



**MINUTES OF THE MEETING
OF THE
GOVERNANCE COMMITTEE**

December 12, 2017

Table of Contents

<u>Subject</u>	<u>Page No.</u>	<u>Exhibit</u>
Introduction	2	
1. Adoption of the December 12, 2017 Proposed Meeting Agenda	3	
2. Motion to Conduct An Executive Session	4	
3. Motion to Resume Meeting in Open Session	5	
4. CONSENT AGENDA:	6	
a. Minutes of the Regular Meeting held on September 26, 2017	7	
b. Procurement and Related Reports	8	4b-1 - 4b-7
c. Ethics & Compliance Program and NERC Reliability Standards Compliance	9	
5. DISCUSSION AGENDA:	13	
a. Committee Appointments	13	
6. Next Meeting	15	
Closing	16	

Minutes of the regular meeting of the New York State Canal Corporation's Governance Committee held at the Clarence D. Rappleyea Building, 123 Main Street, White Plains, New York, at approximately 8:32 a.m.

The following Members of the Governance Committee were present:

Trustee Anne M. Kress, Chair
Trustee John R. Koelmel, Member
Trustee Eugene L. Nicandri, Member

Trustee Tracy McKibben - Excused
Trustee Anthony Picente, Jr. - Excused

Also in attendance were:

Michael Balboni	Trustee
Gil Quiniones	President and Chief Executive Officer
Justin Driscoll	Executive Vice President and General Counsel
Kristine Pizzo	Senior Vice President - Human Resources and Enterprise Shared Services
Karen Delince	Vice President and Corporate Secretary
Joseph Gryzlo	Vice President and Chief Ethics & Compliance Officer
Lorna Johnson	Senior Associate Corporate Secretary
Sheila Baughman	Senior Assistant Corporate Secretary
Jaiah Gottor	Lead Network Analyst – Infrastructure
Glen Martinez	Senior Network Analyst – Infrastructure

Chairperson Kress presided over the meeting. Corporate Secretary Delince kept the Minutes.

Introduction

Chairperson Kress welcomed committee members and Authority senior staff to the meeting. She said the meeting had been duly noticed as required by the Open Meetings Law and called the meeting to order pursuant to Section B(4) of the Governance Committee Charter.

1. **Adoption of the Proposed Meeting Agenda**

Upon motion made by Trustee Koelmel and seconded by Trustee Nicandri, the Agenda for the meeting was adopted.

2. **Motion to Conduct an Executive Session**

I move that the Governance Committee conduct an executive session to discuss matters leading to the appointment, employment, promotion, demotion, discipline, suspension, dismissal or removal of a particular person. Upon motion made by Trustee Nicandri and seconded by Trustee Koelmel the members held an executive session.

3. **Motion to Resume Meeting in Open Session**

I move that the meeting resume in Open Session. Upon motion made by Trustee Koelmel and seconded by Trustee Nicandri the members held an executive session.

Chairperson Kress said no votes were taken during the Executive Session.

4. CONSENT AGENDA:

Upon motion made by Trustee Nicandri and seconded by Trustee Koelmel, the Consent Agenda and Reports provided by staff to members of the Governance Committee were approved.

a. **Approval of the Minutes**

Upon motion made and seconded, the Minutes of the Committee's Regular Meeting of September 26, 2017 were approved.

b. Procurement and Related Reports

The Vice President of Procurement submitted the following report:

SUMMARY

This report is to advise the Governance Committee of certain 2017 activities of the Procurement Division. Where applicable, Canal Corporation activity is included. This reporting includes: procurement contract activity, disposal of personal property (including Fleet-related transactions), Supplier Diversity Program activities and plant inventory statistics; as well as fossil fuels activities conducted by the Fuel Planning and Operations Group; corporate finance activities conducted by the Treasury Group; and transfers of interest in personal property to Canal Corporation.

BACKGROUND

Pursuant to Subsection C.5 of the Authority's Governance Committee Charter relating to Reports, the Vice President – Procurement is required to report to the Committee at all regularly scheduled meetings and the Committee has the authority to require Procurement and other staff to prepare additional reports and to produce documents for the Committee's review.

The reported activities are governed by various State laws and regulations and are set forth in the attached Reports.

DISCUSSION

As more fully described in the individual reports attached hereto as Exhibits 4a ii-1 – 4a ii-7, the Procurement Contract Report summarizes activity for procurements of \$5,000 or greater that were active in 2017, as identified by the Authority's SAP Enterprise Resource Planning ('ERP') system. The Disposal of Personal Property Report lists all personal property disposal transactions over \$5,000 conducted during 2017. The Supplier Diversity Program Activity Report summarizes dollars awarded to New York State-certified Minority and Women-owned Business Enterprises ('MWBES') as well as to Service-Disabled Veteran-Owned Businesses ('SDVOBs') based on reportable expenditures. The Plant Inventory Analysis lists current stock value and compares it to that of the previous year, with a brief explanation for any significant increase or decrease, where applicable. The Fossil Fuels and Corporate Finance Reports list the fuel- and finance-related transactions conducted by the Fuel Planning and Operations and Treasury work groups, respectively. The Transfer of Interest in Personal Property to Canal Corporation report identifies personal property transferred from the Authority to Canal Corporation deemed prudent by the Contracting Officer to facilitate the Canal Corporation's obligations to operate, maintain, construct, reconstruct, improve and develop the canal system.

FISCAL INFORMATION

There will be no financial impact on the Authority.

RECOMMENDATION

The Governance Committee is requested to review and approve the Procurement, Fossil Fuels and Corporate Finance Reports (attached hereto as Exhibits 4b-1 through 4b-7)."

**c. Canal Corporation - Ethics & Compliance Program
and Technical Compliance Utility Operations**

The Vice President and Chief Ethics & Compliance Officer submitted the following report:

SUMMARY

The Office of Ethics and Compliance ('E&C Office') advises the NYS Canal Corporation ('Canals') directors, officers and employees on the legal, regulatory and Code of Conduct ethics and compliance standards relating to Canals' employees and operations. It coordinates the investigation of allegations and concerns involving Canals; assets and employees. This report highlights significant developments of the Canals' ethics and compliance program since the most recent Governance Committee meeting on September 26, 2017.

BACKGROUND

NYPA's E&C Office has been responsible for the Canals' Ethics and Compliance program ('E & C Program') since January 1, 2017. Several ethics related cases referred to the NYS Inspector General's Office or the NYS Joint Commission on Public Ethics ('JCOPE') prior to the Canals' transition from the Thruway Authority to NYPA remain pending. NYPA continues to provide interpretative guidance to Canals staff and facilitates Canals' compliance with applicable rules and regulations.

DISCUSSION

Communications

The Canals' monthly October newsletter featured an article about the E & C Program and the updates being implemented for employees, including policies and forms revisions and training initiatives. The article sought to engage employees and provide them with additional information about whom to contact when they have ethics or compliance related questions or concerns. The article highlighted the toll free Employee Concerns Line number (877-TEL-NYPA), which has been utilized by Canals' employees since its activation.

