

December 17, 2013

**MEMORANDUM TO THE GOVERNANCE COMMITTEE**

**FROM THE SENIOR VICE PRESIDENT ENTERPRISE SHARED SERVICES**

**SUBJECT: Procurement and Real Estate Reports**

**SUMMARY**

This memorandum is to advise the Governance Committee of certain 2013 YTD activities of the Enterprise Shared Services (“ESS”) Business Unit, including:

- Exhibit A Procurement Contract Activity
- Exhibit B Disposal of Personal Property
- Exhibit C Acquisition and Disposal of Real Property
- Exhibit D Supplier Diversity Program Activities
- Exhibit E Inventory Statistics
- Exhibit F Fossil Fuels Activity
- Exhibit G Corporate Finance Activity

**BACKGROUND**

Pursuant to Subsection C.5 of the Authority’s Governance Committee Charter relating to Reports, the Vice President Procurement and the Director Real Estate are required to report to the Committee at all regularly scheduled meetings and the Committee has the authority to require Procurement and Real Estate staff to prepare additional reports and to produce documents for Committee review.

The reported activities are governed by various State laws and regulations and are set forth in the attached Reports.

**DISCUSSION**

As more fully described in the individual reports attached hereto as Exhibits A thru G, the Procurement Contract Report summarizes activity for procurements of \$5,000 or greater awarded since January 1, 1990 that were active in 2013, as identified by the Authority’s SAP computer system. The Disposal of Personal Property Report lists all personal property disposal transactions over \$5,000 conducted during 2013. The Real Estate Report includes all transactions for the acquisition or disposal of real property by the Authority. The Supplier Diversity Program Activity Report summarizes dollars awarded to New York State-certified Minority and Women-owned Business Enterprises (“M/WBEs”) based on reportable expenditures. The Plant Inventory Analysis lists current stock value and compares it to that of the previous year, with a brief explanation for any significant increase or decrease, where applicable. The Fossil Fuels and Corporate Finance Reports list the fuel- and finance-related transactions conducted by the Fuel Operations and Treasury work groups, respectively.

**PROCUREMENT CONTRACTS SUMMARY**  
**September 30, 2013**

The following is a summary of all procurement contracts including multi-year contracts awarded prior to September 30, 2013. These contracts have been active during 2013 and are \$5,000 or greater in value. There are 1,957 such contracts with a total estimated value of more than \$3.6 billion not including fossil fuel or corporate finance expenditures covered in Sections 2b-6 and 2b-7 of this report. The total expenditures thus far in 2013 have exceeded \$337 million for these contracts.

- 12% of these contracts are for construction work;
- 44% are for the purchase of equipment and commodities;
- 13% are for personal service contracts such as professional consulting services
- 1.5% are for architectural and engineering services
- 1.5% are for legal services
- 28% are for other services, such as maintenance, technicians, contracted personnel, maintenance and repairs.

Based on the total value of the contracts included in this summary, approximately 98% of the total dollars expended were for contracts that were competitively bid. The basis for the sole source awards included the purchase of highly specialized spare parts and services from original equipment manufacturers, procurement of services on an emergency basis and proprietary sources.

**DISPOSAL OF PERSONAL PROPERTY**  
**January – October 2013**

**2013 YTD Report of Disposal of Personal Property**

Public Authorities Law (“PAL”) § 2896, enacted as part of the Public Authorities Accountability Act of 2005 (“PAAA”), as amended by the Public Authorities Reform Act of 2009 (“PARA”), requires the Authority to prepare a report, not less frequently than annually, listing all Personal Property disposed of during the reporting period. Furthermore, pursuant to Subsection C.5 of the Authority’s Governance Committee Charter relating to Reports, the Vice President Procurement is required to report to the Committee at all regularly scheduled meetings. To that end, the 2013 YTD Report of Disposal of Personal Property over \$5,000 in value is attached. The description of the property, purchaser’s name and price received by the Authority, as required by PAL § 2896, will be presented in the official 2013 Annual Report of Disposal of Personal Property for review and approval by the full Board of Trustees at their March 2014 meeting, referenced in the Authority’s § 2800 Annual Report and included in other filings with various State entities, in compliance with applicable law. The sale price and other additional data (such as fair market value, date of transaction, full address of purchaser, etc.) required by the Authorities Budget Office (“ABO”) will be included in the 2013 Public Authorities Reporting Information System (“PARIS”) Annual Report of Personal Property Disposal for submittal to the ABO by March 31, 2014.

