies for these vehicles will be funded for each site in the Fleet Maintenance budget.
- 5.1.2 Throughout the year, the DFO and/or his or her designee will request to meet with the site management to develop recommendations for replacement or reassignment of vehicles and equipment.
- 5.1.3 By August 1st of each year, vehicle acquisition requests will be submitted to the DFO by each site manager or business unit head for review and consideration for possible inclusion in the following year's budget. Off cycle request will be handled on an as needed basis.
- 5.1.4 The standard for passenger vehicle replacement analysis will be 75,000 miles or 5 years of service, whichever comes first. The replacement analysis will be based on but not limited to the present condition, service and repair history and anticipated vehicle utilization. For other replacement cycles, Refer to: PowerNet -> Fleet Operations -> Policies and Procedures -> Vehicle and Equipment Replacement Schedule.
- 5.1.5 The DFO will standardize the type of vehicle purchased based upon Office of General Services (OGS), group volume discounts and current Procurement Guidelines.
- 5.1.6 Use of Authority Fuel Sites is strictly limited to Authority vehicles and equipment.
- 5.1.7 The use of Alternate Fuel Vehicles (AFV) is both a New York State and NYPA mandate. Effective 2006, all purchases of vehicles weighing less than 8,500 pounds must be AFVs. Alternative fuels will be used in AFVs to the extent practical. Where applicable, electric vehicles may be deployed at all locations for low mileage trips and those functions which fall within the range of the electric vehicle.

5.2 Maintenance

- 5.2.1 The DFO will oversee the maintenance of all assigned fleet vehicles and mobile specialized work equipment. All fleet assets will be maintained according to manufacturer maintenance recommendations.
- 5.2.2 The Fleet Supervisor will be responsible for the continued maintenance and upkeep of all site vehicles.
- 5.2.3 Vehicle maintenance at the sites will be tracked in the Fleet Management Information System, which will be overseen by the DFO.
- 5.2.4 Use of Wright Express Fuel Cards is strictly limited to Authority vehicles and equipment.

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5.3 Fuel

- 5.3.1 Preference should be given to using internal Authority Fuel Sites for fueling all Authority vehicles and equipment. Employee ID numbers and vehicle odometer readings must be input into the fuel dispensing system. Failure to accurately input either Employee ID numbers or vehicle odometer readings will prevent the system from dispensing fuel.
- 5.3.2 In the event that vehicles and equipment need to be refueled in the field, Wright Express Fuel cards must be utilized. Employee ID numbers and vehicle odometer readings must be input into the fuel dispensing system. Failure to accurately input either Employee ID numbers or vehicle odometer readings will prevent the system from dispensing fuel. Fuel Card purchases are to be limited to regular blend fuel only, no premium blend fuel. Fuel cards are to be used only for the vehicle it is assigned to. Fuel Cards purchases are limited to fuel purchases only.
- 5.3.3 In the event that Wright Express Fuel Cards are not honored at the fuel site, an employee may elect to pay for the fuel in cash or personal credit card. Only computer printed receipts will be honored for reimbursement. Reimbursement for hand written receipts will be considered an exception to this Policy and must be specifically approved by the department head of the individual seeking reimbursement.

5.4 Assigned Vehicles Use and Reporting Requirements

- 5.4.1 Employees shall not be assigned a vehicle for their sole, use except where expressly authorized by the President/CEO and SVP Enterprise Shared Services. This authorization shall be provided by the Chairman for such vehicle assignment to the President/CEO. Certain designated NYPA job positions have been identified as eligible for the assignment of a vehicle for their sole use by the NYPA's Emergency Management Program. These designated positions with command responsibilities are essential to ensuring public safety, need to respond to events impacting NYPA and/or the reliability of the electric grid of New York State, or are in roles that require immediate on-site response that is critical to maintaining safe operations for NYPA staff and the public.

These positions are:

- Chief Executive Officer (President and CEO)
- Chief Engineering Officer (Executive Vice President and Chief Operating Officer)
- Chief Marketing Officer (Executive Vice President and Chief Commercial Officer)
- Senior Vice President Power Supply
- Regional Manager, Transmission
- Senior Vice President Public and Regulatory Affairs
- Vice President Community & Government Affairs
- Regional Manager, Western NY
- Regional Manager, Central NY

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- Regional Manager, Northern NY
- Regional Manager, Southeast NY (NYC/LI)
- Director, Emergency Management.

These vehicle assignments will be reviewed and approved annually by the President/CEO and SVP Enterprise Shared Services or otherwise as needed in response to revisions to the Emergency Management Program or applicable personnel changes.

- 5.4.2 If an employee is assigned a vehicle for exclusive, unrestricted use, NYPA shall include in that employee's wages the amount required by the IRS (refer to IRS Publication 15-B on Employer's Tax Guide to Fringe Benefits).
- 5.4.3 All personal use of NYPA vehicles must be reported on the vehicle use log unless the personal use is incidental to official business. For any personal use that is not incidental the user of the NYPA vehicle must reimburse the authority the value of such use or the value must be treated as imputed personal income for tax purpose.

5.5 Pool & Department Vehicle use and Reporting Requirements

- 5.5.1 NYPA owned, leased, or rented vehicles/equipment may be used only for official NYPA business, and their use for any personal business is strictly forbidden except under very limited circumstances where (i) assigned vehicle by the Senior Vice President of Enterprise Shared Services and the President/CEO or (ii) the personal use is incidental to official business. Even in cases where personal use is authorized or is incidental to official business, each person operating a state vehicle is expected to exercise good judgment to avoid the appearance of impropriety.
- 5.5.2 Only NYPA employees are authorized to operate NYPA vehicles and/or equipment. Contractors are not allowed to operate NYPA vehicles and/or equipment unless being operated by a vendor for vehicle maintenance purposes. Notwithstanding the foregoing prohibition on contractor usage of vehicles and/or equipment, the Regional Manager, VP of Transmission, or authorized designee may authorize a contractor to use NYPA equipment, such as man-lifts, scissor-lifts, forklifts, welders, compressors, special tools, etc., but only upon receipt of written documentation from the contractor that confirms the following:
- a) that the contractor has inspected the equipment and acknowledges that it is in good working order;
 - b) that the person using the equipment is properly trained, experienced and shows proof of required license and/or certification necessary to lawfully and correctly operate the equipment;
 - c) the contractor agrees to defend and indemnify NYPA for any injuries resulting from its usage or damage to the equipment; and
 - d) The contractor is responsible to provide fuel for usage of the equipment.

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- 5.5.3 NYPA vehicles should not be used to transport passengers unless they are: (i) State employees engaged in official business, (ii) non-NYPA employees engaged in official business with NYPA employees, or (iii) persons in the control or custody of the agency. Picking up or dropping off friends or family members at their place of employment or school in a NYPA vehicle, regardless of their status as employees, is strictly prohibited.
- 5.5.4 All vehicles are required to have their daily utilization recorded on a Daily Mileage Log except those assigned to a work activity that will not require the vehicle to leave the NYPA site (property) to which it is assigned. Vehicle use logs shall be collected, reviewed, and approved as to completeness and accuracy by designated supervisor on a regular basis. The frequency of such review and approval (e.g., weekly, monthly), shall be reasonable in light of the overall vehicle use by the authority.
- 5.5.5 Vehicle and equipment assignment and re-assignment will be the responsibility of the DFO.

5.6 Responsibilities

- 5.6.1 The driver is responsible for having both a valid and appropriate class driver's license in his/her possession when operating any vehicle while on NYPA business.
- 5.6.2 NYPA's Human Resources (HR) department is responsible for monitoring employee driver's license status via the License Event Notification Service (LENS) program administered by the Department of Motor Vehicles in order to receive reports of driver license revocations, suspensions and restrictions. No person shall be allowed to operate a State vehicle if there has been a change in licensure that restricts driving privileges unless the operation of the state vehicle complies with such restrictions. HR will keep records of all drivers for five (5) years.
- 5.6.3 Supervisors must ascertain that employees under their supervision who operate company motor vehicles for official purposes are properly licensed for the class of vehicle they operate.
- a) Supervisors who knowingly or willfully require any employee to operate a company motor vehicle of any description for which the employee does not have the proper license or proper endorsement(s) will be subject to disciplinary action.
- 5.6.4 Employees shall abide by all applicable federal, state, and local laws or regulations and NYPA policies including but not limited to: (CP 1-6 Restrictions on the Use of Hand-Held Mobile Telephones While Operating a Motor Vehicle, CP 2-13 Use of GPS/ Telematic Technologies on Fleet vehicles and Equipment, CP 2-14 Use of Fleet Motor Pool Vehicles, EP 4.5 Fitness for Duty) while operating any vehicle on NYPA business or property.
- 5.6.5 All traffic and parking laws are to be obeyed. Posted speed limits are not to be exceeded, nor is the vehicle to be operated above safe driving speeds for road conditions. All motor vehicle violations received while operating a NYPA vehicle are the responsibility of the driver involved. Violations include, but are not limited to, parking tickets, moving violations, EZ-Pass speeding fines, and any late fees or penalties.

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- 5.6.6 The use of hand held cell phones and other portable electronic device while operating a NYPA vehicle is prohibited. Refer to CP 1-6 Restrictions on the Use of Hand-Held Mobile Telephones While Operating a Motor Vehicle.
- 5.6.7 Drivers and passengers involved in NYPA vehicle/equipment accidents must report such events immediately to their supervisor. If in the event the employee cannot make contact with their supervisor, security at the nearest site should be contacted.
- 5.6.8 All NYPA employees are responsible for abiding by this policy.
- 5.6.9 All levels of supervisory employees are responsible for ensuring compliance with this policy and taking corrective action as necessary.
- 5.6.10 Any NYPA employee who operates a company vehicle or piece of equipment shall take care of the condition of the vehicle or piece of equipment while in their custody. Employees are expected to exercise good judgment in the protection of the company's assets. Employees are responsible for identifying any potential damage or defects prior to use, and for quickly reporting any damage or defects after such use. Accumulation of trash shall be removed from the vehicle each day.
- 5.6.11 Unless expressly authorized by the Authority, no banners, advertising, placards, decals, or stickers may be placed on a NYPA vehicle.
- 5.6.12 Any NYPA employee who operates a NYPA vehicle is required to attend the National Safety Council's defensive driving course once every three (3) years. Defensive driving education course completion is tracked by NYPA's Training department with MOSAIC. Reasonable extensions to complete the defensive driver's education course can be made with direct supervisors' approval.
- 5.6.13 Smoking in NYPA vehicles is prohibited.
- 5.6.14 Due to the public nature of the work being performed when operating a state vehicle, persons using a state vehicle have a limited expectation of privacy in connection with such use. State agencies and authorities expressly reserve the right to monitor and record the use of any equipment they issued or assigned for a legitimate work-related purpose, and state vehicles are no exception. Accordingly, the use of a state vehicle may be monitored and recorded at any time by visual, documentary, or electronic means.