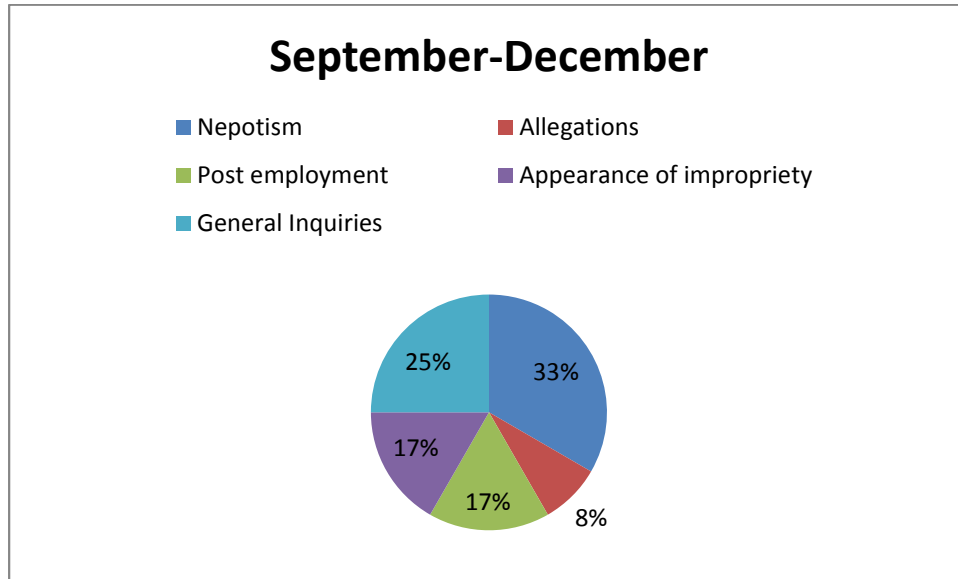
The E&C Office continues to facilitate Government Integrity training to be provided by the NYS Inspector General's Office at Canals' facilities. This training was originally intended to be delivered in the 4th quarter but was postponed by the Inspector General due to scheduling conflicts. The training content will include a review of the Public Officers Law ethics requirements and the statutory obligation of all state employees to report instances of fraud, waste and abuse to the Inspector General.

The E&C Office developed and delivered procedures to assist hiring managers in communicating the most often asked about sections of the Public Officers Law, including Financial Disclosure and outside employment procedures.

An internal gifts and outside employment reminder memo will be issued in December to all Canals employees.

Emerging Caseload

The graph below illustrates a breakdown of the Canals' inquiries and cases evaluated since the most recent Governance Committee meeting. It reflects the types of substantive issues affecting various employees located at multiple Canals facilities. Frequency utilizing NYPA's E&C Office has increased as outreach efforts continue and employees experience productive interactions in response to their inquiries. Canals' management has been supportive of the E&C Office's efforts to promote a culture of integrity and transparent conduct.



Two Canals employees were found to have accepted a lunch from a disqualified source during a routine audit of expenses submitted by the vendor. Both employees were counseled concerning accepting gifts from vendors. Canals' employees are precluded from accepting items of more than nominal value from Canals' business partners.

There have been two instances of workplace violence claims from Canals' staff recently. In the first instance, an employee was terminated after being placed on leave. The second reported incident is under review at this time. The E&C Office is collaborating with Canals' management, NYPA's Human Resources Employee Relations group and Law Department during these investigations.

Financial Disclosure

There are no updates in the financial disclosure category at this time. The NYS Joint Commission on Public Ethics advised the E&C Office that all required Canals filers have completed their 2016 Financial Disclosure Statements.

Policy and Form Review

The E&C Office is currently updating the 'Outside Employment and Activities Review' and 'Project Sunlight' forms currently utilized by NYPA staff which will be added to the Canals' website. The NYPA and Canals websites are configured on different platforms. NYPA's website allows for completion and automatic distribution of online forms to the appropriate department, while the Canals' site is currently able to host a form that can be printed and submitted manually. Hard copy forms are being rebranded to reflect the Canals' logo rather than the Thruway Authority.

The E&C Office intends to update Canals' Code of Conduct in 2018.

Public Authorities Reform Act (PARA)

Among various PARA statutory requirements, there are certain reports and other information which are legally mandated to be filed with external stakeholders and posted on the Canals/ website while being kept current throughout the year. The E&C Office is coordinating the identification and posting of these required documents to ensure Canals' PARA compliance. In

addition, the E&C office is in the process of identifying all Federal and New York State reporting and training requirements applicable to Canals. This includes collaboration with the subject area compliance owners and will result in an electronic inventory which will be monitored and updated on an annual basis.

TECHNICAL COMPLIANCE – UTILITY OPERATIONS

“SUMMARY

This report highlights important aspects of NYPA's Technical Compliance support for the Canal Corporation ('Canals') and related integration activities for the period September 26, 2017 to December 12, 2017. A brief background statement is followed by discussion of specific Technical Compliance-related topics affecting the enterprise.

BACKGROUND

As part of the overall integration activities, the following groups within Technical Compliance worked with the Canals and NYS Thruway personnel to ensure a seamless transition for their respective core functions. These groups are Physical Infrastructure Security, Emergency Management, and Code Compliance. Post-integration activities are discussed in this report.

DISCUSSION

Physical Infrastructure Security and Emergency Management

As part of the Utility Operations team supporting the Canals, Physical Infrastructure Security continued to provide appropriate security access controls. A contract with Champion Security Services (a NYS Certified SDVOB) continues to be in place with language to support ad-hoc security guard and investigative needs. Physical Infrastructure Security continued to have discussions with key Canals stakeholders regarding the execution of a security assessment. Canals' stakeholders provided a listing of locations and an assessment template was developed. Physical Infrastructure Security and Canals' personnel are working together to prioritize the list of locations that will be assessed. Physical Infrastructure Security met with the Canals' Security Guard provider to discuss the possibility of using its personnel to gather the initial site specific assessment data. Assessments have begun.

Physical Infrastructure Security received a request from Canals to support the relocation of the Syracuse office and equip the new space with an access control system. Physical Infrastructure Security and the Canals team are working towards that goal. Physical Infrastructure Security has also received a request to work with the Canals to develop and issue Canals Photo ID Credential. Physical Infrastructure Security has also assisted the Canals' stakeholders in a number of security-related investigations.

Emergency Management continued to work with a consultant and Canals' personnel to develop a more formal Emergency Management Program for the Canals consistent with NYPA and NYS practices. In addition to prior meetings with Canals' stakeholders to develop and evaluate the need for the program governance and structure, this engagement includes strategies to socialize the program with Canals' staff. Emergency Management completed a refresh of Canals' protocols for incident response and notification management. A documented Canals Incident Response & Notification Management Guide was developed and issued to key Canals responders. NYPA Emergency Management conducted a formal meeting with Canals' staff to introduce the guide and answer any questions related to the new process.