**FACILITIES – July – October 2013 Activity**

During the reporting period, there were four (4) Personal Property Disposal transactions over \$5,000 in value, as further set forth on pages 1-2 of the attached Report. Of this number, three were for the disposal / sale of scrap metals at the Niagara Project, conducted under an existing competitively bid contract with Niagara Metals, resulting in payments to the Authority totaling \$39,076.03; the fourth transaction was for the sale of surplus generator/turbine blades and mixing elbows at the Flynn Project, which was competitively bid by the facility Property Disposal Coordinator (“PDC”) through a Request for Quotations (“RFQ”) and resulted in payment to the Authority of \$34,336.80.

The Facilities July – October 2013 “Price Received by the Authority” for these four transactions was \$73,412.83.

**FACILITIES – 2013 YTD Summary and Subtotal**

Pages 1-2 include seven (7) Personal Property disposal transactions over \$5,000 conducted by or on behalf of the Property Disposal Coordinators (“PDCs”) during the 2013 reporting year, as further set forth in the attached Report. All such disposal transactions were competitively bid

(through RFQs for individual transactions or competitively bid contracts). They are summarized below by Facility:

- Blenheim-Gilboa Project: 1 transaction – for the disposal of one generator step-up transformer, resulting in payment to the Authority of \$106,106.68;
- Niagara Project: 5 transactions – for the sale of scrap metals, resulting in net proceeds to the Authority of \$96,613.88;
- Poletti/Flynn Project: 1 transaction – for the sale of surplus generator/turbine blades and mixing elbows, resulting in net proceeds to the Authority of \$34,336.80.

**The 2013 YTD Total “Price Received by the Authority” for these Personal Property Disposal transactions over \$5,000 conducted by or on behalf of the Property Disposal Coordinators (“PDCs”) at the Facilities and WPO was \$237,057.36.**

#### **FLEET – July – October 2013 Activity**

During the reporting period, the Authority participated in a Fleet-related auction conducted on behalf of the Authority’s Fleet Operations division by the firm Auctions International, Inc. (of Buffalo, NY) on October 26, 2013 at L&L Storage in Utica, NY. Such auction, which consisted of both a physical presence as well as an online component, resulted in the sale of a total of 79 units or lots comprising light duty vehicles, heavy duty trucks and special equipment, of which there were 29 with a Sale Price over \$5,000, as set forth on pages 3-4 of the attached Report. The auction “Sale Price” (Gross) for these 29 units was \$368,850.00, which resulted in a “Price Received by the Authority” (Net Amount) of \$364,820.00 after commission and transportation costs were deducted.

It should be noted that this auction also resulted in receipt by the Authority of an additional \$45,955.00 for the sale of 50 Fleet units with a value less than or equal to \$5,000, which are not included in the attached Report. Additionally, a single unit was sold via an online only auction conducted by Auctions International on August 12, 2013; this transaction resulted in receipt of \$3,650.00 by the Authority and therefore is also not included in the attached Report.

In summary, the October 26, 2013 auction resulted in the sale of 29 units over \$5,000, for a Fleet “Price Received by the Authority” (Net Amount) for July – October activity of \$364,820.00.

#### **FLEET – 2013 YTD Summary and Subtotal**

Pages 3-4 include 42 disposal transactions with a Sale Price over \$5,000 conducted on behalf of the Authority’s Fleet Operations division in 2013. The Authority participated in three Fleet-related auctions\* conducted by the firm Auctions International, which resulted in the sale of a total of 100 units comprising light duty vehicles, heavy duty trucks and special equipment. Of this number, there were 42 disposal transactions with a Sale Price over \$5,000, which are listed on the attached Report. The total auction “Sale Price” (Gross) for these 42 units was

\$540,900.00, which resulted in a “Price Received by the Authority” (Net Amount) of \$535,220.00 after commission and transportation costs were deducted.

\*Two major auctions consisted of both a physical presence as well as an online component. The first auction took place on June 1, 2013 at the State Fair Grounds in Syracuse, NY and resulted in the sale of a total of 20 units, of which 13 were over \$5,000. The second auction was held on October 26, 2013, as further set forth above. There was also an online only auction held on August 12, 2013 for the sale of one unit for less than \$5,000.

Additionally, at their meeting of June 26, 2012, the Authority’s Trustees approved the transfer without charge of ten (10) Authority fleet vehicles to the New York State Office of General Services (“OGS”) for use by OGS in furtherance of its strategic goal to minimize state expenditures and become as efficient as possible in the use of all resources at their disposal. Nine (9) such transfers were completed as of 12/31/12 and were reported on the 2012 Report; the tenth and final transfer was completed during the First Quarter 2013 and therefore is listed on the attached Report (page 5).