5.7 Additional Responsibilities for Commercial Vehicle Drivers

Employees who drive commercial vehicles for work-related purposes must:

- 5.7.1 Give their site Human Resources Facility Manager (HRFM) information on all driving jobs held for the past 10 years when they apply for a position that requires operation of a commercial vehicle.
- 5.7.2 Complete an official driver's vehicle inspection report before operating any of NYPA's commercial vehicles.

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- 5.7.3 Notify their site HRFM in writing within 30 days after a conviction for violating any motor vehicle traffic control law (other than a parking violation) regardless of the type (or owner) of vehicle driven when the offense occurred.
- 5.7.4 Notify their site HRFM when their licenses have been suspended, revoked, or canceled, or if they have been disqualified from driving for any reason. This notification must be made before the end of the business day following the day the employee received notice of the suspension, revocation, cancellation, lost privilege, or disqualification regardless of whether the employee is required to report to work on that day.

5.8 Accident Reporting

- 5.8.1 If an employee is involved in an accident/collision with a NYPA vehicle, he or she shall do the following:
- Call 911 to report the incident if it involves a third party or personal injury.
 - Call your supervisor.
 - Obtain copy of police report if applicable.
 - If the vehicle needs to be towed and is based out of the White Plains, Albany, or other SENY sites, calls NetworkFleet at 1-866-227-7323 – select option 2.
 - Complete a NYS DMV – MV104 form within 10 days of accident if there is a fatality, personal injury, or damage over \$1,000.00 to the property of any one person. Employee is required to complete and send form to Crash Records Center within 10 days of the accident. Failure to do so may result in suspension of the employee's driver license.
 - Employee must also complete a NYPA Vehicle Incident Form and send it to Fleet Operations.
 - Fleet Operations will forward information to Insurance Management department.

6 VIOLATIONS

Failure to comply with any provision of this Policy may result in disciplinary action up to and including termination of employment.

7 REFERENCES

- 7.1 CP 1-1 Company Policy Program Administration
- 7.2 CP 2-1 Travel
- 7.3 EP 4.5 Fitness for Duty
- 7.4 CP 1-6 Restrictions on the Use of Hand-Held Mobile Telephones While Operating a Motor Vehicle
- 7.5 CP 2-13 Use of GPS/ Telematic Technologies on Fleet vehicles and Equipment
- 7.6 CP 2-14 Use of Fleet Motor Pool Vehicles
- 7.7 IRS Publication 15-B

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Records will be retained in accordance with NYPA's approved records retention schedules and/or in compliance with all applicable legal requirements pertaining to NYPA.

8 POLICY REVIEW AND EXPIRATION

This document will be reviewed and updated as business needs require. However, a mandatory review will be required on the anniversary date of the approved document.

Revision cycle: Every Year

9 ATTACHMENTS

None

Printed copies are not controlled.

For the latest revision of this document, refer to the Policy and Procedure PowerNet Site.

Records will be retained in accordance with NYPA's approved records retention schedules and/or in compliance with all applicable legal requirements pertaining to NYPA.

PROCUREMENT CONTRACTS SUMMARY **January - August 2017**

In accordance with the Procurement Guidelines, “procurement contracts” are contracts for the acquisition of goods and/or services in the actual or estimated amount of \$5,000 or more. Such goods and/or services are those necessary to support the Authority’s White Plains office, facilities (including Canal Corporation), operations and maintenance (“O&M”) and capital projects, including but not limited to, goods and commodities, major electrical equipment, construction, maintenance work and other services.

The following is a summary of all procurement contracts including multi-year contracts awarded through August 31, 2017. These contracts have been active during 2017 and are \$5,000 or greater in value. There are 2,169 such contracts with a total estimated value of more than \$4.7 billion not including fossil fuel or corporate finance expenditures covered in Sections 2b-5 and 2b-6 of this report. Total expenditures thus far in 2017 have exceeded \$371 million for these contracts.

The following is a breakdown of the total number of active contracts, excluding fossil fuel and corporate finance contracts, by contract type:

- 12% of these contracts are for Construction Services;
- 43% are for the purchase of Equipment and Commodities;
- 2% are for Architectural and Engineering Services;
- 2% are for Legal Services;
- 15% are for Personal Service contracts such as professional consulting services;
- 26% are for Non-Personal Service contracts such as maintenance, technicians, contracted personnel and repairs.

Based on the total value of the contracts included in this summary (\$4.7 billion), approximately 99% of contracts were competitively bid. Thus far in 2017, approximately 1% of contracts (with a total contract value of approximately \$37 million), were sole/single-source awards, which included over \$2 million in Minority- and Women-owned Business Enterprises (MWBES), Service-Disabled Veteran-Owned Businesses (SDVOBs) and NYS Small Businesses contract awards. Sole/single-source awards also included, but were not limited to, the purchase of highly specialized spare parts and services from original equipment manufacturers, procurement of services on an emergency basis and proprietary sources.

DISPOSAL OF PERSONAL PROPERTY **January – August 2017**

January through June 2017 YTD Report of Disposal of Personal Property

Public Authorities Law (“PAL”) § 2896, enacted as part of the Public Authorities Accountability Act of 2005 (“PAAA”), as amended by the Public Authorities Reform Act of 2009 (“PARA”), requires the Authority to prepare a report, not less frequently than annually, listing all Personal Property in excess of \$5,000 in value that was disposed of during the reporting period. Furthermore, pursuant to Subsection C.5 of the Authority’s Governance Committee Charter relating to Reports, the Vice President of Procurement is required to report to the Committee at all regularly scheduled meetings. To that end, the 2017 YTD Report of Disposal of Personal Property over \$5,000 in value is attached. The description of the property, purchaser’s name and price received by the Authority, as required by PAL § 2896, will be presented in the official 2017 Annual Report of Disposal of Personal Property for review and approval by the full Board of Trustees at their March 2018 meeting, referenced in the Authority’s § 2800 Annual Report and included in other filings with various State entities, in compliance with applicable law. The sale price and other additional data (such as fair market value, date of transaction, full address of purchaser, etc.) required by the Authorities Budget Office (“ABO”) will be included in the 2017 Public Authorities Reporting Information System (“PARIS”) Annual Report of Personal Property Disposal for submittal to the ABO by March 31, 2018.

FACILITIES and WPO – July and August 2017 Activity

During the reporting period, there were no personal property disposals in excess of \$5,000 in value.

FACILITIES and WPO – YTD August 2017 Summary and Subtotal

The January through August 2017 Total “Price Received by the Authority” for Personal Property Disposal transactions over \$5,000 conducted by or on behalf of the Property Disposal Coordinators (“PDCs”) at the Facilities was \$84,331.53.

FLEET – July and August 2017 Activity

During the reporting period, the Authority participated in one Fleet-related auction conducted on behalf of the Authority’s Fleet Operations Division by the firm J.J. Kane Associates, Inc. (DBA J.J. Kane Auctioneers (of Delran, NJ) on August 26, 2017. Of thirty vehicles sold for \$315,745, twelve vehicles had an assessed sale price or fair market value in excess of \$5,000 and these sales resulted in payment to the Authority of \$279,050. This value is net of transportation and other fees, as fully detailed in the attached report.

FLEET – YTD August 2017 Summary and Subtotal

In summary, the January through August 2017 YTD Fleet Total “Price Received by the Authority” for the sale of thirty-three vehicles with an assessed sale price or fair market value exceeding \$5,000 (less transportation and other fees) was \$471,150.00.

Grand Total as of 08/31/17

As summarized on page 2 of the attached Report, the YTD August 2017 Grand Total "Price Received by the Authority" for all Personal Property in excess of \$5,000 was \$555,481.53.

POWER AUTHORITY OF THE STATE OF NEW YORK

YTD AUGUST 2017 REPORT OF DISPOSAL OF PERSONAL PROPERTY OVER \$5,000

DESCRIPTION	PURCHASER	SALE PRICE	PRICE RECEIVED by the Authority
REFRIGERANT TANKS AT NIAGARA AND ST LAWRENCE PROJECTS	AIRGAS USA LLC, DBA FOR AIRGAS, INC.	\$ 6,723.88	\$ 6,723.88
SCRAP METALS AT ST LAWRENCE PROJECT	CASELLA WASTE SYSTEMS, INC.	\$ 9,994.00	\$ 9,994.00
CO2 AND HYDROGEN CYLINDERS AT ST LAWRENCE PROJECT	AMERITANX, INC.	\$ 19,000.00	\$ 19,000.00
SCRAP METALS AT ST LAWRENCE PROJECT	CASELLA WASTE SYSTEMS, INC.	\$ 15,757.03	\$ 15,757.03
SCRAP METALS AT ST LAWRENCE PROJECT	CASELLA WASTE SYSTEMS, INC.	\$ 10,156.62	\$ 10,156.62
CO2 CYLINDERS AT NIAGARA PROJECT	AMERITANX, INC.	\$ 22,700.00	\$ 22,700.00
	SUBTOTAL:	\$ 84,331.53	\$ 84,331.53

POWER AUTHORITY OF THE STATE OF NEW YORK

YTD AUGUST 2017 REPORT OF DISPOSAL OF PERSONAL PROPERTY OVER \$5,000

FLEET OPERATIONS

DESCRIPTION	PURCHASER	SALE PRICE	Transportation and Other Fees	PRICE RECEIVED * by the Authority
2000 HYSTER FORKLIFT	POWER & CONSTRUCTION GROUP INC	\$ 13,500.00	\$ 100.00	\$ 13,400.00
2004 JLG 450AJ MANLIFT	AMAZING MOTORS	\$ 21,000.00	\$ 100.00	\$ 20,900.00
2004 JLG 600S MANLIFT	NICHOLAS KRYWOSA	\$ 2,000.00	\$ 100.00	\$ 21,900.00
2005 YALE GLP06-TG FORKLIFT	DAN DIMOND EQUIPMENT INC	\$ 1,000.00	\$ 100.00	\$ 10,900.00
2008 CHEVY IMPALA	UPSTATE AUTO SALES INC	\$ 5,500.00	\$ 100.00	\$ 5,400.00
2008 FORD ESCAPE	UPSTATE AUTO SALES INC	\$ 5,100.00	\$ 100.00	\$ 5,000.00
2008 FORD ESCAPE	JULIAN PADUANA	\$ 5,200.00	\$ 100.00	\$ 5,100.00
2008 FORD ESCAPE	UPSTATE AUTO SALES INC	\$ 5,250.00	\$ 100.00	\$ 5,150.00
2008 FORD ESCAPE	UPSTATE AUTO SALES INC	\$ 5,250.00	\$ 100.00	\$ 5,150.00
2008 FORD ESCAPE	DILCIA BARROS	\$ 5,750.00	\$ 100.00	\$ 5,650.00
2008 FORD F350 PICKUP	UPSTATE AUTO SALES INC	\$ 1,000.00	\$ 100.00	\$ 10,900.00
2008 FORD F550 PICKUP	CNY SEALCOATING & CONCRETE LLC	\$ 3,750.00	\$ 100.00	\$ 13,650.00
2009 CHEVY IMPALA	THOMAS BROWN	\$ 6,250.00	\$ 100.00	\$ 6,150.00
2009 DODGE DURANGO	CHAMPION INTERNATIONAL	\$ 5,250.00	\$ 100.00	\$ 5,150.00
2011 FORD F350 PICKUP	ICAR AUTO SALES LLC	\$ 1,000.00	\$ 100.00	\$ 10,900.00
2011 FORD F350 PICKUP	CHRIS ALBICKER	\$ 1,000.00	\$ 100.00	\$ 10,900.00
2011 FORD FUSION	UPSTATE AUTO SALES INC	\$ 5,250.00	\$ 100.00	\$ 5,150.00
2011 FORD FUSION	UPSTATE AUTO SALES INC	\$ 5,250.00	\$ 100.00	\$ 5,150.00
2011 TOYOTO HIGHLANDER	L&V DOM & IMPORTED AUTO SALES INC	\$ 2,500.00	\$ 100.00	\$ 12,400.00

* Sale Price less transportation and other costs. Includes items where the Sale Price and/or the estimated Fair Market Value exceeded \$5,000.