Code Compliance

As the Canals is not a self-permitting agency under Title 19 – Part 1204, NYPA’s Code Compliance Group is the Authority having jurisdiction over any building, premises, and equipment in the custody of the Canals with respect to the administration and enforcement of the Uniform Code.

NYPA’s Code Compliance Group continued to work with Canals’ personnel in executing its work plan. The following are the major areas with ongoing activities:

- NYPA and Canals staff completed the initial fire safety inspections at all Canals facilities
- Continued searching for qualified contract personnel to support Canals staff
- Governance procedures have been drafted and are in the review and approval process to establish an independent compliance program
- Continued to work with Canals management to develop a procedure and personnel structure that will allow Canals to become a separate self-permitting agency with respect to the administration and enforcement of the Uniform Code.”

5. **DISCUSSION AGENDA:**

a. **Committee Appointments**

The Chairman of the Authority submitted the following report:

"SUMMARY

The Governance Committee is requested to review the committee appointments indicated below and to recommend its adoption to the Authority's Trustees and the Canal Corporation Board of Directors.

BACKGROUND and DISCUSSION

The following changes in committee composition are recommended for adoption (Change indicated in **bold**).

Audit Committee

Eugene L. Nicandri (Chair), John R. Koelmel, Anne Kress, Anthony J. Picente, Jr., Tracy B. McKibben

Finance Committee

Tracy B. McKibben (Chair), John R. Koelmel, Anne M. Kress, Anthony J. Picente, Jr., **Michael A.L. Balboni**

Governance Committee

Anne M. Kress (Chair), John R. Koelmel, Eugene L. Nicandri, Anthony J. Picente, Jr., **Dennis G. Trainor**

Cyber and Physical Security Committee

Michael A.L. Balboni (Chair), John R. Koelmel, Eugene L. Nicandri, Tracy B. McKibben, Dennis G. Trainor

RECOMMENDATION

I recommend the above-requested action by adoption of the resolution below."

Upon motion made by Trustee Koelmel and seconded by Trustee Nicandri, the following resolution was adopted.

RESOLVED, That the Governance Committee recommends that the Trustees and the Canal Board of Directors adopt the following Committee Appointments:

Audit Committee

Eugene L. Nicandri (Chair), John R. Koelmel, Anne Kress, Anthony J. Picente, Jr., Tracy B. McKibben

Finance Committee

Tracy B. McKibben (Chair), John R. Koelmel, Anne M. Kress, Anthony J. Picente, Jr., Michael A.L. Balboni

Governance Committee

Anne M. Kress (Chair), John R. Koelmel, Eugene L. Nicandri, Anthony J. Picente, Jr., Dennis G. Trainor

December 12, 2017

Cyber and Physical Security Committee

**Michael A.L. Balboni (Chair), John R. Koelmel, Eugene L. Nicandri,
Tracy B. McKibben, Dennis G. Trainor**

6. **Next Meeting**

Chairperson Kress said that the next regular meeting of the Governance Committee is to be held on Tuesday, March 20, 2018 at a time to be determined.

Closing

Upon motion made by Trustee Koelmel and seconded by Trustee McKibben, the meeting was adjourned by the Acting Chair of the Committee at approximately 9:20 a.m.

Karen Delince

Karen Delince
Corporate Secretary

December 12, 2017

EXHIBITS

For

December 12, 2017

Canal - Governance

Committee

Meeting Minutes

PROCUREMENT CONTRACTS SUMMARY **January - September 2017**

In accordance with the Procurement Guidelines, “procurement contracts” are contracts for the acquisition of goods and/or services in the actual or estimated amount of \$5,000 or more. Such goods and/or services are those necessary to support the Authority’s White Plains office, facilities (including Canal Corporation), operations and maintenance (“O&M”) and capital projects, including but not limited to, goods and commodities, major electrical equipment, construction, maintenance work and other services.

The following is a summary of all procurement contracts including multi-year contracts awarded through September 30, 2017. These contracts have been active during 2017 and are \$5,000 or greater in value. There are 2,312 such contracts with a total estimated value of more than \$5.3 billion not including fossil fuel or corporate finance expenditures covered in Sections 2b-5 and 2b-6 of this report. Total expenditures thus far in 2017 have exceeded \$493 million for these contracts.

The following is a breakdown of the total number of active contracts, excluding fossil fuel and corporate finance contracts, by contract type:

- 11% of these contracts are for Construction Services;
- 44% are for the purchase of Equipment and Commodities;
- 2% are for Architectural and Engineering Services;
- 2% are for Legal Services;
- 15% are for Personal Service contracts such as professional consulting services;
- 26% are for Non-Personal Service contracts such as maintenance, technicians, contracted personnel and repairs.

Based on the total value of the contracts included in this summary (\$5.3 billion), approximately 99% of contracts were competitively bid. Thus far in 2017, approximately 1% of contracts (with a total contract value of approximately \$38.5 million), were sole/single-source awards, which included over \$2 million in Minority- and Women-owned Business Enterprises (MWBs), Service-Disabled Veteran-Owned Businesses (SDVOBs) and NYS Small Businesses contract awards. Sole/single-source awards also included, but were not limited to, the purchase of highly specialized spare parts and services from original equipment manufacturers, procurement of services on an emergency basis and proprietary sources.

DISPOSAL OF PERSONAL PROPERTY **January – September 2017**

January through September 2017 YTD Report of Disposal of Personal Property

Public Authorities Law (“PAL”) § 2896, enacted as part of the Public Authorities Accountability Act of 2005 (“PAAA”), as amended by the Public Authorities Reform Act of 2009 (“PARA”), requires the Authority to prepare a report, not less frequently than annually, listing all Personal Property in excess of \$5,000 in value that was disposed of during the reporting period. Furthermore, pursuant to Subsection C.5 of the Authority’s Governance Committee Charter relating to Reports, the Vice President of Procurement is required to report to the Committee at all regularly scheduled meetings. To that end, the 2017 YTD Report of Disposal of Personal Property over \$5,000 in value is attached. The description of the property, purchaser’s name and price received by the Authority, as required by PAL § 2896, will be presented in the official 2017 Annual Report of Disposal of Personal Property for review and approval by the full Board of Trustees at their March 2018 meeting, referenced in the Authority’s § 2800 Annual Report and included in other filings with various State entities, in compliance with applicable law. The sale price and other additional data (such as fair market value, date of transaction, full address of purchaser, etc.) required by the Authorities Budget Office (“ABO”) will be included in the 2017 Public Authorities Reporting Information System (“PARIS”) Annual Report of Personal Property Disposal for submittal to the ABO by March 31, 2018.

FACILITIES and WPO – Third Quarter 2017 Activity Summary

During the reporting period, there were no personal property disposals in excess of \$5,000 in value. However, a refund for \$3,000 was paid to Ameritanx, Inc. for the reimbursement of fees paid to NYPA for CO2 cylinders with missing Kidde valves. Please see the footnote in the attached report.