In summary, the **2013 YTD Fleet Total “Price Received by the Authority” for the 42 auction transactions over \$5,000 was \$535,220.00.**

#### **YTD Grand Total as of 10/31/13**

As summarized on page 5 of the attached Report, the **2013 YTD Grand Total “Price Received by the Authority” (Net Amount)** for all Personal Property in excess of \$5,000 was **\$772,277.36** [Sale Price (Gross) \$777,957.36 less \$5,680.00 (commission / transportation fees, where applicable)]. (It should be noted that an additional \$62,120.00 was received for the sale of 58 Fleet units with a value less than or equal to \$5,000, which are not included in the attached Report.)

**POWER AUTHORITY OF THE STATE OF NEW YORK**  
**2013 YTD REPORT OF DISPOSAL OF PERSONAL PROPERTY OVER \$5,000**

DESCRIPTION	PURCHASER	SALE PRICE	PRICE RECEIVED by the Authority
GENERATOR STEP-UP TRANSFORMER AT B-G PROJECT	TCI OF NY LLC	\$ 106,106.68	\$ 106,106.68
SCRAP METALS AT NIAGARA PROJECT	NIAGARA METALS LLC	\$ 10,956.00	\$ 10,956.00
SCRAP METALS AT NIAGARA PROJECT	NIAGARA METALS LLC	\$ 46,581.85	\$ 46,581.85
SCRAP METALS AT NIAGARA PROJECT	NIAGARA METALS LLC	\$ 26,628.68	\$ 26,628.68
SCRAP METALS AT NIAGARA PROJECT	NIAGARA METALS LLC	\$ 7,441.87	\$ 7,441.87
SCRAP METALS AT NIAGARA PROJECT	NIAGARA METALS LLC	\$ 5,005.48	\$ 5,005.48

**POWER AUTHORITY OF THE STATE OF NEW YORK**  
**2013 YTD REPORT OF DISPOSAL OF PERSONAL PROPERTY OVER \$5,000**

<b>DESCRIPTION</b>	<b>PURCHASER</b>	<b>SALE PRICE</b>	<b>PRICE RECEIVED by the Authority</b>
<b>SURPLUS GENERATOR/ TURBINE BLADES AND MIXING ELBOWS AT FLYNN PROJECT</b>	<b>GERSHOW RECYCLING</b>	<b>\$ 34,336.80</b>	<b>\$ 34,336.80</b>
	<b>SUBTOTAL:</b>	<b>\$ 237,057.36</b>	<b>\$ 237,057.36</b>

**POWER AUTHORITY OF THE STATE OF NEW YORK**  
**2013 YTD REPORT OF DISPOSAL OF PERSONAL PROPERTY OVER \$5,000**  
**FLEET OPERATIONS**

<b>DESCRIPTION</b>	<b>PURCHASER</b>	<b>SALE PRICE</b>	<b>Commission/ Transportation Fees</b>	<b>PRICE RECEIVED * by the Authority</b>
1994 YALE FORK FORKLIFT	WILLIAM WEAVER	\$ 8,600.00	\$ 250.00	\$ 8,350.00
1999 CAT D4CXL DOZER	JEFFREY C IRWIN	\$ 25,000.00	\$ 140.00	\$ 24,860.00
2000 FONTAINE TRAILER	CHRIST RECYCLING LLC	\$ 6,500.00	\$ 200.00	\$ 6,300.00
2000 FONTAINE TRAILER	AP PROPERTY SERVICES LLC	\$ 6,000.00	\$ 260.00	\$ 5,740.00
2000 JOHN DEERE BACKHOE 410E	LAYO'S AUTO PARTS & SERVICE	\$ 30,000.00	\$ 90.00	\$ 29,910.00
2000 KOBELCO ED180 EXCAVATOR	NORTHERN SKY FARMS	\$ 29,000.00	\$ 140.00	\$ 28,860.00
2001 JOHN DEERE 200LC EXCAVATOR	BUCK CONSTRUCTION LLC	\$ 57,500.00	\$ 140.00	\$ 57,360.00
2001 JOHN DEERE 624 LOADER	AP PROPERTY SERVICES LLC	\$ 37,000.00	\$ 140.00	\$ 36,860.00
2001 TRAILING LOWBOY TRAILER	SCOTT SMITH 24 HR TOWING	\$ 24,500.00	\$ 200.00	\$ 24,300.00
2002 FORD F350 PICKUP	WILLIAM JUNE	\$ 7,700.00	\$ 100.00	\$ 7,600.00
2002 FORD F550 BUCKET TRUCK	VILLAGE TRUCK SALES INC	\$ 12,000.00	\$ 140.00	\$ 11,860.00
2003 FORD F550 STAKE RACK TRUCK	VILLAGE TRUCK SALES INC	\$ 10,500.00	\$ 100.00	\$ 10,400.00
2003 JACOBSEN MOWER HR5111	JOHN S KOMACEK	\$ 6,500.00	\$ 90.00	\$ 6,410.00
2004 FORD F550 TOW TRUCK	DAVE'S SERVICE CENTER	\$ 19,750.00	\$ 100.00	\$ 19,650.00
2004 PISTEN BULLY CANYON	PANELLA'S COLLISION INC	\$ 16,500.00	\$ 140.00	\$ 16,360.00
2005 CHEVY K1500 PICKUP	L & L SURPLUS OF UTICA INC	\$ 5,700.00	\$ 140.00	\$ 5,560.00
2005 CHEVY K250 PICKUP W/ PLOW	BJ MOTORS & DETAILING	\$ 9,100.00	\$ 100.00	\$ 9,000.00
2005 CHEVY K2500 PICKUP	SENECAL'S	\$ 10,200.00	\$ 140.00	\$ 10,060.00
2005 CHEVY SUBURBAN	WILLIAM WEAVER	\$ 8,400.00	\$ 100.00	\$ 8,300.00
2005 CHEVY TAHOE	JIMENEZ & SON CONSTRUCTION CO INC	\$ 10,250.00	\$ 140.00	\$ 10,110.00
2005 FORD ESCAPE-HYBRID	SDE EQUIPMENT SALES	\$ 5,900.00	\$ 140.00	\$ 5,760.00