POWER AUTHORITY OF THE STATE OF NEW YORK

YTD AUGUST 2017 REPORT OF DISPOSAL OF PERSONAL PROPERTY OVER \$5,000

FLEET OPERATIONS (CONTINUED)

DESCRIPTION	PURCHASER	SALE PRICE	Transportation and Other Fees	PRICE RECEIVED * by the Authority
2012 FORD FUSION	RWC ENTERPRISES	\$ 6,450.00	\$ 100.00	\$ 6,350.00
2012 FORD FUSION	CJ'S CAR AMERICA	\$ 6,950.00	\$ 100.00	\$ 6,850.00
2005 JD ATV	HYLAN DATACOM & ELECTRICAL LLC	\$ 7,750.00	\$ 100.00	\$ 7,650.00
2013 FORD FUSION	SUSAN M BUTTON	\$ 7,500.00	\$ 100.00	\$ 7,400.00
2012 FORD FUSION	CHICAGO AUTOPLEX INC	\$ 5,750.00	\$ 100.00	\$ 5,650.00
2012 FORD FUSION	CHICAGO AUTOPLEX INC	\$ 5,250.00	\$ 100.00	\$ 5,150.00
2012 FORD FUSION	CHICAGO AUTOPLEX INC	\$ 5,250.00	\$ 100.00	\$ 5,150.00
2008 FORD ESCAPE	CONNIE BURNETT	\$ 6,000.00	\$ 100.00	\$ 5,900.00
2009 FORD F250	ICAR AUTO SALES LLC	\$ 10,750.00	\$ 100.00	\$ 10,650.00
2005 INTR 7400	ACE EQUIPMENT NORTH	\$ 13,000.00	\$ 100.00	\$ 12,900.00
2003 WORK VAN	LEHIGH AUTO SALES & SERVICE LLC	\$ 8,000.00	\$ 100.00	\$ 7,900.00
2007 INTR 5600	KT POWER	\$150,000.00	\$ 100.00	\$ 149,900.00
2006 CATE D5GL	VILLAGE TRUCK SALES	\$ 46,000.00	\$ 100.00	\$ 45,900.00
2004 JLG M6400J	INTEGRA SUPPLY	\$ 15,000.00	\$ 100.00	\$ 14,900.00
	FLEET SUBTOTAL:	\$ 474,450.00	\$ 3,300.00	\$ 471,150.00
	+ SUBTOTAL Page 1:	<u>\$ 84,331.53</u>	--	<u>\$ 84,331.53</u>
	GRAND TOTAL:	<u>\$ 558,781.53</u>	\$ 3,300.00	<u>\$ 555,481.53</u>

* Sale Price less transportation and other costs. Includes items where the Sale Price and/or the estimated Fair Market Value exceeded \$5,000.

SUPPLIER DIVERSITY PROGRAM (SDP) **January – August 2017**

At the end of New York State's 2nd reporting quarter (ending June 30, 2017), the Authority expended a total of \$10.7 Million or 16.7% of its reportable expenditures to New York State-certified Minority and Women-Owned Business Enterprises (MWBEs).

From January to August 2017, the Authority expended approximately \$37.1 Million or 24.8% of its reportable expenditures to New York State-certified MWBEs. Treasury transactions with NYS-certified MWBE financial dealers for the first eight months of calendar year 2017 resulted in \$466.7 Million or 33.9% in principal sales and purchases for the Authority.

Service-Disabled Veteran-Owned Business (SDVOB) utilization for the period January – August 2017 is approximately \$1.16 Million or 12.3% of designated spend. The Authority's utilization of SDVOBs continues to increase. Additionally, SDVOB financial dealers transacted over \$384.4 Million or 27.9% in principal sales and purchases for the period January – August 2017.

The Authority's 2017-2018 MWBE goal is 30%. The Authority remains challenged in meeting this aggressive goal due to numerous factors; onboarding of the Canal Corporation, the conclusion of multiple energy efficiency contracts and NYPA's unique position as an energy producing utility. We are hopeful that the new procurement model and enhanced SAP tracking reporting features, the use of Ariba Discovery and revised approaches in determining MWBE goal applications will collectively assist the Authority in its outreach to and utilization of diverse suppliers.

Victoria Daniels is the new Manager of Supplier Diversity for the Authority. This position resides in the Supplier Relationship Management (SRM) pillar of the new Strategic Supply Management model. She assumed responsibility for the program June 1, 2017.

The new 2016 Disparity Study ("Study") was released early July 2017. The Study is an evaluation of the participation by MWBEs in government contracting compared to the availability of MWBEs in the marketplace and determines whether race or gender based disparity continues to exist in New York State contracting. We are assessing its impact on the Authority's Supplier Diversity Program.

With the Senior Director of Category Management and the Analytics COE Manager in place, the SDP team is seeking increased procurement opportunities with diverse suppliers by utilizing strategic analytics and sourcing strategies.

INVENTORY STATISTICS
August 31, 2017

Facility	8/31/17	8/31/16	8/31/15
Niagara	18,337,715	18,177,911 ¹	20,446,308
St. Lawrence	15,478,485 ²	14,319,151 ³	12,174,658
Poletti Project	9,010,422	8,998,483	8,128,670
Flynn Project	7,598,898	7,145,175 ⁴	14,143,303
Blenheim-Gilboa	9,061,284	8,723,402	8,582,215
500 MW Project	27,125,147	25,878,246	25,301,909
Clark Energy Center	6,926,609	6,405,182	5,610,126
Canal Corporation	737,293	N/A	N/A
Total Stock Value	\$ 94,275,853	\$ 89,647,550	\$ 94,387,189

¹ 2016 Includes \$2.0 million reduction for NIA Dual Voltage Transformer installed in RM Unit 5 to replace the fire damaged transformer.

² 2017 Includes \$1.1 million for STL net purchases of:

765KV Disconnect Switches & Structure Supports	\$1,131K
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³ 2016 Includes \$1.6 million for STL net purchases of:

Headgate Motor Control Panels	\$ 800K
765KV Disconnect Switches & Lattice Structure Supports	\$ 284K
Wood Poles - 70 & 75 Ft	\$ 272K
Reinhausen Transducer	\$ 172K
Lubron Bushings	\$ 66K

⁴ 2016 Includes \$6.2 million reduction for Flynn 2015 Major Outage:

Turbine Blades and Vanes	\$4.258 million
Turbine Inner Casing	\$1.305 million
Mixing Casing	\$0.653 million

**POWER AUTHORITY OF THE STATE OF NEW YORK
FOSSIL FUELS ACTIVITY
JANUARY - AUGUST 2017**

Exhibit 2b-E
September 26, 2017

REQUIRED BY N.Y. PUBLIC AUTHORITIES LAW, SECTION 2879

CONTRACT NUMBER	PROVIDER NAME	CONTRACT DESCRIPTION	T Y P E	M O A	R A	O P A	M O B E	B I D S	TOTAL EXPENDED TO DATE	AMOUNT EXPENDED 1Q & 2Q	AMOUNT EXPENDED (JULY '17 & AUG '17)	AMOUNT EXPENDED 2017	DATE OF CONTRACT	O \ C	PROJ COMPLETE DATE	DATE CONTRACT COMPLETE
FD-1990-33	Northville Industries Corp. NIC 25 Melville Park Road Melville NY 117470398	Oil Storage Agreement	E	1			N	N	15,146,515	282,166	94,056	376,222	4/1/1994		3/31/2019	
FD-1990-34	Northville Industries Corp. NIC 25 Melville Park Road Melville NY 117470398	Oil Storage Agreement	E	1			N	N	4,601,887	70,542	23,513	94,055	10/1/1993		3/31/2019	
FD-2002-11	PSEG EnergyResourcesTrade 80 Park Plaza Floor T-19 Newark NJ 07102	NAESB Agreement for Natural Gas	E	B			F	N	11,017,721	0	0	0	11/1/2002		*	
FD-2003-09	J. Aron and Company 85 Broad Street New York NY 10004	NAESB Agreement for Natural Gas	E	B			N	N	83,241,402	0	0	0	4/22/2003		*	
FD-2003-10	BP Energy Company 201 Helios Way Houston TX 77079	NAESB Agreement for Natural Gas	E	B			F	N	210,472,430	1,232,438	0	1,232,438	10/1/2004		*	
FD-2003-12	Exelon Generation Company, LLC 1310 Point Street Baltimore MD 21231	NAESB Agreement for Natural Gas	E	B			F	N	55,860,651	0	0	0	6/9/2003		*	
FD-2003-13	Merrill Lynch Commodities, Inc. 20 East Greenway Plaza Houston TX 77046	NAESB Agreement for Natural Gas	E	B			F	N	83,974	0	83,974	83,974	11/1/2003		*	
FD-2003-14	Colonial Energy Inc. 3975 Fair Ridge Drive Fairfax VA 22033	NAESB Agreement for Natural Gas	E	B			F	N	513,356,662	5,063,264	1,136,318	6,199,582	7/1/2003		*	
FD-2003-15	Virginia Power Energy Mktg P.O. Box 25773 Richmond VA 23260	NAESB Agreement for Natural Gas	E	B			F	N	629,265,426	0	0	0	7/11/2003		*	
FD-2004-08	Shell Energy North America (US), L.P. 1000 Main Street Houston TX 77002	NAESB Agreement for Natural Gas	E	B			F	N	83,906,072	5,842,561	9,000	5,851,561	4/1/2004		*	
FD-2005-12	NJR Energy Services Co 1415 Wyckoff Road Wall NJ 07719	NAESB Agreement for Natural Gas	E	B			F	N	429,620,241	3,419,577	2,331,441	5,751,018	9/1/2005		*	
FD-2007-10	Eastern Generation, LLC 18-01 20th Avenue Astoria NY 11105	Oil Delivery Labor Fees	E	1			N	N	60,771	0	0	0	7/1/2006		*	
FD-2008-05	Sequent Energy Mgmt LP 1200 Smith Street Houston TX 77002	NAESB Agreement for Natural Gas	E	B			F	N	36,565,826	0	0	0	3/1/2008		*	
FD-2008-06	Macquarie Energy LLC 500 Dallas Street Houston TX 77002	NAESB Agreement for Natural Gas	E	B			F	N	47,096,447	0	0	0	7/1/2008		*	
FD-2008-09	Con Edison 4 Irving Place New York NY 10003	LDC Agreement	E	1			N	N	26,273,898	(534,558)	531,802	(2,756)	8/1/2008		6/30/2019	
FD-2008-10	United Energy Trading, LLC 215 Union Boulevard Lakewood CO 80228	NAESB Agreement for Natural Gas	E	B			F	N	158,345,320	0	0	0	11/19/2008		*	