FACILITIES and WPO – YTD September 2017 Summary and Subtotal

The January through September 2017 Total “Price Received by the Authority” for Personal Property Disposal transactions over \$5,000 conducted by or on behalf of the Property Disposal Coordinators (“PDCs”) at the Facilities was \$81,331.53.

FLEET – Third Quarter 2017 Activity Summary

During the reporting period, the Authority participated in one Fleet-related auction conducted on behalf of the Authority’s Fleet Operations Division by the firm J.J. Kane Associates, Inc. (DBA J.J. Kane Auctioneers (of Delran, NJ) on August 26, 2017. Of thirty vehicles sold for \$315,745, twelve vehicles had an assessed sale price or fair market value in excess of \$5,000 and these sales resulted in payment to the Authority of \$279,050. This value is net of transportation and other fees, as fully detailed in the attached report.

FLEET – YTD September 2017 Summary and Subtotal

In summary, the January through September 2017 YTD Fleet Total “Price Received by the Authority” for the sale of thirty-three vehicles with an assessed sale price or fair market value exceeding \$5,000 (less transportation and other fees) was \$471,150.00.

Grand Total as of 09/30/17

As summarized on page 2 of the attached Report, the YTD September 2017 Grand Total "Price Received by the Authority" for all Personal Property in excess of \$5,000 was \$552,481.53.

POWER AUTHORITY OF THE STATE OF NEW YORK

YTD SEPTEMBER 2017 REPORT OF DISPOSAL OF PERSONAL PROPERTY OVER \$5,000

DESCRIPTION	PURCHASER	SALE PRICE	PRICE RECEIVED by the Authority
REFRIGERANT TANKS AT NIAGARA AND ST LAWRENCE PROJECTS	AIRGAS USA LLC, DBA FOR AIRGAS, INC.	\$ 6,723.88	\$ 6,723.88
SCRAP METALS AT ST LAWRENCE PROJECT	CASELLA WASTE SYSTEMS, INC.	\$ 9,994.00	\$ 9,994.00
CO2 AND HYDROGEN CYLINDERS AT ST LAWRENCE PROJECT	AMERITANX, INC.	\$ 19,000.00	\$ 19,000.00
SCRAP METALS AT ST LAWRENCE PROJECT	CASELLA WASTE SYSTEMS, INC.	\$ 15,757.03	\$ 15,757.03
SCRAP METALS AT ST LAWRENCE PROJECT	CASELLA WASTE SYSTEMS, INC.	\$ 10,156.62	\$ 10,156.62
CO2 CYLINDERS AT NIAGARA PROJECT ⁽¹⁾	AMERITANX, INC.	\$ 19,700.00	\$ 19,700.00
	SUBTOTAL:	\$ 81,331.53	\$ 81,331.53

(1) NYPA received payment from Ameritanx, Inc. of \$22,700 as the winning bidder in an auction for CO2 cylinders. NYPA subsequently refunded \$3,000 for missing Kidde valves, resulting in a net price received of \$19,700.

POWER AUTHORITY OF THE STATE OF NEW YORK

YTD SEPTEMBER 2017 REPORT OF DISPOSAL OF PERSONAL PROPERTY OVER \$5,000

FLEET OPERATIONS

DESCRIPTION	PURCHASER	SALE PRICE	Transportation and Other Fees	PRICE RECEIVED * by the Authority
2000 HYSTER FORKLIFT	POWER & CONSTRUCTION GROUP INC	\$ 13,500.00	\$ 100.00	\$ 13,400.00
2004 JLG 450AJ MANLIFT	AMAZING MOTORS	\$ 21,000.00	\$ 100.00	\$ 20,900.00
2004 JLG 600S MANLIFT	NICHOLAS KRYWOSA	\$ 2,000.00	\$ 100.00	\$ 21,900.00
2005 YALE GLP06-TG FORKLIFT	DAN DIMOND EQUIPMENT INC	\$ 1,000.00	\$ 100.00	\$ 10,900.00
2008 CHEVY IMPALA	UPSTATE AUTO SALES INC	\$ 5,500.00	\$ 100.00	\$ 5,400.00
2008 FORD ESCAPE	UPSTATE AUTO SALES INC	\$ 5,100.00	\$ 100.00	\$ 5,000.00
2008 FORD ESCAPE	JULIAN PADUANA	\$ 5,200.00	\$ 100.00	\$ 5,100.00
2008 FORD ESCAPE	UPSTATE AUTO SALES INC	\$ 5,250.00	\$ 100.00	\$ 5,150.00
2008 FORD ESCAPE	UPSTATE AUTO SALES INC	\$ 5,250.00	\$ 100.00	\$ 5,150.00
2008 FORD ESCAPE	DILCIA BARROS	\$ 5,750.00	\$ 100.00	\$ 5,650.00
2008 FORD F350 PICKUP	UPSTATE AUTO SALES INC	\$ 1,000.00	\$ 100.00	\$ 10,900.00
2008 FORD F550 PICKUP	CNY SEALCOATING & CONCRETE LLC	\$ 3,750.00	\$ 100.00	\$ 13,650.00
2009 CHEVY IMPALA	THOMAS BROWN	\$ 6,250.00	\$ 100.00	\$ 6,150.00
2009 DODGE DURANGO	CHAMPION INTERNATIONAL	\$ 5,250.00	\$ 100.00	\$ 5,150.00
2011 FORD F350 PICKUP	ICAR AUTO SALES LLC	\$ 1,000.00	\$ 100.00	\$ 10,900.00
2011 FORD F350 PICKUP	CHRIS ALBICKER	\$ 1,000.00	\$ 100.00	\$ 10,900.00
2011 FORD FUSION	UPSTATE AUTO SALES INC	\$ 5,250.00	\$ 100.00	\$ 5,150.00
2011 FORD FUSION	UPSTATE AUTO SALES INC	\$ 5,250.00	\$ 100.00	\$ 5,150.00
2011 TOYOTO HIGHLANDER	L&V DOM & IMPORTED AUTO SALES INC	\$ 2,500.00	\$ 100.00	\$ 12,400.00

POWER AUTHORITY OF THE STATE OF NEW YORK

YTD SEPTEMBER 2017 REPORT OF DISPOSAL OF PERSONAL PROPERTY OVER \$5,000

FLEET OPERATIONS (CONTINUED)