\* Sale Price less commission/transportation costs. Includes items where the Sale Price and/or the estimated Fair Market Value exceeded \$5,000.

**POWER AUTHORITY OF THE STATE OF NEW YORK**  
**2013 YTD REPORT OF DISPOSAL OF PERSONAL PROPERTY OVER \$5,000**  
**FLEET OPERATIONS**

DESCRIPTION	PURCHASER	SALE PRICE	Commission/ Transportation Fees	PRICE RECEIVED * by the Authority
2005 FORD ESCAPE-HYBRID	ANTHONY J BURNETT	\$ 6,500.00	\$ 140.00	\$ 6,360.00
2006 FORD E350 VAN	BUCK CONSTRUCTION LLC	\$ 6,000.00	\$ 140.00	\$ 5,860.00
2006 FORD ESCAPE-HYBRID	AP PROPERTY SERVICES LLC	\$ 6,000.00	\$ 140.00	\$ 5,860.00
2006 FORD ESCAPE-HYBRID	PRONTO TRANSPORT INC	\$ 5,300.00	\$ 140.00	\$ 5,160.00
2006 FORD ESCAPE-HYBRID	JOHN M MCGUIRE	\$ 6,300.00	\$ 140.00	\$ 6,160.00
2006 HONDA ACCORD-HYBRID	NORTHLAND MOTORS	\$ 6,800.00	\$ 140.00	\$ 6,660.00
2007 CHEVY TAHOE	ERIC CHAPMAN	\$ 11,250.00	\$ 100.00	\$ 11,150.00
2007 CHEVY TAHOE	AP PROPERTY SERVICES LLC	\$ 14,100.00	\$ 140.00	\$ 13,960.00
2007 FORD ESCAPE-HYBRID	PRONTO TRANSPORT INC	\$ 5,400.00	\$ 140.00	\$ 5,260.00
2007 FORD F350 PICKUP	BJ MOTORS & DETAILING	\$ 18,500.00	\$ 100.00	\$ 18,400.00
2007 TOYOTA HIGHLANDER-HYBRID	M & M BROS CAR SALES INC	\$ 9,300.00	\$ 140.00	\$ 9,160.00
2007 TOYOTA PRIUS-HYBRID	BRAVO AUTO SALES INC	\$ 5,600.00	\$ 140.00	\$ 5,460.00
2007 TOYOTA PRIUS-HYBRID	BRAVO AUTO SALES INC	\$ 5,900.00	\$ 140.00	\$ 5,760.00
2008 CHEVY IMPALA	DANIEL MUNTER	\$ 5,300.00	\$ 140.00	\$ 5,160.00
2008 FORD ESCAPE-HYBRID	SENECALS	\$ 9,000.00	\$ 140.00	\$ 8,860.00
2008 FORD F250 PICKUP	BJ MOTORS & DETAILING	\$ 16,800.00	\$ 100.00	\$ 16,700.00
2008 FORD F250 PICKUP	BJ MOTORS & DETAILING	\$ 15,700.00	\$ 100.00	\$ 15,600.00
2008 FORD F250 PICKUP	BJ MOTORS & DETAILING	\$ 14,750.00	\$ 100.00	\$ 14,650.00
2008 JACOBSEN MOWER HR9016	MOHAWK EQUIPMENT CORP	\$ 13,000.00	\$ 90.00	\$ 12,910.00
2009 DODGE DURANGO	SENECALS	\$ 6,000.00	\$ 140.00	\$ 5,860.00
2009 DODGE DURANGO	BRAVO AUTO SALES INC	\$ 6,800.00	\$ 140.00	\$ 6,660.00
<b>FLEET SUBTOTAL:</b>		<b>\$ 540,900.00</b>	<b>\$ 5,680.00</b>	<b>\$ 535,220.00</b>

\* Sale Price less commission/transportation costs. Includes items where the Sale Price and/or the estimated Fair Market Value exceeded \$5,000.