**POWER AUTHORITY OF THE STATE OF NEW YORK
FOSSIL FUELS ACTIVITY
JANUARY - AUGUST 2017**

Exhibit 2b-E
September 26, 2017

REQUIRED BY N.Y. PUBLIC AUTHORITIES LAW, SECTION 2879

CONTRACT NUMBER	PROVIDER NAME	CONTRACT DESCRIPTION	T Y P E	M O A	R N A	O P A	M W B E	B I D S	TOTAL EXPENDED TO DATE	AMOUNT EXPENDED 1Q & 2Q	AMOUNT EXPENDED (JULY '17 & AUG '17)	AMOUNT EXPENDED 2017	DATE OF CONTRACT	O \n C	PROJ COMPLETE DATE	DATE CONTRACT COMPLETE
FD-2009-07	Conoco Phillips Company 600 North Dairy Ashford Houston TX 77079	NAESB Agreement for Natural Gas	E	1			F	N	32	41,705,075	3,052,205	476,891	3,529,096		*	
FD-2009-08	NextEra Energy Power Marketing, LLC 700 Universe Blvd Juno Beach FL 33408	NAESB Agreement for Natural Gas	E	B			F	N	32	8,624,466	5,383,054	575,653	5,958,706		*	
FD-2010-02	ENSTOR Energy Services, LLC 20329 State Highway 249 Houston TX 77070	NAESB Agreement for Natural Gas	E	B			F	N	32	17,800,734	25,500	75,200	100,700		*	
FD-2011-06	EDF Trading North America, LLC 4700 West Sam Houston Houston TX 77041	NAESB Agreement for Natural Gas	E	B			F	N	32	193,565,483	8,176,709	553,474	8,730,183		*	
FD-2011-10	Tenaska Gas Storage, LLC 14302 FNB Parkway Omaha NE 68154	NAESB Agreement for Natural Gas	E	B			F	N	32	1,251,315	0	0	0		*	
FD-2011-11	Statoil Natural Gas, LLC 120 Long Ridge Road Stamford CT 06902	NAESB Agreement for Natural Gas	E	B			F	N	32	18,067,472	1,169,746	716,516	1,886,262		*	
FD-2012-02	Repsol Energy North America Corporation 2455 Technology Forest Rd The Woodlands TX 77381	NAESB Agreement for Natural Gas	E	B			F	N	32	38,198,810	24,160	0	24,160		*	
FD-2013-06	Chesapeake Energy Marketing, LLC P.O. Box 18496 Oklahoma City OK 73154-0496	NAESB Agreement for Natural Gas	E	B			F	N	32	35,495,700	7,927,372	3,354,742	11,282,114		*	
FD-2014-08	Spark Energy Gas, LLC 12140 Wickchester Lane Houston TX 77079	NAESB Agreement for Natural Gas	E	B			F	N	32	270,430,032	21,855,924	6,884,312	28,740,237		*	
FD-2014-12	EQT Energy, LLC 625 Liberty Ave Pittsburgh PA 15222-3111	NAESB Agreement for Natural Gas	E	B			F	N	32	144,531	36,285	0	36,285		*	
FD-2014-16	Pacific Summit Energy LLC 2010 Main Street Irvine CA 92614	NAESB Agreement for Natural Gas	E	B			F	N	32	41,709,940	2,887,892	675,120	3,563,012		*	
FD-2015-03	Cabot Oil & Gas Corporation 840 Gessner Road Houston TX 77024-4152	NAESB Agreement for Natural Gas	E	B			F	N	32	2,439,304	0	0	0		*	
FD-2015-05	DTE Energy Trading, Inc. 414 South Main Street Ann Arbor MI 48104	NAESB Agreement for Natural Gas	E	B			F	N	32	33,924,938	9,981,635	5,696,436	15,678,071		*	
FD-2015-07	Definite Energy Group 575 Lexington Ave New York NY 10022	NAESB Agreement for Natural Gas	E	B			N	N	32	385,895	93,730	0	93,730		*	

**POWER AUTHORITY OF THE STATE OF NEW YORK
FOSSIL FUELS ACTIVITY
JANUARY - AUGUST 2017**

Exhibit 2b-E
September 26, 2017

REQUIRED BY N.Y. PUBLIC AUTHORITIES LAW, SECTION 2879

CONTRACT NUMBER	PROVIDER NAME	CONTRACT DESCRIPTION	T Y P E	M O A	R A	O P A	M B E	B I D S	TOTAL EXPENDED TO DATE	AMOUNT EXPENDED 1Q & 2Q	AMOUNT EXPENDED (JULY '17 & AUG '17)	AMOUNT EXPENDED 2017	DATE OF CONTRACT	O C	PROJ COMPLETE DATE	DATE CONTRACT COMPLETE
FD-2015-10	Direct Energy Business Marketing, LLC 194 Wood Ave South Iselin NJ 08830	NAESB Agreement for Natural Gas	E	B		F	3	32	972,398	131,250	31,650	162,900	12/14/2015		*	
FD-2016-01	Saybolt LP P.O. Box 844640 Dallas TX 752844640	Oil Inspection Agreement	P	B		N	N		5,698	5,599	100	5,698	1/1/2017	O	12/31/2019	
FD-2016-02	Astoria Energy II LLC 1710 Steinway Street Astoria NY 11105	AEII Demand Charges	E	1		N	N		8,445	3,233	622	3,855	5/1/2016		4/30/2019	
FD-2016-05	Transco P.O. BOX 201371 Houston TX 77216	Firm Gas Transportation Agreement	E	1		F	N		655,463	389,551	265,912	655,463	4/1/2017	O	3/31/2018	
FD-2016-07	National Grid - LI 100 East Old Country Road Hicksville NY 11801	LDC Agreement (Flynn)	E	1		N	N		500,518	176,187	324,331	500,518	4/1/2017	O	3/31/2018	
FD-2017-01	National Grid - NY 1 Metrotech Centre Brooklyn NY 11201	LDC Agreement	E	1		N	N		752,552	241,844	510,708	752,552	6/1/2017	O	5/31/2018	
FD-2017-02	National Grid - LI 100 East Old Country Road Hicksville NY 11801	LDC Agreement (Brentwood)	E	1		N	N		323,798	105,215	218,583	323,798	6/1/2017	O	5/31/2018	
FD-2006-09	Transco P.O. BOX 201371 Houston TX 77216	Firm Gas Transportation Agreement	E	1		F	N		16,642,323	384,809	0	384,809	8/4/2006	C	3/31/2017	3/31/2017
FD-2015-04	National Grid - LI 100 East Old Country Road Hicksville NY 11801	LDC Agreement (Flynn)	E	1		N	N		3,471,497	236,106	0	236,106	1/1/2015	C	3/31/2017	3/31/2017
FD-2014-14	National Grid - NY 1 Metrotech Centre Brooklyn NY 11201	LDC Agreement	E	1		N	N		5,386,764	926,994	0	926,994	5/30/2014	C	5/31/2017	5/31/2017
FD-2014-15	National Grid - LI 100 East Old Country Road Hicksville NY 11801	LDC Agreement (Brentwood)	E	1		N	N		1,200,619	72,463	0	72,463	5/30/2014	C	5/31/2017	5/31/2017
FS-1994-17	Merrill Lynch Commodities, Inc. 20 East Greenway Plaza Houston TX 77046	NAESB Agreement for Natural Gas	E	B		F	N	32	276,520,337	496,431	0	496,431	7/1/1994	C	6/30/2017	6/30/2017
FUELS TOTALS									3,038,579,013	78,663,452	24,570,353	103,233,806				

* Contract continues until terminated by NYPA or counterparty upon specified notice

POWER AUTHORITY OF THE STATE OF NEW YORK
CORPORATE FINANCE ACTIVITY
JANUARY THROUGH AUGUST 2017
REQUIRED BY N.Y. PUBLIC AUTHORITIES LAW, SECTION 2879

PROVIDER NAME	CONTRACT DESCRIPTION	T Y P E	M O A	R A	O N P A	M W B E	B I D S	TOTAL CONTRACT AMOUNT	TOTAL EXPENDED TO DATE	AMOUNT EXPENDED 2017	CONTRACT BALANCE	DATE OF CONTRACT	O C	PROJ COMPLETE DATE	DATE CONTRACT COMPLETE
Bank of New York (formerly JPMorgan) 101 Barclay Street New York, NY 10286	Trustee/Paying Agent Services on Bonds	S	C	9	N			515,231	515,231	13,157	-	2/24/1998	O	*	
Bank of New York (formerly JPMorgan) 101 Barclay Street New York, NY 10286	Trustee- Commercial Paper	S	C	9	N			225,392	225,392	14,592	-	10/2/2006	O	*	
Barclays Capital (assigned from Lehman Bros.) ** 745 Seventh Avenue New York, NY 10019	Re-marketing Agent CP-1	S	C	9	N			1,865,477	1,865,477	82,729	-	7/14/1994	O	*	
Barclays Capital (assigned from Lehman Bros.) 745 Seventh Avenue New York, NY 10019	Re-marketing Agent CP-3	S	C	9	N			10,775	10,775	-	-	6/26/2008	O	*	
Citigroup 390 Greenwich Street New York, NY 10013	Re-marketing Agent CP-2	S	C	9	N			1,080,870	1,080,870	55,810	-	12/4/1997	O	*	
Goldman, Sachs & Co. 85 Broad Street New York, NY 10004-2456	Re-marketing Agent CP-2 and 3	S	C	9	N			1,096,813	1,096,813	27,069	-	12/4/1997	O	*	

POWER AUTHORITY OF THE STATE OF NEW YORK
CORPORATE FINANCE ACTIVITY
JANUARY THROUGH AUGUST 2017
REQUIRED BY N.Y. PUBLIC AUTHORITIES LAW, SECTION 2879