DESCRIPTION	PURCHASER	SALE PRICE	Transportation and Other Fees	PRICE RECEIVED * by the Authority
2012 FORD FUSION	RWC ENTERPRISES	\$ 6,450.00	\$ 100.00	\$ 6,350.00
2012 FORD FUSION	CJ'S CAR AMERICA	\$ 6,950.00	\$ 100.00	\$ 6,850.00
2005 JD ATV	HYLAN DATACOM & ELECTRICAL LLC	\$ 7,750.00	\$ 100.00	\$ 7,650.00
2013 FORD FUSION	SUSAN M BUTTON	\$ 7,500.00	\$ 100.00	\$ 7,400.00
2012 FORD FUSION	CHICAGO AUTOPLEX INC	\$ 5,750.00	\$ 100.00	\$ 5,650.00
2012 FORD FUSION	CHICAGO AUTOPLEX INC	\$ 5,250.00	\$ 100.00	\$ 5,150.00
2012 FORD FUSION	CHICAGO AUTOPLEX INC	\$ 5,250.00	\$ 100.00	\$ 5,150.00
2008 FORD ESCAPE	CONNIE BURNETT	\$ 6,000.00	\$ 100.00	\$ 5,900.00
2009 FORD F250	ICAR AUTO SALES LLC	\$ 10,750.00	\$ 100.00	\$ 10,650.00
2005 INTR 7400	ACE EQUIPMENT NORTH	\$ 13,000.00	\$ 100.00	\$ 12,900.00
2003 WORK VAN	LEHIGH AUTO SALES & SERVICE LLC	\$ 8,000.00	\$ 100.00	\$ 7,900.00
2007 INTR 5600	KT POWER	\$150,000.00	\$ 100.00	\$ 149,900.00
2006 CATE D5GL	VILLAGE TRUCK SALES	\$ 46,000.00	\$ 100.00	\$ 45,900.00
2004 JLG M6400J	INTEGRA SUPPLY	\$ 15,000.00	\$ 100.00	\$ 14,900.00
	FLEET SUBTOTAL:	\$ 474,450.00	\$ 3,300.00	\$ 471,150.00
	+ SUBTOTAL Page 1:	<u>\$ 84,331.53</u>	--	<u>\$ 84,331.53</u>
	GRAND TOTAL:	<u>\$ 555,781.53</u>	\$ 3,300.00	<u>\$ 552,481.53</u>

* Sale Price less transportation and other costs. Includes items where the Sale Price and/or the estimated Fair Market Value exceeded \$5,000.

SUPPLIER DIVERSITY PROGRAM (SDP) **January – September 2017**

At the end of New York State's 3rd reporting quarter (ending September 30, 2017), the Authority and the Canal Corporation expended a total of \$17.2 Million or 15.9% of reportable expenditures to New York State-certified Minority and Women-Owned Business Enterprises (MWBEs).

From January to September 2017, the Authority and the Canal Corporation expended approximately \$45 Million or 19.38% of reportable expenditures to New York State-certified MWBEs. Treasury transactions with NYS-certified MWBE financial dealers for the first nine months of calendar year 2017 resulted in \$499.3 Million or 31.3% in principal sales and purchases for the Authority.

Service-Disabled Veteran-Owned Business (SDVOB) utilization for the period January – September 2017 is approximately \$ 1.2 Million or 7.42% of designated spend. Additionally, SDVOB financial dealers transacted over \$482.5 Million or 30.3% in principal sales and purchases for the period January – September 2017.

The SDP program reached a milestone of \$1 billion in payments to MWBEs in the 3rd reporting quarter.

Although the Authority's 2017-2018 MWBE goal is 30%, the Authority remains challenged in meeting this aggressive goal due to numerous factors; onboarding of the Canal Corporation, the conclusion of multiple energy efficiency contracts and NYPA's unique position as an energy producing utility. We believe that the new procurement model, enhanced tracking and reporting of MWBEs and SDVOBs along with the revised approaches in determining MWBE goal participation, will collectively provide the Authority continued success in providing opportunities to diverse suppliers.

INVENTORY STATISTICS
September 30, 2017

Facility	9/30/17	9/30/16	9/30/15
Niagara	18,269,500	18,159,883 ^(A)	20,389,843
St. Lawrence	15,536,139 ^(B)	14,362,966 ^(C)	12,273,884
Poletti Project	9,007,671	8,961,022	8,126,820
Flynn Project	7,646,737	7,108,529 ^(D)	14,288,459
Blenheim-Gilboa	9,059,699	8,910,319	8,629,964
500 MW Project	27,091,479 ^(E)	25,905,820	25,396,804
Clark Energy Center	6,976,277	6,394,163	5,630,072
Canal Corporation	734,436	N/A	N/A
Total Stock Value	\$ 94,321,938	\$ 89,802,702	\$ 94,735,846

(A) 2016 Includes \$2.0 million reduction for NIA Dual Voltage Transformer installed in RM Unit 5 to replace the fire damaged transformer.

(B) 2017 Includes \$1.1 million for STL net purchases of:
765KV Disconnect Switches & Structure Supports \$1,131K

(C) 2016 Includes \$1.7 million for STL net purchases of:
Headgate Motor Control Panels \$800K
765KV Disconnect Switches & Lattice Structure Supports \$320K
Potent Transformers \$213K
Lubron Bushings \$200K
Reinhausen Transducer \$172K

(D) 2016 Includes \$6.6 million reduction for Flynn 2015 Major Outage:
Turbine Blades and Vanes \$4.588 million
Turbine Inner Casing \$1.305 million
Mixing Casing \$0.653 million

(E) 2017 Includes \$632K for 500MW net purchases of:
Bull Gears \$194K
Volutes & Gas Seal \$161K
Worm Gear \$92K
Diaphragms 1st & 2nd Stage, & parts \$96K
Condensate Pump Motor \$62K
Air Purge Valve \$27K