**POWER AUTHORITY OF THE STATE OF NEW YORK**  
**2013 YTD REPORT OF DISPOSAL OF PERSONAL PROPERTY OVER \$5,000**  
**FLEET OPERATIONS**

DESCRIPTION	PURCHASER	SALE PRICE	Commission/ Transportation Fees	PRICE RECEIVED * by the Authority
<b><u>Transferred to NYS OGS w/o charge:</u></b>				
2005 FORD ESCAPE	NYS OGS - FLEET MANAGEMENT	N/A	N/A	N/A
<b>FLEET SUBTOTAL Page 4:</b>		<b>\$ 540,900.00</b>	<b>\$ 5,680.00</b>	<b>\$ 535,220.00</b>
<b>+ SUBTOTAL Page 2:</b>		<b><u>\$ 237,057.36</u></b>	<b>---</b>	<b><u>\$ 237,057.36</u></b>
<b>GRAND TOTAL:</b>		<b><u>\$ 777,957.36</u></b>	<b>\$ 5,680.00</b>	<b><u>\$ 772,277.36</u></b>

\* Sale Price less commission/transportation costs. Includes items where the Sale Price and/or the estimated Fair Market Value exceeded \$5,000.

**ACQUISITION AND DISPOSAL OF REAL PROPERTY  
JULY 1- SEPTEMBER 30, 2013**

Section 2896 of the Public Authorities Law (PAL) requires a report setting out all real property transactions of the Authority over a given reporting period. Such report shall consist of a list and full description of all real property disposed of during such period. The report shall contain the price received by the Authority and the name of the purchaser. There is no monetary threshold so all disposals regardless of value need to be reported. In addition, acquisitions and leasing transactions are also included in this report. All acquisitions and dispositions reported herein were approved by the Authority's Trustees and/or are consistent with current Trustee approved Expenditure Authorization Procedures. The reporting period is July 1 – September, 30, 2013.

I. ACQUISITIONS

1) Acquisitions by Deed or Easement:

Avery

Acquired by appropriation a permanent easement to 7.46 acres in the Town of Massena, County of St. Lawrence in support of Moses-Willis Line Separation. Title was vested by filing map no. SMA-500 with the St. Lawrence County Clerk on July 26, 2013.

John and Veronica Kempisty- Acquired a temporary easement over and across lands of Kempisty for the cutting, trimming, and removal of trees and brush along the NATL in the Town of Clay, Onondaga County. The Temporary Easement was recorded on July 23, 2013 and expires on July 25, 2037.

2) Danger Tree Permits- Danger tree permits acquired:

During this reporting period, the Authority acquired 8 danger tree permits. These rights allow the Authority to eliminate dangerous vegetation which is critical to the safe operation of the New York State transmission grid. The transmission facilities covered by these danger tree permits include the Niagara-Adirondack, Fitz-Edic, Moses-Willis, and Gilboa-New Scotland. This is an on-going effort.

3) Land Use Permits- Land use permits issued along NYPA's transmission system:

During this reporting period, the real estate group issued 18 land use permits for use of the Authority's easement areas. These permits, with their accompanying insurance requirements, assure the use of the Authority's easement consistent with the operation of our transmission lines. In addition, these permits can be used to allow other State and municipal entities use of NYPA facilities. A listing of these permits and their respective purposes is set out below.

**Permit No.**

NPP-13-2P

**Permittee**

City of Niagara Falls School District

**Type of Permit****Permit Requiring Insurance****Purpose**

Construct, install, operate, maintain, repair, replace, maintain, operate, and remove, in addition to athletic facilities previously authorized pursuant to Permit No. NPP-98-2P issued July 20, 1988 and recorded in the Niagara County Clerk's office on August 4, 1998 in Liber 2849 of Deeds at page 275 and to construct, reconstruct, install, improve, repair, replace, maintain, operate, and remove all of the improvements as listed and shown on Drawing Nos. NYPA-1 and NYPA-2 dated June 6, 2013.

**Date Issued**

7/15/2013

**Permit No.**

NPP-13-1P-A3

**Permittee**

Maid of the Mist Corporation &amp; OPR &amp; HP

**Type of Permit****Permit Requiring Insurance****Purpose**

Construct a "Crane Pedestal" all as described in Package 2C, section 05120, pages 1-5 and section 05530, pages 1-5, dated April 27, 2013 and drawing numbers SB530, SB531, SB540, and SB541 dated April 26, 2013 submitted by the Permittee which are attached hereto and made a part hereof together with the necessary accessories and appurtenances thereto on or across land owned by the Authority which is under lease to NYSOPR&HP.