PROVIDER NAME	CONTRACT DESCRIPTION	T Y P E	M O A	R O A	N P A	M O A	B I D E S	TOTAL CONTRACT AMOUNT	TOTAL EXPENDED TO DATE	AMOUNT EXPENDED 2017	CONTRACT BALANCE	DATE OF CONTRACT	O C	PROJ COMPLETE DATE	DATE CONTRACT COMPLETE
Goldman, Sachs & Co. 85 Broad Street New York, NY 10004-2456	Re-marketing EMCP	S	C	9	N			940,670	940,670	4,758	-	3/4/2003	O	*	
JPMorgan Chase 270 Park Avenue New York, NY 10017-2070	Re-marketing Agent CP-2	S	C	9	N			316,442	316,442	32,527	-	6/28/2001	O	*	
JPMorgan Chase 270 Park Avenue New York, NY 10017-2070	Re-marketing Agent CP-3	S	C	9	N			638,776	638,776	8,152	-	12/4/1997	O	*	
JPMorgan Chase 270 Park Avenue New York, NY 10017-2070	Escrow Agent POOCR/CASP & LMEI Funds	S	C	9	N			558,845	558,845	3,000	-	5/30/1996	O	*	
JPMorgan Chase 270 Park Avenue New York, NY 10017-2070	Revolving Line of Credit 2015	S	C	9	N		2	8,139,333	6,175,464	1,274,333	1,963,869	1/15/2015	O	1/19/2018	

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PROVIDER NAME	CONTRACT DESCRIPTION	T Y P E	M O N E Y	R O W A A	O N P A	M O N E Y	B I D S	TOTAL CONTRACT AMOUNT	TOTAL EXPENDED TO DATE	AMOUNT EXPENDED 2017	CONTRACT BALANCE	DATE OF CONTRACT	O C	PROJ COMPLETE DATE	DATE CONTRACT COMPLETE
Morgan Stanley 1221 Avenue of the Americas New York, NY 10020	Re-marketing Agent CP-1	S	C	8	N		7	442,996	442,996	24,233	-	3/31/2009	O	*	
RBC Capital Markets ** 1211 Avenue of the Americas New York, NY 10036	Re-marketing Agent CP-1	S	C	9	N			715,247	715,247	38,631	-	7/14/1994	O	*	
CORPORATE FINANCE TOTALS								16,546,867	14,582,998	1,578,989	1,963,869				

NOTES:

* Contract continues until terminated by NYPA or Counterparty upon specified notice

** Expense History only available from 1997

TRANSFER OF INTEREST IN PERSONAL PROPERTY TO CANAL CORPORATION

January – August 2017

2017 YTD Report of Transfer of Interest in Personal Property to Canal Corporation

Effective January 1, 2017, management and administration of the New York State Canal Corporation is an additional corporate purpose of the Authority. New York Public Authorities Law § 1005-b (2) authorizes the Authority to “transfer to the canal corporation any moneys, real, personal, or mixed property or any personnel in order to carry out the purposes of this section...” to the extent that the Authority’s Trustees deem it feasible and advisable. Under Public Authorities Law Title 5-A Disposition of Property by Public Authorities, the Trustees annually designate a contracting officer as part of their approval of the Guidelines for the Disposal of New York Power Authority Personal Property.

The Trustees’ authorized, on January 31, 2017, the Authority’s personal property Contracting Officer to transfer any interest in Authority personal property to the Canal Corporation, as the Contracting Officer deems prudent after balancing the respective needs of the Authority and the Canal Corporation, will facilitate the Canal Corporation’s obligations to operate, maintain, construct, reconstruct, improve and develop the canal system. The Contracting Officer will authorize the transfer of Authority personal property or any interest therein only at the request of Canal Corporation. The Contracting Officer will authorize the transfer to Canal Corporation any interest in Authority personal property, valued at up to \$500,000 per transaction, in parity with the authorization level and signing authority set forth in the Guidelines for the Disposal of New York Power Authority Personal Property. Additionally, the Contracting Officer will report to the Trustees or committee thereof regarding such property transfers. To that end, the 2017 YTD Report Transfer of Interest in Personal Property to Canal Corporation less than \$500,000 in value is attached.

FLEET – July and August 2017 Activity Summary

In response to a Canal Corporation request for certain Fleet assets, and subsequent to the demonstrating a need for these assets, the Contracting officer approved the transfer of two Fleet vehicles, valued at \$29,000, as described in the attached report in furtherance of Canal Corporation’s operation, maintenance, construction, reconstruction, improvement and development of the canal system.

FLEET – YTD August 2017 Activity Summary

In summary, the January through August 2017 Fleet Total “Value of Interest in Property Transferred to Canal Corporation”, for Fleet transactions less than \$500,000 authorized by the Contracting Officer was \$257,900.

OTHER PERSONAL PROPERTY– July and August 2017 Activity Summary

During the reporting period, there were no other personal property transfers to Canal Corporation.

OTHER PERSONAL PROPERTY– YTD August 2017 Activity Summary

The January through August 2017 Total “Value Transferred to Canal Corporation” for personal property transactions less than or equal to \$500,000 authorized by the Contracting Officer was \$0.

Grand Total as of 8/31/17

As summarized on the attached Report, the January through August 2017 Grand Total “Value of Interest in Personal Property Transferred to Canal Corporation” for all Personal Property less than or equal to \$500,000 authorized by the Contracting Officer was \$257,900.

POWER AUTHORITY OF THE STATE OF NEW YORK

2017 YTD AUGUST REPORT OF TRANSFER OF INTEREST IN PERSONAL PROPERTY TO CANAL CORPORATION LESS THAN OR EQUAL TO \$500,000

FLEET OPERATIONS

<u>DESCRIPTION</u>	<u>VIN</u>	<u>UNIT #</u>	<u>TRANSFER DATE</u>	<u>Transfer Value Recorded by the Authority</u>
2008 INT'L 5600i DMP TRUCK	1HTXGSBT08J688037	T620	3/24/17	\$ 60,000
2012 FORD ESCAPE	1FMCU9DG9CKA15338	12S23	5/09/17	\$ 7,425
2016 TRAILKING TK40LP	1TKC03322GR051624	16R98	5/09/17	\$ 13,000
2010 CHEVY IMPALA	2G1WA5EK9A1236031	10A34	5/19/17	\$ 4,825
2011 FORD FUSION	3FAHP0HG7BR322496	11A204	5/19/17	\$ 5,275
2012 FORD FUSION	3FADP0L33CR340806	12H48	5/19/17	\$ 7,550
2011 FORD FUSION	3FAHP0HG0BR322503	11A25	5/31/17	\$ 5,275
2011 FORD FUSION	3FAHP0HG7BR322501	11A7	5/31/17	\$ 5,275
2011 FORD FUSION	3FAHP0HG9BR322502	11A8	5/31/17	\$ 5,275
2008 CHEVY IMPALA	2G1WB58K881319808	08A20	5/31/17	\$ 5,000
2008 CHEVY IMPALA	2G1WB58K481319496	08A243	5/31/17	\$ 5,000
2008 CHEVY IMPALA	2G1WB58K881318383	08A263	5/31/17	\$ 5,000
2010 LTL GIANT 6430 CRANE	641002	10N9	6/27/17	\$ 100,000
2010 CHEVY IMPALA	2G1WA5EKXA1235616	10A23	7/26/17	\$ 5,000
2003 FORD F550	1FDAF57P03ED85291	MT33	8/25/17	\$ 24,000

SUBTOTAL:

\$ 257,900

POWER AUTHORITY OF THE STATE OF NEW YORK

2017 YTD AUGUST REPORT OF TRANSFER OF INTEREST IN PERSONAL PROPERTY TO CANAL CORPORATION LESS THAN OR EQUAL TO \$500,000

OTHER PERSONAL PROPERTY

DESCRIPTION

Transfer Value
Recorded by the
Authority

\$ 0

SUBTOTAL:

\$ 0

+ SUBTOTAL Page 1:

\$ 257,900

GRAND TOTAL:

\$ 257,900

Interim Report
ACQUISITION AND DISPOSAL OF REAL PROPERTY
January 1– September 13, 2017

Section 2896 of the Public Authorities Law (PAL) requires a report setting out all real property transactions of the Authority over a given reporting period. Such report shall consist of a list and full description of all real property disposed of during such period. The report shall contain the price received by the Authority and the name of the purchaser. There is no monetary threshold, so all disposals regardless of value need to be reported. In addition, acquisitions and leasing transactions are also included in this report. All acquisitions and dispositions reported herein were approved by the Authority's Trustees and/or are consistent with current Trustee-approved Expenditure Authorization Procedures. The reporting period is January 1 – September 13, 2017.

I. ACQUISITIONS

1.) Acquisitions by Deed or Easement:

Greg and Karen Liesten – Acquisition of a permanent easement for an access road in the Town of Palmyra, County of Wayne (Map No. WP-1451, Parcel No. 1451) from Greg and Karen Liesten. The consideration for this transaction was \$550.00. The easement was recorded on January 10, 2017.

Emergency Energy Control Center- New Hartford – During this reporting period, NYPA Real Estate acquired 3.01 acres from New Hartford Office Park, L.L.C. in support of the new Emergency Energy Control Center in the Town of New Hartford, County of Oneida. The consideration for this transaction was \$90,300.00. The warranty deed was executed on February 15, 2017. This was approved by Authority's Board of Trustee's at their September 2016 meeting.

Thomas Maine – Acquisition of a permanent easement for an access road in the Town of Sullivan, County of Madison (Map No. MS-1450, Parcel No. 1450) from Thomas Maine. There was no consideration for this transaction. The permanent easement was recorded on May 25, 2017.

2.) Danger Tree Permits:

During this reporting period, the Authority acquired 56 danger tree permits. These rights allow the Authority to eliminate dangerous vegetation which is critical to the safe operation of the New York State transmission grid. The transmission facilities covered by these danger tree permits include the Niagara-Adirondack, Fitz-Edic, Moses-Willis, and Gilboa-New Scotland.

II. DISPOSITIONS

1.) Dispositions by Deed or Easement:

Transfer of Real Property to New York State Office of Parks, Recreation and Historic Preservation - Transferred .43 acres of surplus lands (Map No. 331-C, Parcel No. 3725A) to New York State Office of Parks, Recreation and Historic Preservation in the City of Niagara Falls, Niagara County. This transfer satisfies a Niagara Power Project relicensing commitment and was authorized by the Authority's Board of Trustees at their July 30, 2015 meeting. The transfer of jurisdiction was executed by the New York State Office of General Services on January 31, 2017.

Conveyance of Real Property to New York State Office of Parks, Recreation and Historic Preservation – Conveyed 1.15 acres of surplus lands (Map No. 331-C, Parcel No. 3725B) to New York State Office of Parks, Recreation and Historic Preservation in the City of Niagara Falls, Niagara County. This conveyance satisfies a Niagara Power Project relicensing commitment and was authorized by the Authority's Board of Trustees at their July 30, 2015 meeting. The quit claim deed was filed on June 8, 2017. There was no consideration for this transaction.