**POWER AUTHORITY OF THE STATE OF NEW YORK
FOSSIL FUELS ACTIVITY
JANUARY - SEPTEMBER 2017**

Exhibit 4b-5
December 12, 2017

REQUIRED BY N.Y. PUBLIC AUTHORITIES LAW, SECTION 2879

CONTRACT NUMBER	PROVIDER NAME	CONTRACT DESCRIPTION	T Y P E	M O A	R O A	O P A	M W P E	B I D S	TOTAL EXPENDED TO DATE	AMOUNT EXPENDED 1Q & 2Q	AMOUNT EXPENDED 3Q	AMOUNT EXPENDED 2017	DATE OF CONTRACT	O \n C	PROJ COMPLETE DATE	DATE CONTRACT COMPLETE
FD-1990-33	Northville Industries Corp. NIC 25 Melville Park Road Melville NY 117470398	Oil Storage Agreement	E	1		N	N		15,193,542	282,166	141,083	423,249	4/1/1994		3/31/2019	
FD-1990-34	Northville Industries Corp. NIC 25 Melville Park Road Melville NY 117470398	Oil Storage Agreement	E	1		N	N		4,613,644	70,542	35,270	105,812	10/1/1993		3/31/2019	
FD-2002-11	PSEG EnergyResourcesTrade 80 Park Plaza Floor T-19 Newark NJ 07102	NAESB Agreement for Natural Gas	E	B		F	N	32	11,017,721	0	0	0	11/1/2002		*	
FD-2003-09	J. Aron and Company 85 Broad Street New York NY 10004	NAESB Agreement for Natural Gas	E	B		N	N	32	83,241,402	0	0	0	4/22/2003		*	
FD-2003-10	BP Energy Company 201 Helios Way Houston TX 77079	NAESB Agreement for Natural Gas	E	B		F	N	32	210,472,430	1,232,438	0	1,232,438	10/1/2004		*	
FD-2003-12	Exelon Generation Company, LLC 1310 Point Street Baltimore MD 21231	NAESB Agreement for Natural Gas	E	B		F	N	32	55,860,651	0	0	0	6/9/2003		*	
FD-2003-13	Merrill Lynch Commodities, Inc. 20 East Greenway Plaza Houston TX 77046	NAESB Agreement for Natural Gas	E	B		F	N	32	159,931	0	159,931	159,931	11/1/2003		*	
FD-2003-14	Colonial Energy Inc. 3975 Fair Ridge Drive Fairfax VA 22033	NAESB Agreement for Natural Gas	E	B		F	N	32	513,454,016	5,063,264	1,233,672	6,296,935	7/1/2003		*	
FD-2003-15	Virginia Power Energy Mktg P.O. Box 25773 Richmond VA 23260	NAESB Agreement for Natural Gas	E	B		F	N	32	629,265,426	0	0	0	7/11/2003		*	
FD-2004-08	Shell Energy North America (US), L.P. 1000 Main Street Houston TX 77002	NAESB Agreement for Natural Gas	E	B		F	N	32	83,906,168	5,842,561	9,096	5,851,657	4/1/2004		*	
FD-2005-12	NJR Energy Services Co 1415 Wyckoff Road Wall NJ 07719	NAESB Agreement for Natural Gas	E	B		F	N	32	430,238,561	3,419,577	2,949,761	6,369,338	9/1/2005		*	
FD-2007-10	Eastern Generation, LLC 18-01 20th Avenue Astoria NY 11105	Oil Delivery Labor Fees	E	1		N	N		60,771	0	0	0	7/1/2006		*	
FD-2008-05	Sequent Energy Mgmt LP 1200 Smith Street Houston TX 77002	NAESB Agreement for Natural Gas	E	B		F	N	32	36,565,826	0	0	0	3/1/2008		*	
FD-2008-06	Macquarie Energy LLC 500 Dallas Street Houston TX 77002	NAESB Agreement for Natural Gas	E	B		F	N	32	47,096,447	0	0	0	7/1/2008		*	
FD-2008-09	Con Edison 4 Irving Place New York NY 10003	LDC Agreement	E	1		N	N		26,639,584	(534,558)	897,488	362,930	8/1/2008		6/30/2019	
FD-2008-10	United Energy Trading, LLC 215 Union Boulevard Lakewood CO 80228	NAESB Agreement for Natural Gas	E	B		F	N	32	158,345,320	0	0	0	11/19/2008		*	

**POWER AUTHORITY OF THE STATE OF NEW YORK
FOSSIL FUELS ACTIVITY
JANUARY - SEPTEMBER 2017**

Exhibit 4b-5
December 12, 2017

REQUIRED BY N.Y. PUBLIC AUTHORITIES LAW, SECTION 2879

CONTRACT NUMBER	PROVIDER NAME	CONTRACT DESCRIPTION	T Y P E	M O A	R N A	O P A	M W B E	B I D S	TOTAL EXPENDED TO DATE	AMOUNT EXPENDED 1Q & 2Q	AMOUNT EXPENDED 3Q	AMOUNT EXPENDED 2017	DATE OF CONTRACT	O \ C	PROJ COMPLETE DATE	DATE CONTRACT COMPLETE
FD-2009-07	Conoco Phillips Company 600 North Dairy Ashford Houston TX 77079	NAESB Agreement for Natural Gas	E	1		F	N	32	42,146,519	3,052,205	918,335	3,970,540	5/1/2009		*	
FD-2009-08	NextEra Energy Power Marketing, LLC 700 Universe Blvd Juno Beach FL 33408	NAESB Agreement for Natural Gas	E	B		F	N	32	8,663,416	5,383,054	614,602	5,997,656	12/1/2009		*	
FD-2010-02	ENSTOR Energy Services, LLC 20329 State Highway 249 Houston TX 77070	NAESB Agreement for Natural Gas	E	B		F	N	32	17,800,734	25,500	75,200	100,700	8/9/2010		*	
FD-2011-06	EDF Trading North America, LLC 4700 West Sam Houston Houston TX 77041	NAESB Agreement for Natural Gas	E	B		F	N	32	193,537,121	8,176,709	525,112	8,701,821	6/1/2011		*	
FD-2011-10	Tenaska Gas Storage, LLC 14302 FNB Parkway Omaha NE 68154	NAESB Agreement for Natural Gas	E	B		F	N	32	1,251,315	0	0	0	12/1/2011		*	
FD-2011-11	Statoil Natural Gas, LLC 120 Long Ridge Road Stamford CT 06902	NAESB Agreement for Natural Gas	E	B		F	N	32	18,075,752	1,169,746	724,796	1,894,542	4/18/2012		*	
FD-2012-02	Repsol Energy North America Corporation 2455 Technology Forest Rd The Woodlands TX 77381	NAESB Agreement for Natural Gas	E	B		F	N	32	38,198,810	24,160	0	24,160	11/13/2012		*	
FD-2013-06	Chesapeake Energy Marketing, LLC P.O. Box 18496 Oklahoma City OK 73154-0496	NAESB Agreement for Natural Gas	E	B		F	N	32	36,489,091	7,927,372	4,348,133	12,275,505	5/28/2013		*	
FD-2014-08	Spark Energy Gas, LLC 12140 Wickchester Lane Houston TX 77079	NAESB Agreement for Natural Gas	E	B		F	N	32	272,332,286	21,855,924	8,786,567	30,642,491	2/1/2014		*	
FD-2014-12	EQT Energy, LLC 625 Liberty Ave Pittsburgh PA 15222-3111	NAESB Agreement for Natural Gas	E	B		F	N	32	144,531	36,285	0	36,285	5/1/2014		*	
FD-2014-16	Pacific Summit Energy LLC 2010 Main Street Irvine CA 92614	NAESB Agreement for Natural Gas	E	B		F	N	32	42,540,210	2,887,892	1,505,390	4,393,282	8/11/2014		*	
FD-2015-03	Cabot Oil & Gas Corporation 840 Gessner Road Houston TX 77024-4152	NAESB Agreement for Natural Gas	E	B		F	N	32	2,439,304	0	0	0	3/2/2015		*	
FD-2015-05	DTE Energy Trading, Inc. 414 South Main Street Ann Arbor MI 48104	NAESB Agreement for Natural Gas	E	B		F	N	32	34,428,646	9,981,635	6,200,144	16,181,779	8/14/2015		*	
FD-2015-07	Definite Energy Group 575 Lexington Ave New York NY 10022	NAESB Agreement for Natural Gas	E	B		N	N	32	385,895	93,730	0	93,730	10/1/2015		*	
FD-2015-10	Direct Energy Business Marketing, LLC 194 Wood Ave South Iselin NJ 08830	NAESB Agreement for Natural Gas	E	B		F	3	32	1,059,278	131,250	118,530	249,780	12/14/2015		*	