**Date Issued**

7/25/2013

**Permit No.**

NATL-13-3P

**Permittee**

North Country Contractors

**Type of Permit****Permit Requiring Insurance****Purpose**

Construct, install, operate, maintain, repair, replace, and remove a sewer force main by means of a directional bore under New York State Route 31, North Road, and Shackelton Point Road and within the Authority's easement together with the necessary accessories and appurtenances thereto.

**Date Issued**

7/29/2013

**Permit No.**

NPP-13-1P-RV

**Permittee**

Maid of the Mist Corporation

**Type of Permit****Permit Requiring Insurance****Purpose**

Elevator Shaft Reclamation Project for the elevator shaft clean-out work and demolition of part of the elevator shaft to elevation 565.0 feet and other work as shown on drawing SK-1 dated June 27, 2013.

**Date Issued**

7/30/2013

**Permit No.**

NPP-13-10P

**Permittee**

Foit-Albert Associates

**Type of Permit**

**Site Access Agreement**

**Purpose**

Access to 32 Fuhrmann Blvd. which is known as the First Buffalo River Marina, for the sole purpose of performing 3D scan of the Connecting Terminal Grain Elevator for the Erie Canal Harbor Development's Grain Elevator Illumination Project.

**Date Issued**

7/30/2013

**Permit No.**

SLPP-13-11P

**Permittee**

Town of Waddington and Young Explosives Corp.

**Type of Permit**

**Site Access Agreement**

**Purpose**

Access Ogden Island to set up and launch fireworks.

**Date Issued**

8/1/2013

**Permit No.**

SLPP-13-12P

**Permittee**

Town of Waddington

**Type of Permit**

**Site Access Agreement**

**Purpose**

Access Ogden Island to set up and launch fireworks.

**Date Issued**

8/1/2013

**Permit No.**

SLPP-13-10P

**Permittee**

Young Explosives Corp.

**Type of Permit**

**Site Access Agreement**

**Purpose**

Access Ogden Island to set up and launch fireworks.

**Date Issued**

8/6/2013

**Permit No.**

SLPP-13-9P

**Permittee**

Village of Waddington

**Type of Permit**

**Site Access Agreement**

**Purpose**

Authority shall provide Village and the attendees of the fishing Tournament and Fun Festival access to Whittaker park.

**Date Issued**

8/6/2013

**Permit No.**

NPP-13-7P

**Permittee**

O'Brien &amp; Gere Inc. of North America

**Type of Permit****Permit Requiring Insurance****Purpose**

Remove a portion of an existing "slag mound" of contaminated soil/slag and sediment. Also to construct a two foot thick cap over the remaining, existing piles and consolidated soil material within the Authority's 345 kV and 230 kV transmission lines which is located on a site known as Vanadium Corporation of America Site and also known as Operable Unit No. 3 Remedial Action.

**Date Issued**

8/7/2013

**Permit No.**

NATL-13-6P

**Permittee**

National Grid

**Type of Permit****Permit Requiring Insurance****Purpose**

Construct, install, operate, maintain, repair, replace, maintain, operate, and remove underground electric lines, with the necessary accessories and appurtenances thereto, on or across land owned by or under the jurisdiction of the Authority in the Town of Clay, County of Onondaga.

**Date Issued**

8/7/2013

**Permit No.**

NPP-13-1P-RIV

**Permittee**

Maid of the Mist Corporation

**Type of Permit****Permit Requiring Insurance****Purpose**

Construct and install "Lower Utilities" which include but not limited to electrical and telecommunications systems for the high bank.

**Date Issued**

8/12/2013

**Permit No.**

SLPP-13-13P

**Permittee**

St. Lawrence County Chamber of Commerce

**Type of Permit****Site Access Agreement****Purpose**

Authority shall provide Chamber and their invitees to access the Whittaker Park area and Hawkins Point Visitors Center areas which have been designated as the sites for the St. Lawrence County Chamber of Commerce's 11th Annual International Jr. Carp Fishing tournament to be held on August 16, and 17, 2013.

**Date Issued**

8/15/2013

**Permit No.**

NPP-13-12P

**Permittee**

Teslafile, Inc.

**Type of Permit**

**Special Use Permit**

**Purpose**

Filming of a scene for a movie feature film entitled "The American Side" which will be shot near the grain elevators at the First Buffalo Marina site.

**Date Issued**

8/19/2013

**Permit No.**

SLPP-13-15P

**Permittee**

Majestic Fireworks, Inc.