Transfer of Real Property to New York State Department of Environmental Conservation – Transferred 56.83 acres (Map No(s). 1121C, 1123C, 1124C, 1135C, 1139C, Parcel No(s). 8680, 8681, 8678, 8679, 8683) to NYS DEC for wildlife management purposes located in the Towns of Lisbon and Waddington, County of St. Lawrence. The transfer of jurisdiction was executed by the New York State Office of General Services on July 12, 2017.

2.) Land Use Permits:

During this reporting period, the real estate group issued 17 land use permits for use of the Authority's real property, both fee-owned and held by easement:

LAND USE PERMITS			
Permit No.	Town/ Issue Date	Permittee	Purpose
CEC-15-159P-A1	Marcy 3-3-2017	National Grid	Installation, operation, and maintenance of a replacement microwave antenna on a 765kV tower at the Marcy Substation.
CEC-17-434P	Herkimer 2-9-2017	Adirondack Foothills Rotary	Host an ice fishing tournament and related events during the period February 18, 2017-February 25, 2017.
MA-17-444P	Massena 3-30-2017	Massena Electric Department	Construct, install, and maintain a 13.8kV single phase overhead distribution line at the Authority's Barnhart-Adirondack 230kV

			Corridor.
MSU-16-348P	Grieg 5-25-2017	High Voltage Hills MX LLC	Operate and maintain a Motocross Track for recreational use and to hold semi-sanctioned racing events.
NATL-16-419P	Whitesboro 1-26-2017	Michael Mimassi	Maintain two existing dug wells supported with concrete tiles, together with 240V underground electric and black PVC water pipes.
NPP-16-422P	Niagara Falls 1-12-2017	Roswell Park Alliance Foundation	Access to Authority's Niagara Scenic Parkway Intake Park to host the "Ride for Roswell" charity bike race and related events on June 24, 2017.
NATL-17-2P	Chili 7-25-2017	Rochester Gas & Electric	Construct, install, operate and maintain a 24" diameter natural gas pipeline.
NATL-17-447P	Boonville 2-24-2017	National Grid	Deliver electric services on land under the jurisdiction of Authority.
NATL-17-448P	Henrietta, Chili 2-23-2017	Rochester Gas & Electric Corporation	Access to certain areas of the Authority's right-of-way for purposes of ingress and egress to perform soil boring investigations on property adjacent to the right-of-way in support of its Rochester Area Reliability Project.
NPP-17-465P	Lewiston 3-22-2017	Niagara Orleans Central Labor Council	Construct, install, operate, maintain and repair Permittee's Worker's Memorial Monument.
NPP-17-1P	Niagara Falls 5-31-2017	New York State Office of Parks, Recreation and Historic Preservation	Perform survey work and other related tests and samples for their proposed Robert Moses Parkway North project.
NPP-17-2P	Lewiston 5-31-2017	New York State Office of Parks, Recreation and Historic Preservation	Install exercise equipment at Reservoir State Park.
NPP-17-2P-A1	Lewiston 7-20-2017	New York State Office of Parks, Recreation and Historic Preservation	Install additional exercise equipment at Reservoir State Park.

NPP-17-4P	Niagara Falls 7-21-2017	United States Department of Agriculture	Set and monitor traps for the European cherry fruit fly at various locations of the Niagara Power Project.
SLPP-17- 2P	Waddington 6-23-2017	Majestic Fireworks LLC	Access to Ogden Island to set up and launch fireworks in the area on July 22, 2017 and August 5, 2017.
SLPP-17- 3P	Waddington 7-20-2017	Village of Waddington	Access to Whittaker Park for the Party in the Park and 2017 BassMaster Elite Series Fishing Tournament
SLPP-17- 4P	Waddington 8-17-2017	St. Lawrence County Chamber of Commerce	Host the 15 th Annual Jr. Carp Fishing Tournament on August 17- August 19, 2017.

III. MISCELLANEOUS TRANSACTIONS

Sprint Spectrum L.P., a Delaware limited partnership - During this reporting period Authority amended a Transmission Structure Antenna Right of Occupancy Agreement which granted Sprint Spectrum, L.P. use of space on Authority transmission towers for communication services. This first amendment extended the term of the original agreement for an additional five (5) years, terminating on September 30, 2021. This was executed on January 30, 2017, and was approved by the Board of Trustees on July 26, 2016.

State University of New York - During this reporting period Authority entered into a Permit with the State University of New York College of Agriculture and Technology at Cobleskill for the use of University Facilities for the Annual Emergency Action Plan presentation. The fee for this Permit was \$262.50 and was for May 16, 2017.

Astoria Gas Turbine Power LLC – During this reporting period NYPA Real Estate entered into a License Agreement with Astoria Gas Turbine Power LLC to allow Authority access to their property to perform soil borings. This agreement was executed on May 1, 2017.

IV. LEASING

1) Landlord Leases:

Beacon Associates LLC and Danziger & Markoff LLP – During this reporting period, tenants Danziger & Markoff LLP and Beacon Associates LLC terminated a lease for office space on the 9th floor of Authority’s 123 Main Street Building in White Plains, New York. The lease ended on August 27, 2017. The tenants have vacated the building.

Berman, Fruccho, Gouz, Mitchel & Schub P.C. – Tenant Berman, Fruccho, Gouz, Mitchel & Schub P.C. advised of its intention to terminate its lease of office space on the 17th floor of Authority’s 123 Main Street Building in White Plains, New York. The lease will terminate on February 28, 2018.

Canter Law Firm P.C. – During this reporting period, Canter Law Firm P.C. terminated its lease of office space on the 9th floor of Authority’s 123 Main Street Building in White Plains, New York. The lease will terminate on September 30, 2017.

Dahan & Nowick LLP – During this reporting period, Dahan & Nowick LLP elected to exercise a five year option for the extension of an existing lease for 2,675 square feet of office space on the 9th floor of Authority’s 123 Main Street Building in White Plains, New York. The extension term will commence on July 1, 2018 and terminate on May 31, 2023 with a six-month right to terminate. The extension agreement was executed on September 7, 2017.

2) Tenant Leases:

Seneca One Tower, ATC Managed Sites LLC – During this reporting period the Real Estate Department entered into a lease amendment with ATC Managed Sites, LLC for the installment, operation and maintenance of additional communications equipment at the Seneca One Tower in the City of Buffalo, County of Erie. The parties agreed to an increase of \$900.00 per month bringing the rent to \$2729.25 per month; in addition to one five-year renewal term. This amendment was executed on June 8, 2017 and approved by the Board of Trustees on March 21, 2017.

Town of Tonawanda Water Gauge Lease – On June 19, 2017, the Real Estate Department entered into a lease with the Town of Tonawanda for the lease of a portion of the Town’s property to serve as the site for a new water gauging station in the County of Erie. The term of this lease is from September 1, 2017 through September 1, 2022, with two successive 5-year options, at a monthly fee of \$400.00. The Board of Trustees approved this transaction on March 21, 2017.

City of North Tonawanda Water Gauge Lease – The Real Estate Department entered into a lease with the City of North Tonawanda on August 18, 2017 for the lease of a portion of the City’s property to serve as the site for a water gauging station in the County of Niagara. This lease supersedes a previous Right of Entry agreement with the City of North Tonawanda. The term of this lease is for five years, with two successive 5-year options, at a monthly fee of \$400.00. The Board of Trustees approved this lease on July 25, 2017.

V. ST. LAWRENCE RELICENSING

1.) St. Lawrence Lands Program:

On October 31, 2001, the Authority filed an application for a new license, pursuant to Sections 4(e) and 15 of the Federal Power Act for the continued operation and maintenance of the 912 megawatt (MW) St. Lawrence/FDR Power Project. On February 6, 2003, the Authority filed a "Comprehensive Accord" (Settlement Agreement). On October 23, 2003, the Federal Energy Regulation Commission (hereinafter "FERC") issued an "Order Approving Settlement Agreements, Dismissing Complaint and Issuing New License" in which FERC *inter alia* approved the Settlement Agreement.

As part of the Settlement Agreement, the Authority agreed to several changes to the Project boundary. These proposed changes removed approximately 1,340 acres from the Project. Approximately 599 acres of the removed lands are intended to be conveyed either to adjoining landowners or to the affected local municipality, if interested. For all conveyed lands, the Authority retains flowage and access easements, to provide necessary rights to traverse conveyed lands for Project-related activities.

DEEDS FILED						
NYPA Surplus Map No.	NYPA Surplus Parcel No.	Current Owner Name	Acreage	Appraisal Value	Filing Date	Town
1179C	8538	M. Shawna Cecot and Catherine M. Cecot	.14	\$1,700.00	1-26-2017	Louisville
1153C	8264	Austin D. Hicks and Whitney L. Hicks	.05	\$50.00	1-26-2017	Waddington
1167C	8188	James J. and Alane L. Farrell	.15	\$2,550.00	2-17-2017	Waddington
1176C	8483	Daniel J. Miller	.16	\$2,400.00	2-17-2017	Louisville
1149C	8333	Mary Mayette	.78	\$15,600.00	2-17-2017	Waddington
1132C	8581	Richard and Nancy Scott	.04	\$600.00	2-17-2017	Louisville
1174C	8456	Kevin Bessy	.19	\$2,850.00	4-7-2017	Louisville
1178C	8514	Gill A. Viskovich, Ann J. Viskovich, Michael J. Viskovich, and Victoria G. Viskovich	.12	\$1,800.00	5-11-2017	Louisville
1155C	8281	Michael J. Whalen and Candace L. Riggs	.02	\$25.00	6-29-2017	Waddington
1148C	8373	Nancy Chamberlain	.07	\$1,050.00	6-29-2017	Waddington
1176C	8484	Anthony and Mary Jo O'Geen	.11	\$1,650.00	7-14-2017	Louisville
1179C	8527	Lorraine Salamon and Thomas J. Tebo	.12	\$1,800.00	9-7-2017	Louisville

During this period 12 deeds were finalized and recorded in the County Clerk's office. To date, 533 of the 599 acres have been conveyed. Of the approximately 520 private parcels to be conveyed, approximately 482 transactions have been completed. The remaining properties have been offered to the landowners or municipalities as agreed. In the majority of these cases the landowners or municipalities have indicated that they do not wish to acquire the properties in question.

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IV. LEASING

1) Landlord Leases:

Beacon Associates LLC and Danziger & Markoff LLP – During this reporting period, tenants Danziger & Markoff LLP and Beacon Associates LLC terminated a lease for office space on the 9th floor of Authority’s 123 Main Street Building in White Plains, New York. The lease ended on August 27, 2017. The tenants have vacated the building.

Berman, Fruccho, Gouz, Mitchel & Schub P.C. – Tenant Berman, Fruccho, Gouz, Mitchel & Schub P.C. advised of its intention to terminate its lease of office space on the 17th floor of Authority’s 123 Main Street Building in White Plains, New York. The lease will terminate on February 28, 2018.

Canter Law Firm P.C. – During this reporting period, Canter Law Firm P.C. terminated its lease of office space on the 9th floor of Authority’s 123 Main Street Building in White Plains, New York. The lease will terminate on September 30, 2017.