**POWER AUTHORITY OF THE STATE OF NEW YORK
FOSSIL FUELS ACTIVITY
JANUARY - SEPTEMBER 2017**

Exhibit 4b-5
December 12, 2017

REQUIRED BY N.Y. PUBLIC AUTHORITIES LAW, SECTION 2879

CONTRACT NUMBER	PROVIDER NAME	CONTRACT DESCRIPTION	T Y P E	M O A	R N A	O P A	M W B E	B I D S	TOTAL EXPENDED TO DATE	AMOUNT EXPENDED 1Q & 2Q	AMOUNT EXPENDED 3Q	AMOUNT EXPENDED 2017	DATE OF CONTRACT	O C	PROJ COMPLETE DATE	DATE CONTRACT COMPLETE
FD-2016-01	Saybolt LP P.O. Box 844640 Dallas TX 752844640	Oil Inspection Agreement	P	B		N	N		6,008	5,599	410	6,008	1/1/2017	O	12/31/2019	
FD-2016-02	Astoria Energy II LLC 1710 Steinway Street Astoria NY 11105	AELI Demand Charges	E	1		N	N		9,399	3,233	1,576	4,809	5/1/2016		4/30/2019	
FD-2016-05	Transco P.O. BOX 201371 Houston TX 77216	Firm Gas Transportation Agreement	E	1		F	N		784,050	389,551	394,499	784,050	4/1/2017	O	3/31/2018	
FD-2016-07	National Grid - LI 100 East Old Country Road Hicksville NY 11801	LDC Agreement (Flynn)	E	1		N	N		657,248	176,187	481,061	657,248	4/1/2017	O	3/31/2018	
FD-2017-01	National Grid - NY 1 Metrotech Centre Brooklyn NY 11201	LDC Agreement	E	1		N	N		1,004,430	241,844	762,586	1,004,430.10	6/1/2017	O	5/31/2018	
FD-2017-02	National Grid - LI 100 East Old Country Road Hicksville NY 11801	LDC Agreement (Brentwood)	E	1		N	N		429,975	105,215	324,760	429,975	6/1/2017	O	5/31/2018	
FD-2006-09	Transco P.O. BOX 201371 Houston TX 77216	Firm Gas Transportation Agreement	E	1		F	N		16,642,323	384,809	0	384,809	8/4/2006	C	3/31/2017	3/31/2017
FD-2015-04	National Grid - LI 100 East Old Country Road Hicksville NY 11801	LDC Agreement (Flynn)	E	1		N	N		3,471,497	236,106	0	236,106	1/1/2015	C	3/31/2017	3/31/2017
FD-2014-14	National Grid - NY 1 Metrotech Centre Brooklyn NY 11201	LDC Agreement	E	1		N	N		5,386,764	926,994	0	926,994	5/30/2014	C	5/31/2017	5/31/2017
FD-2014-15	National Grid - LI 100 East Old Country Road Hicksville NY 11801	LDC Agreement (Brentwood)	E	1		N	N		1,200,619	72,463	0	72,463	5/30/2014	C	5/31/2017	5/31/2017
FS-1994-17	Merrill Lynch Commodities, Inc. 20 East Greenway Plaza Houston TX 77046	NAESB Agreement for Natural Gas	E	B		F	N	32	276,520,337	496,431	0	496,431	7/1/1994	C	6/30/2017	6/30/2017
FUELS TOTALS									3,321,736,998	79,159,883	31,208,002	110,367,885				

* Contract continues until terminated by NYPA or counterparty upon specified notice

Note: This reporting is for the Authority only, as there are no similar fuel agreements for the Canal Corporation

POWER AUTHORITY OF THE STATE OF NEW YORK
CORPORATE FINANCE ACTIVITY
JANUARY THROUGH SEPTEMBER 2017
REQUIRED BY N.Y. PUBLIC AUTHORITIES LAW, SECTION 2879

Exhibit 4b-6
December 12, 2017

PROVIDER NAME	CONTRACT DESCRIPTION	T Y P E	M O A	R O A	N P A	O P A	M W B D E S	TOTAL CONTRACT AMOUNT	TOTAL EXPENDED TO DATE	AMOUNT EXPENDED 2017	CONTRACT BALANCE	DATE OF CONTRACT	O C	PROJ COMPLETE DATE	DATE CONTRACT COMPLETE
Bank of New York (formerly JPMorgan) 101 Barclay Street New York, NY 10286	Trustee/Paying Agent Services on Bonds	S	C	9	N			515,231	515,231	13,157	-	2/24/1998	O	*	
Bank of New York (formerly JPMorgan) 101 Barclay Street New York, NY 10286	Trustee- Commercial Paper	S	C	9	N			225,392	225,392	14,592	-	10/2/2006	O	*	
Barclays Capital (assigned from Lehman Bros.) ** 745 Seventh Avenue New York, NY 10019	Re-marketing Agent CP-1	S	C	9	N			1,865,477	1,865,477	82,729	-	7/14/1994	O	*	
Barclays Capital (assigned from Lehman Bros.) 745 Seventh Avenue New York, NY 10019	Re-marketing Agent CP-3	S	C	9	N			10,775	10,775	-	-	6/26/2008	O	*	
Citigroup 390 Greenwich Street New York, NY 10013	Re-marketing Agent CP-2	S	C	9	N			1,080,870	1,080,870	55,810	-	12/4/1997	O	*	
Goldman, Sachs & Co. 85 Broad Street New York, NY 10004-2456	Re-marketing Agent CP-2 and 3	S	C	9	N			1,096,813	1,096,813	27,069	-	12/4/1997	O	*	

POWER AUTHORITY OF THE STATE OF NEW YORK
CORPORATE FINANCE ACTIVITY
JANUARY THROUGH SEPTEMBER 2017
REQUIRED BY N.Y. PUBLIC AUTHORITIES LAW, SECTION 2879

Exhibit 4b-6
December 12, 2017

PROVIDER NAME	CONTRACT DESCRIPTION	T Y E	M O A	R O A	N P A	O P A	M W E	B I D S	TOTAL CONTRACT AMOUNT	TOTAL EXPENDED TO DATE	AMOUNT EXPENDED 2017	CONTRACT BALANCE	DATE OF CONTRACT	O C	PROJ COMPLETE DATE	DATE CONTRACT COMPLETE
Goldman, Sachs & Co. 85 Broad Street New York, NY 10004-2456	Re-marketing EMCP	S	C	9	N				940,670	940,670	4,758	-	3/4/2003	O	*	
JPMorgan Chase 270 Park Avenue New York, NY 10017-2070	Re-marketing Agent CP-2	S	C	9	N				316,442	316,442	32,527	-	6/28/2001	O	*	
JPMorgan Chase 270 Park Avenue New York, NY 10017-2070	Re-marketing Agent CP-3	S	C	9	N				638,776	638,776	8,152	-	12/4/1997	O	*	
JPMorgan Chase 270 Park Avenue New York, NY 10017-2070	Escrow Agent POCR/CASP & LMEI Funds	S	C	9	N				558,845	558,845	3,000	-	5/30/1996	O	*	
JPMorgan Chase 270 Park Avenue New York, NY 10017-2070	Revolving Line of Credit 2015	S	C	9	N		2		8,139,333	6,175,464	1,274,333	1,963,869	1/15/2015	O	1/19/2018	