**Type of Permit**

**Site Access Agreement**

**Purpose**

Have a 15 minute fireworks exposition at the Whelan Park, which is leased to the Town of Louisville for a wedding reception on September 21, 2013, which will be held across the road from the said park.

**Date Issued**

9/20/2013

**Permit No.**

NPP-13-1P-RVI

**Permittee**

Maid of the Mist Corporation

**Type of Permit**

**Permit Requiring Insurance**

**Purpose**

Furnish, drill, install, and assemble a braced micro pile retaining wall at the locations and in the patterns as shown on the drawings provided in Package 2D.

**Date Issued**

9/24/2013

**Permit No.**

NATL-13-5P

**Permittee**

Rochester Gas & Electric

**Type of Permit**

**Permit Requiring Insurance**

**Purpose**

Construct, install, operate, maintain, repair, replace and remove a 34.5kV sub-transmission line, together with necessary accessories and appurtenances thereto (hereinafter referred to as the "Facilities"), perpendicularly across the Authority transmission line easement located in the town of Butler, County of Wayne, State of New York.

**Date Issued**

9/27/2013

**Permit No.**

NPP-13-3P

**Permittee**

Niagara University

**Type of Permit**

**Permit Requiring Insurance**

**Purpose**

A comprehensive permit consolidating permits previously granted to Niagara University with new permit rights in support of the NYPA-NU "Shared Campus Initiative".

**Date Issued**

9/27/2013

II. DISPOSITIONS – None this reporting period.

III. LEASING

1) Headquarters Leasing:

The Authority maintains facilities which it leases or subleases out to various tenants. The space is currently listed with our broker, CBRE, Inc., which has been actively marketing and showing the space.

Dahan & Nowick- WPO

During this reporting period, NYPA RE, together with the law department and corporate services, successfully negotiated and finalized the terms of a five year and one month lease with the law firm of Dahan & Nowick, LLP. This lease is based on the following terms:

<u>Tenant</u>	<u>Space</u>	<u>Expiration</u>	<u>Initial Annual Rental</u>
Dahan & Nowick, LLP.	2,675 feet on The 9 <sup>th</sup> floor	July 31, 2018	\$69,549.96

2) Leasing Support for Operating Projects and Transmission Facilities:

Duke Realty- WPO

This lease is in support of warehouse needs at the White Plains office and has been extended until February 28, 2014 at a prorated annual rental of \$48,375.43.

Wheatfield Office Park

Exercised an option to extend an existing lease for a period of one year commencing November 1, 2013 and ending October 31, 2014. This lease contains approximately 7,790 square feet and has an annual rental of \$24,149. This lease is in support of the LPGA LEM.

Griffon Riverfront Park

Exercised an option to extend an existing lease for a period of one year, from July 1, 2013 through June 30, 2014 at an annual rent of \$250.00. This is for a water level gauging station.

During this reporting period and at the request of the Project, Real Estate terminated the lease with Joseph Hosking for a radio tower. This termination was effective July 31, 2013.

IV. ST. LAWRENCE RELICENSING

St. Lawrence Lands Program

On October 31, 2001, the Authority filed an application for a new license, pursuant to Sections 4(e) and 15 of the Federal Power Act for the continued operation and maintenance of the 912 megawatt (MW) St. Lawrence F.D.R. Power Project. On February 6, 2003, the Authority filed a "Comprehensive Accord" (Settlement Agreement). On October 23, 2003, the Federal Energy Regulation Commission (hereinafter "FERC") issued an "Order Approving Settlement Agreements, Dismissing Complaint and Issuing New License" in which FERC *inter alia* approved the Settlement Agreement.

As part of the Settlement Agreement, the Authority agreed to several changes to the Project boundary. These proposed changes removed approximately 1,340 acres from the Project. With these changes, the Authority intends to transfer jurisdiction over approximately 741 acres at Galop Island State Park and environmentally sensitive lands in Lisbon and Waddington to other state agencies. The remaining approximately 599 acres of removed lands are in the process of being conveyed either to the affected local municipality or to adjoining landowners, if interested. For all conveyed lands, the Authority would retain a flowage easement and access easements where appropriate to provide necessary rights to traverse conveyed lands for Project-related activities.

Deeds Filed						
NYPA Surplus Map No	NYPA Surplus Parcel No	Surplus Parcel Acres	Current Owners name	Appraisal Value	Deed Filed in County Clerk	Name of Town
1177C	8507	0.15	Amedeo J. Cappione	\$2,250.00	6/27/2013	Louisville
1177C	8500	0.35	Stanley F. Gallagher and Paula P. Gallagher	\$5,250.00	9/13/2013	Louisville

During this period, two deeds were finalized and recorded in the County Clerk's office. This brings the total to 507 of the 599 acres that have been conveyed. Of the approximately 520 private parcels to be conveyed, approximately 427 parcels have been completed.