Dahan & Nowick LLP – During this reporting period, Dahan & Nowick LLP elected to exercise a five year option for the extension of an existing lease for 2,675 square feet of office space on the 9th floor of Authority’s 123 Main Street Building in White Plains, New York. The extension term will commence on July 1, 2018 and terminate on May 31, 2023 with a six-month right to terminate. The extension agreement was executed on September 7, 2017.

2) Tenant Leases:

Seneca One Tower, ATC Managed Sites LLC – During this reporting period the Real Estate Department entered into a lease amendment with ATC Managed Sites, LLC for the installment, operation and maintenance of additional communications equipment at the Seneca One Tower in the City of Buffalo, County of Erie. The parties agreed to an increase of \$900.00 per month bringing the rent to \$2729.25 per month; in addition to one five-year renewal term. This amendment was executed on June 8, 2017 and approved by the Board of Trustees on March 21, 2017.

Town of Tonawanda Water Gauge Lease – On June 19, 2017, the Real Estate Department entered into a lease with the Town of Tonawanda for the lease of a portion of the Town’s property to serve as the site for a new water gauging station in the County of Erie. The term of this lease is from September 1, 2017 through September 1, 2022, with two successive 5-year options, at a monthly fee of \$400.00. The Board of Trustees approved this transaction on March 21, 2017.

City of North Tonawanda Water Gauge Lease – The Real Estate Department entered into a lease with the City of North Tonawanda on August 18, 2017 for the lease of a portion of the City’s property to serve as the site for a water gauging station in the County of Niagara. This lease supersedes a previous Right of Entry agreement with the City of North Tonawanda. The term of this lease is for five years, with two successive 5-year options, at a monthly fee of \$400.00. The Board of Trustees approved this lease on July 25, 2017.

V. ST. LAWRENCE RELICENSING

1.) St. Lawrence Lands Program:

On October 31, 2001, the Authority filed an application for a new license, pursuant to Sections 4(e) and 15 of the Federal Power Act for the continued operation and maintenance of the 912 megawatt (MW) St. Lawrence/FDR Power Project. On February 6, 2003, the Authority filed a "Comprehensive Accord" (Settlement Agreement). On October 23, 2003, the Federal Energy Regulation Commission (hereinafter "FERC") issued an "Order Approving Settlement Agreements, Dismissing Complaint and Issuing New License" in which FERC *inter alia* approved the Settlement Agreement.

As part of the Settlement Agreement, the Authority agreed to several changes to the Project boundary. These proposed changes removed approximately 1,340 acres from the Project. Approximately 599 acres of the removed lands are intended to be conveyed either to adjoining landowners or to the affected local municipality, if interested. For all conveyed lands, the Authority retains flowage and access easements, to provide necessary rights to traverse conveyed lands for Project-related activities.

DEEDS FILED						
NYPA Surplus Map No.	NYPA Surplus Parcel No.	Current Owner Name	Acreage	Appraisal Value	Filing Date	Town
1179C	8538	M. Shawna Cecot and Catherine M. Cecot	.14	\$1,700.00	1-26-2017	Louisville
1153C	8264	Austin D. Hicks and Whitney L. Hicks	.05	\$50.00	1-26-2017	Waddington
1167C	8188	James J. and Alane L. Farrell	.15	\$2,550.00	2-17-2017	Waddington
1176C	8483	Daniel J. Miller	.16	\$2,400.00	2-17-2017	Louisville
1149C	8333	Mary Mayette	.78	\$15,600.00	2-17-2017	Waddington
1132C	8581	Richard and Nancy Scott	.04	\$600.00	2-17-2017	Louisville
1174C	8456	Kevin Bessy	.19	\$2,850.00	4-7-2017	Louisville
1178C	8514	Gill A. Viskovich, Ann J. Viskovich, Michael J. Viskovich, and Victoria G. Viskovich	.12	\$1,800.00	5-11-2017	Louisville
1155C	8281	Michael J. Whalen and Candace L. Riggs	.02	\$25.00	6-29-2017	Waddington
1148C	8373	Nancy Chamberlain	.07	\$1,050.00	6-29-2017	Waddington
1176C	8484	Anthony and Mary Jo O'Geen	.11	\$1,650.00	7-14-2017	Louisville
1179C	8527	Lorraine Salamon and Thomas J. Tebo	.12	\$1,800.00	9-7-2017	Louisville

During this period 12 deeds were finalized and recorded in the County Clerk's office. To date, 533 of the 599 acres have been conveyed. Of the approximately 520 private parcels to be conveyed, approximately 482 transactions have been completed. The remaining properties have been offered to the landowners or municipalities as agreed. In the majority of these cases the landowners or municipalities have indicated that they do not wish to acquire the properties in question.

Motor Vehicle and Equipment Policy

Note: Revision # should be listed in descending order starting with most recent version at the top.

Revision Date(For BCG Use Only)	Revision #	Description/Modification	Revision Section (s)	Author
	10	Updated to new company policy format	N/A	Brian Everetts Fleet System Analyst
		Definitions added	3	
		Assigned vehicle use & reporting requirements	5.4	
		Pool & Department assigned vehicle	5.5	
		Responsibilities	5.6	

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1 PURPOSE AND SCOPE

This Policy addresses the management of company motor vehicles, mobile specialized work equipment, and trailers, including fleet management, acquisition and disposal, assignments, maintenance, usage, and reporting.

2 APPLICABILITY

The staff of all Authority Business Units and Departments shall adhere to this Policy. Implementing procedures shall be prepared as necessary to provide appropriate guidance in meeting the management controls described. Recommendations for changes to this Policy or a new company policy shall be processed in accordance with CP1-1 “Company Policy Program Administration”.

3 INITIALISMS (ACRONYMS) AND DEFINITIONS

AFV – Alternate Fuel Vehicles

Assigned Vehicle – Specifically assigned to any one individual

Commercial motor vehicle – any self-propelled or towed motor vehicle used on a highway in interstate commerce to transport passengers or property when the vehicle

1. Has a gross vehicle weight rating or gross combination weight rating, or gross vehicle weight or gross combination weight, of 4,536 kg (10,001 pounds) or more, whichever is greater; or
2. **GCWR – Gross Combination Weight Rating** *is the greater of:*
 - i. A value specified by the manufacturer of the power unit, if such value is displayed on the Federal Motor Vehicle Safety Standard (FMVSS) certification label required by the National Highway Traffic Safety Administration, or
 - ii. The sum of the gross vehicle weight ratings (GVWRs) or the gross vehicle weights (GVWs) of the power unit and the towed unit(s), or any combination thereof that produces the highest value. Exception: The GCWR of the power unit will not be used to define a commercial motor vehicle when the power unit is not towing another vehicle

DFO – Director of Fleet Operations

Department Vehicle – Specifically assigned to any one department for general usage by those in the department

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EMP – Employee Management Program

FMVSS – Federal Motor Vehicle Safety Standard

GVW – Gross Vehicle Weight

GVWR – Gross Vehicle Weight Rating is the value specified by the manufacturer as the loaded weight of a single motor vehicle

HR – Human Resources Department

HRFM – Human Resources Facility Manager

LENS – License Event Notification Service

Mobile Specialized Equipment – Equipment such as earthmovers, tractors, diggers, aerial devices, forklifts, etc. intended for use other than on public roads

Motor Vehicle – A vehicle which is self-propelled and capable of transporting a person or persons or any material or any permanently or temporarily affixed apparatus

Pool Vehicle – Group of vehicles centrally controlled and dispatched for use when needed

OGS – Office of General Services

Trailer – Any unpowered vehicle towed by another

4 RESPONSIBILITY

- 4.1** The Director of Fleet Operations (DFO) will have overall responsibility for overseeing this Policy and assuring that all sites are in compliance with it.
- 4.2** The General Maintenance Superintendents will be responsible for compliance with the vehicle policy at their respective sites.
- 4.3** The DFO will oversee the surplus and disposal process for all fleet assets covered by this policy utilizing the existing procurement guidelines.

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5 POLICY IMPLEMENTATION

5.1 Acquisition

- 5.1.1 Fleet Management will purchase, lease or rent all of NYPA's passenger vehicles and mobile specialized work equipment. All fleet vehicle and equipment purchases covered by this Policy will be funded in the Fleet Management budget. Maintenance and supplies for these vehicles will be funded for each site in the Fleet Maintenance budget.
- 5.1.2 Throughout the year, the DFO and/or his or her designee will request to meet with the site management to develop recommendations for replacement or reassignment of vehicles and equipment.
- 5.1.3 By August 1st of each year, vehicle acquisition requests will be submitted to the DFO by each site manager or business unit head for review and consideration for possible inclusion in the following year's budget. Off cycle request will be handled on an as needed basis.
- 5.1.4 The standard for passenger vehicle replacement analysis will be 75,000 miles or 5 years of service, whichever comes first. The replacement analysis will be based on but not limited to the present condition, service and repair history and anticipated vehicle utilization. For other replacement cycles, Refer to: PowerNet -> Fleet Operations -> Policies and Procedures -> Vehicle and Equipment Replacement Schedule.
- 5.1.5 The DFO will standardize the type of vehicle purchased based upon Office of General Services (OGS), group volume discounts and current Procurement Guidelines.
- 5.1.6 Use of Authority Fuel Sites is strictly limited to Authority vehicles and equipment.
- 5.1.7 The use of Alternate Fuel Vehicles (AFV) is both a New York State and NYPA mandate. Effective 2006, all purchases of vehicles weighing less than 8,500 pounds must be AFVs. Alternative fuels will be used in AFVs to the extent practical. Where applicable, electric vehicles may be deployed at all locations for low mileage trips and those functions which fall within the range of the electric vehicle.

5.2 Maintenance

- 5.2.1 The DFO will oversee the maintenance of all assigned fleet vehicles and mobile specialized work equipment. All fleet assets will be maintained according to manufacturer maintenance recommendations.
- 5.2.2 The Fleet Supervisor will be responsible for the continued maintenance and upkeep of all site vehicles.
- 5.2.3 Vehicle maintenance at the sites will be tracked in the Fleet Management Information System, which will be overseen by the DFO.
- 5.2.4 Use of Wright Express Fuel Cards is strictly limited to Authority vehicles and equipment.

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5.3 Fuel

- 5.3.1 Preference should be given to using internal Authority Fuel Sites for fueling all Authority vehicles and equipment. Employee ID numbers and vehicle odometer readings must be input into the fuel dispensing system. Failure to accurately input either Employee ID numbers or vehicle odometer readings will prevent the system from dispensing fuel.
- 5.3.2 In the event that vehicles and equipment need to be refueled in the field, Wright Express Fuel cards must be utilized. Employee ID numbers and vehicle odometer readings must be input into the fuel dispensing system. Failure to accurately input either Employee ID numbers or vehicle odometer readings will prevent the system from dispensing fuel. Fuel Card purchases are to be limited to regular blend fuel only, no premium blend fuel. Fuel cards are to be used only for the vehicle it is assigned to. Fuel Cards purchases are limited to fuel purchases only.
- 5.3.3 In the event that Wright Express Fuel Cards are not honored at the fuel site, an employee may elect to pay for the fuel in cash or personal credit card. Only computer printed receipts will be honored for reimbursement. Reimbursement for hand written receipts will be considered an exception to this Policy and must be specifically approved by the department head of the individual seeking reimbursement.

