

INTERNAL CONTROL CERTIFICATION

New York Power Authority

Agency/Authority Name

Roger B. Kelley, President & Chief Executive Officer

Agency Head/Chairperson Governing Board
123 Main Street
White Plains, NY 10606

(914) 287-3501

Agency/Authority Address

Arnold M. Bellis
VP-Corporate Controller
123 Main Street
White Plains, NY 10601

Telephone Number

(914) 681-6767

Name of Internal Control Officer Address

Arnie.Bellis@nypa.gov

Telephone Number

Email Address of Internal Control Officer

I hereby certify that the agency or authority has complied with the following provisions for internal controls.

These provisions are as follows:

- A. Establish and maintain guidelines for a system of internal controls for the agency or authority. Internal control guidelines communicate the management and programmatic objectives of an agency or authority to its employees and provide the methods and procedures used to assess the effectiveness of the agency or authority internal controls in supporting these objectives.
- B. Establish and maintain a system of internal controls and a program of internal control review for the agency or authority. The program of internal control review shall be a structured, continuing and well-documented system designed to identify internal control weaknesses, identify actions that are needed to correct these weaknesses, monitor the implementation of necessary corrective actions and periodically assess the adequacy of the agency's or authority's internal controls.
- C. Make available to each officer and employee of the agency or authority a clear and concise statement of the generally applicable management policies and standards with which the officer or employee of such agency or authority shall be expected to comply. Such statements shall emphasize the importance of and responsibility for effective internal controls.
- D. Designate an internal control officer, who shall report to the head of the agency or authority (or their designee within the executive office) to implement and review the Internal Control Act responsibilities.
- E. Implement education and training efforts to ensure that officers and employees within such agency or authority have achieved adequate awareness and understanding of internal control standards, and, as appropriate, evaluation techniques.
- F. Periodically evaluate the need for an internal audit function. If an internal audit function exists, it shall be maintained in compliance with generally accepted professional auditing standards.



Signature/Agency Head or Chairperson Governing Board
President & Chief Executive Officer