POWER AUTHORITY OF THE STATE OF NEW YORK
CORPORATE FINANCE ACTIVITY
JANUARY THROUGH SEPTEMBER 2017
REQUIRED BY N.Y. PUBLIC AUTHORITIES LAW, SECTION 2879

Exhibit 4b-6
December 12, 2017

PROVIDER NAME	CONTRACT DESCRIPTION	T Y E	M O A	R O A	N P A	O W E	B I D E S	TOTAL CONTRACT AMOUNT	TOTAL EXPENDED TO DATE	AMOUNT EXPENDED 2017	CONTRACT BALANCE	DATE OF CONTRACT	O C	PROJ COMPLETE DATE	DATE CONTRACT COMPLETE
Morgan Stanley 1221 Avenue of the Americas New York, NY 10020	Re-marketing Agent CP-1	S	C	8	N		7	442,996	442,996	24,233	-	3/31/2009	O	*	
RBC Capital Markets ** 1211 Avenue of the Americas New York, NY 10036	Re-marketing Agent CP-1	S	C	9	N			715,247	715,247	38,631	-	7/14/1994	O	*	
CORPORATE FINANCE TOTALS								16,546,867	14,582,998	1,578,989	1,963,869				

NOTES:

* Contract continues until terminated by NYPA or Counterparty upon specified notice

** Expense History only available from 1997

TRANSFER OF INTEREST IN PERSONAL PROPERTY TO CANAL CORPORATION

January – September 2017

2017 YTD Report of Transfer of Interest in Personal Property to Canal Corporation

Effective January 1, 2017, management and administration of the New York State Canal Corporation is an additional corporate purpose of the Authority. New York Public Authorities Law § 1005-b (2) authorizes the Authority to “transfer to the canal corporation any moneys, real, personal, or mixed property or any personnel in order to carry out the purposes of this section...” to the extent that the Authority’s Trustees deem it feasible and advisable. Under Public Authorities Law Title 5-A Disposition of Property by Public Authorities, the Trustees annually designate a contracting officer as part of their approval of the Guidelines for the Disposal of New York Power Authority Personal Property.

The Trustees’ authorized, on January 31, 2017, the Authority’s personal property Contracting Officer to transfer any interest in Authority personal property to the Canal Corporation, as the Contracting Officer deems prudent after balancing the respective needs of the Authority and the Canal Corporation, will facilitate the Canal Corporation’s obligations to operate, maintain, construct, reconstruct, improve and develop the canal system. The Contracting Officer will authorize the transfer of Authority personal property or any interest therein only at the request of Canal Corporation. The Contracting Officer will authorize the transfer to Canal Corporation any interest in Authority personal property, valued at up to \$500,000 per transaction, in parity with the authorization level and signing authority set forth in the Guidelines for the Disposal of New York Power Authority Personal Property. Additionally, the Contracting Officer will report to the Trustees or committee thereof regarding such property transfers. To that end, the 2017 YTD Report Transfer of Interest in Personal Property to Canal Corporation less than \$500,000 in value is attached.

FLEET – Third Quarter 2017 Activity Summary

In response to a Canal Corporation request for certain Fleet assets, and subsequent to the demonstrating a need for these assets, the Contracting officer approved the transfer of two Fleet vehicles, valued at \$29,000, as described in the attached report in furtherance of Canal Corporation’s operation, maintenance, construction, reconstruction, improvement and development of the canal system.

FLEET – YTD September 2017 Activity Summary

In summary, the January through September 2017 Fleet Total “Value of Interest in Property Transferred to Canal Corporation”, for Fleet transactions less than \$500,000 authorized by the Contracting Officer was \$257,900.

OTHER PERSONAL PROPERTY– Third Quarter 2017 Activity Summary

During the reporting period, there were no other personal property transfers to Canal Corporation.

OTHER PERSONAL PROPERTY– YTD September 2017 Activity Summary

The January through September 2017 Total “Value Transferred to Canal Corporation” for personal property transactions less than or equal to \$500,000 authorized by the Contracting Officer was \$0.

Grand Total as of 9/30/17

As summarized on the attached Report, the January through September 2017 Grand Total “Value of Interest in Personal Property Transferred to Canal Corporation” for all Personal Property less than or equal to \$500,000 authorized by the Contracting Officer was \$257,900.

POWER AUTHORITY OF THE STATE OF NEW YORK

2017 YTD SEPTEMBER REPORT OF TRANSFER OF INTEREST IN PERSONAL PROPERTY TO CANAL CORPORATION LESS THAN OR EQUAL TO \$500,000

FLEET OPERATIONS

DESCRIPTION	VIN	UNIT #	TRANSFER DATE	Transfer Value Recorded by the Authority
2008 INT'L 5600i DMP TRUCK	1HTXGSBT08J688037	T620	3/24/17	\$ 60,000
2012 FORD ESCAPE	1FMCU9DG9CKA15338	12S23	5/09/17	\$ 7,425
2016 TRAILKING TK40LP	1TKC03322GR051624	16R98	5/09/17	\$ 13,000
2010 CHEVY IMPALA	2G1WA5EK9A1236031	10A34	5/19/17	\$ 4,825
2011 FORD FUSION	3FAHP0HG7BR322496	11A204	5/19/17	\$ 5,275
2012 FORD FUSION	3FADP0L33CR340806	12H48	5/19/17	\$ 7,550
2011 FORD FUSION	3FAHP0HG0BR322503	11A25	5/31/17	\$ 5,275
2011 FORD FUSION	3FAHP0HG7BR322501	11A7	5/31/17	\$ 5,275
2011 FORD FUSION	3FAHP0HG9BR322502	11A8	5/31/17	\$ 5,275
2008 CHEVY IMPALA	2G1WB58K881319808	08A20	5/31/17	\$ 5,000
2008 CHEVY IMPALA	2G1WB58K481319496	08A243	5/31/17	\$ 5,000
2008 CHEVY IMPALA	2G1WB58K881318383	08A263	5/31/17	\$ 5,000
2010 LTL GIANT 6430 CRANE	641002	10N9	6/27/17	\$ 100,000
2010 CHEVY IMPALA	2G1WA5EKXA1235616	10A23	7/26/17	\$ 5,000
2003 FORD F550	1FDAF57P03ED85291	MT33	8/25/17	\$ 24,000
SUBTOTAL:				\$ 257,900

POWER AUTHORITY OF THE STATE OF NEW YORK

2017 YTD SEPTEMBER REPORT OF TRANSFER OF INTEREST IN PERSONAL PROPERTY TO CANAL CORPORATION LESS THAN OR EQUAL TO \$500,000

OTHER PERSONAL PROPERTY

DESCRIPTION	Transfer Value Recorded by the Authority
	\$ 0
SUBTOTAL:	\$ 0
+ SUBTOTAL Page 1:	<u>\$ 257,900</u>