5.4 Assigned Vehicles Use and Reporting Requirements

- 5.4.1 Employees shall not be assigned a vehicle for their sole, use except where expressly authorized by the President/CEO and SVP Enterprise Shared Services. This authorization shall be provided by the Chairman for such vehicle assignment to the President/CEO. Certain designated NYPA job positions have been identified as eligible for the assignment of a vehicle for their sole use by the NYPA's Emergency Management Program. These designated positions with command responsibilities are essential to ensuring public safety, need to respond to events impacting NYPA and/or the reliability of the electric grid of New York State, or are in roles that require immediate on-site response that is critical to maintaining safe operations for NYPA staff and the public.

These positions are:

- Chief Executive Officer (President and CEO)
- Chief Engineering Officer (Executive Vice President and Chief Operating Officer)
- Chief Marketing Officer (Executive Vice President and Chief Commercial Officer)
- Senior Vice President Power Supply
- Regional Manager, Transmission
- Senior Vice President Public and Regulatory Affairs
- Vice President Community & Government Affairs
- Regional Manager, Western NY
- Regional Manager, Central NY

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- Regional Manager, Northern NY
- Regional Manager, Southeast NY (NYC/LI)
- Director, Emergency Management.

These vehicle assignments will be reviewed and approved annually by the President/CEO and SVP Enterprise Shared Services or otherwise as needed in response to revisions to the Emergency Management Program or applicable personnel changes.

- 5.4.2 If an employee is assigned a vehicle for exclusive, unrestricted use, NYPA shall include in that employee's wages the amount required by the IRS (refer to IRS Publication 15-B on Employer's Tax Guide to Fringe Benefits).
- 5.4.3 All personal use of NYPA vehicles must be reported on the vehicle use log unless the personal use is incidental to official business. For any personal use that is not incidental the user of the NYPA vehicle must reimburse the authority the value of such use or the value must be treated as imputed personal income for tax purpose.

5.5 Pool & Department Vehicle use and Reporting Requirements

- 5.5.1 NYPA owned, leased, or rented vehicles/equipment may be used only for official NYPA business, and their use for any personal business is strictly forbidden except under very limited circumstances where (i) assigned vehicle by the Senior Vice President of Enterprise Shared Services and the President/CEO or (ii) the personal use is incidental to official business. Even in cases where personal use is authorized or is incidental to official business, each person operating a state vehicle is expected to exercise good judgment to avoid the appearance of impropriety.
- 5.5.2 Only NYPA employees are authorized to operate NYPA vehicles and/or equipment. Contractors are not allowed to operate NYPA vehicles and/or equipment unless being operated by a vendor for vehicle maintenance purposes. Notwithstanding the foregoing prohibition on contractor usage of vehicles and/or equipment, the Regional Manager, VP of Transmission, or authorized designee may authorize a contractor to use NYPA equipment, such as man-lifts, scissor-lifts, forklifts, welders, compressors, special tools, etc., but only upon receipt of written documentation from the contractor that confirms the following:
- a) that the contractor has inspected the equipment and acknowledges that it is in good working order;
 - b) that the person using the equipment is properly trained, experienced and shows proof of required license and/or certification necessary to lawfully and correctly operate the equipment;
 - c) the contractor agrees to defend and indemnify NYPA for any injuries resulting from its usage or damage to the equipment; and
 - d) The contractor is responsible to provide fuel for usage of the equipment.

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- 5.5.3 NYPA vehicles should not be used to transport passengers unless they are: (i) State employees engaged in official business, (ii) non-NYPA employees engaged in official business with NYPA employees, or (iii) persons in the control or custody of the agency. Picking up or dropping off friends or family members at their place of employment or school in a NYPA vehicle, regardless of their status as employees, is strictly prohibited.
- 5.5.4 All vehicles are required to have their daily utilization recorded on a Daily Mileage Log except those assigned to a work activity that will not require the vehicle to leave the NYPA site (property) to which it is assigned. Vehicle use logs shall be collected, reviewed, and approved as to completeness and accuracy by designated supervisor on a regular basis. The frequency of such review and approval (e.g., weekly, monthly), shall be reasonable in light of the overall vehicle use by the authority.
- 5.5.5 Vehicle and equipment assignment and re-assignment will be the responsibility of the DFO.

5.6 Responsibilities

- 5.6.1 The driver is responsible for having both a valid and appropriate class driver's license in his/her possession when operating any vehicle while on NYPA business.
- 5.6.2 NYPA's Human Resources (HR) department is responsible for monitoring employee driver's license status via the License Event Notification Service (LENS) program administered by the Department of Motor Vehicles in order to receive reports of driver license revocations, suspensions and restrictions. No person shall be allowed to operate a State vehicle if there has been a change in licensure that restricts driving privileges unless the operation of the state vehicle complies with such restrictions. HR will keep records of all drivers for five (5) years.
- 5.6.3 Supervisors must ascertain that employees under their supervision who operate company motor vehicles for official purposes are properly licensed for the class of vehicle they operate.
 - a) Supervisors who knowingly or willfully require any employee to operate a company motor vehicle of any description for which the employee does not have the proper license or proper endorsement(s) will be subject to disciplinary action.
- 5.6.4 Employees shall abide by all applicable federal, state, and local laws or regulations and NYPA policies including but not limited to: (CP 1-6 Restrictions on the Use of Hand-Held Mobile Telephones While Operating a Motor Vehicle, CP 2-13 Use of GPS/ Telematic Technologies on Fleet vehicles and Equipment, CP 2-14 Use of Fleet Motor Pool Vehicles, EP 4.5 Fitness for Duty) while operating any vehicle on NYPA business or property.
- 5.6.5 All traffic and parking laws are to be obeyed. Posted speed limits are not to be exceeded, nor is the vehicle to be operated above safe driving speeds for road conditions. All motor vehicle violations received while operating a NYPA vehicle are the responsibility of the driver involved. Violations include, but are not limited to, parking tickets, moving violations, EZ-Pass speeding fines, and any late fees or penalties.

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- 5.6.6 The use of hand held cell phones and other portable electronic device while operating a NYPA vehicle is prohibited. Refer to CP 1-6 Restrictions on the Use of Hand-Held Mobile Telephones While Operating a Motor Vehicle.
- 5.6.7 Drivers and passengers involved in NYPA vehicle/equipment accidents must report such events immediately to their supervisor. If in the event the employee cannot make contact with their supervisor, security at the nearest site should be contacted.
- 5.6.8 All NYPA employees are responsible for abiding by this policy.
- 5.6.9 All levels of supervisory employees are responsible for ensuring compliance with this policy and taking corrective action as necessary.
- 5.6.10 Any NYPA employee who operates a company vehicle or piece of equipment shall take care of the condition of the vehicle or piece of equipment while in their custody. Employees are expected to exercise good judgment in the protection of the company's assets. Employees are responsible for identifying any potential damage or defects prior to use, and for quickly reporting any damage or defects after such use. Accumulation of trash shall be removed from the vehicle each day.
- 5.6.11 Unless expressly authorized by the Authority, no banners, advertising, placards, decals, or stickers may be placed on a NYPA vehicle.
- 5.6.12 Any NYPA employee who operates a NYPA vehicle is required to attend the National Safety Council's defensive driving course once every three (3) years. Defensive driving education course completion is tracked by NYPA's Training department with MOSAIC. Reasonable extensions to complete the defensive driver's education course can be made with direct supervisors' approval.
- 5.6.13 Smoking in NYPA vehicles is prohibited.
- 5.6.14 Due to the public nature of the work being performed when operating a state vehicle, persons using a state vehicle have a limited expectation of privacy in connection with such use. State agencies and authorities expressly reserve the right to monitor and record the use of any equipment they issued or assigned for a legitimate work-related purpose, and state vehicles are no exception. Accordingly, the use of a state vehicle may be monitored and recorded at any time by visual, documentary, or electronic means.

5.7 Additional Responsibilities for Commercial Vehicle Drivers

Employees who drive commercial vehicles for work-related purposes must:

- 5.7.1 Give their site Human Resources Facility Manager (HRFM) information on all driving jobs held for the past 10 years when they apply for a position that requires operation of a commercial vehicle.
- 5.7.2 Complete an official driver's vehicle inspection report before operating any of NYPA's commercial vehicles.

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- 5.7.3 Notify their site HRFM in writing within 30 days after a conviction for violating any motor vehicle traffic control law (other than a parking violation) regardless of the type (or owner) of vehicle driven when the offense occurred.
- 5.7.4 Notify their site HRFM when their licenses have been suspended, revoked, or canceled, or if they have been disqualified from driving for any reason. This notification must be made before the end of the business day following the day the employee received notice of the suspension, revocation, cancellation, lost privilege, or disqualification regardless of whether the employee is required to report to work on that day.

5.8 Accident Reporting

- 5.8.1 If an employee is involved in an accident/collision with a NYPA vehicle, he or she shall do the following:
- Call 911 to report the incident if it involves a third party or personal injury.
 - Call your supervisor.
 - Obtain copy of police report if applicable.
 - If the vehicle needs to be towed and is based out of the White Plains, Albany, or other SENY sites, calls NetworkFleet at 1-866-227-7323 – select option 2.
 - Complete a NYS DMV – MV104 form within 10 days of accident if there is a fatality, personal injury, or damage over \$1,000.00 to the property of any one person. Employee is required to complete and send form to Crash Records Center within 10 days of the accident. Failure to do so may result in suspension of the employee's driver license.
 - Employee must also complete a NYPA Vehicle Incident Form and send it to Fleet Operations.
 - Fleet Operations will forward information to Insurance Management department.

6 VIOLATIONS

Failure to comply with any provision of this Policy may result in disciplinary action up to and including termination of employment.

7 REFERENCES

- 7.1 CP 1-1 Company Policy Program Administration
- 7.2 CP 2-1 Travel
- 7.3 EP 4.5 Fitness for Duty
- 7.4 CP 1-6 Restrictions on the Use of Hand-Held Mobile Telephones While Operating a Motor Vehicle
- 7.5 CP 2-13 Use of GPS/ Telematic Technologies on Fleet vehicles and Equipment
- 7.6 CP 2-14 Use of Fleet Motor Pool Vehicles
- 7.7 IRS Publication 15-B

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8 POLICY REVIEW AND EXPIRATION

This document will be reviewed and updated as business needs require. However, a mandatory review will be required on the anniversary date of the approved document.

Revision cycle: Every Year

9 ATTACHMENTS

None

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