**GOVERNANCE**  
**SUPPLIER DIVERSITY PROGRAM**  
**July – September 2013**

In the quarter ending September 30, 2013, NYPA awarded approximately \$19.8 million or 22.21% of our reportable expenditures to New York State Certified Minority and Women-Owned Business Enterprises (M/WBEs). From January 2013 thru September 30th, 2013, the Authority awarded approximately \$58.6 Million or 23% of our reportable expenditures to New York State Certified M/WBEs. NYPA remains on track to meet and or exceed the 20% state mandated goal for fiscal year 2013-2014. Reportable expenditures continue to exclude specialty procurements (such as transformers, circuit breakers, turbine runners and other major electrical generating equipment) and commodities such as natural gas, where no M/WBEs are available to provide such goods or services. NYPA has, however, recently identified a NYS certified MWBE firm who is partnering with General Electric (“GE”) as a distributor. This firm is capable of providing the entire line of GE equipment and products. They also specialize in electric grid resilience and electrical generation management among other energy efficient services and products. Conducting business with this firm could yield significant opportunities for increasing NYPA’s annual M/WBE goal attainment.

In NYPA’s effort to expand the capacity and increase the number of qualified M/WBEs capable of supporting its electric utility infrastructure as well as NYPA’s energy management areas of construction, Gil Quiniones along with several other senior staff members met with a firm to explore the development of a M/WBE contractor mentorship program here at the Authority. Based on their presentation and NYPA’s research on the School Construction Authority and the Port Authority of NY/NJ, the two (2) premier mentorship programs in NYS, a recommendation was made to outline the scope of services to meet NYPA’s M/WBE contractor mentorship program objectives and develop an RFP for competitive bidding purposes. The Governance committee will be updated on the progress of this effort.

The State of New York hosted an M/WBE Forum in Albany, NY in October of 2013. NYPA was acknowledged by Mylan Denerstein, Counsel to Gov. Cuomo as one of three entities achieving significant increases in state contracting with M/WBE’s during fiscal year 2012-2013. NYPA more than tripled its goals. The 1000+ audience included numerous Heads of Agencies and Authorities, Executive Directors, CEO’s, Commissioners and many State Senators and Legislators.

In support of its robust supplier diversity initiatives, NYPA continues to actively participate in outreach programs and events with various M/WBE entities.

**INVENTORY STATISTICS****As of September 30, 2013**

Facility	2013	2012
Niagara	18,092,705	17,394,161
St. Lawrence	11,689,492	10,762,151
Blenheim-Gilboa	8,925,197	8,164,684
Clark Energy Center	5,309,158	5,179,569
Poletti Project <sup>1</sup>	8,126,764	6,236,507
Flynn Project <sup>2</sup>	11,159,220	13,294,178
500 MW Project	25,516,095	24,956,798
Total Stock Value	\$88,818,630	\$85,988,048

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<sup>1</sup> Includes \$1.3 million for net purchase of materials to support SCPP (\$482k) and Astoria Substation (\$856k) operations.

<sup>2</sup> Includes \$2.1 million reduction for Flynn degraded turbine blades and vanes that were scrapped 05/2013.

3. POWER AUTHORITY OF THE STATE OF NEW YORK  
 PROCUREMENT CONTRACTS  
 JANUARY THROUGH SEPTEMBER  
 2013  
 PAL 2879-a

FACILITY: WPO FOSSIL FUELS

CONTRACT NUMBER	PROVIDER NAME	CONTRACT DESCRIPTION	T Y P E	M O D E	R A T E	O P E R A T I O N S	M A I N T E N A N C E	B I D D E N	TOTAL CONTRACT AMOUNT	TOTAL EXPENDED TO DATE	AMOUNT EXPENDED 2013	CONTRACT BALANCE	DATE OF CONTRACT	O / C	PROJ COMPLETE DATE	DATE CONTRACT COMPLETE
FD-2008-10	UNITED ENERGY TRADING, LLC 215 Union Blvd. Suite 425 Lakewood CO 80228	Natural Gas Purchase	E	B		F		39	\$82,058,457	\$82,058,457	\$26,699,554	\$0	11/19/2008	O	*	
FD-2007-09	INUPIAT ENERGY ( Formerly ENJET, INC ) 5373 West Alabama- Suite 502 Houston, TX 77056	Natural Gas Purchase	E	B		F		39	\$148,511,468	\$148,511,468	\$0	\$0	3/21/2007	O	*	
FD-2001-23	SEMPRA 58 Commerce Road Stamford, CT 06902	Natural Gas Purchase	E	B		F		39	\$168,290,671	\$168,290,671	\$0	\$0	12/01/01	O	*	
FD-2009-03	nationalgrid -LI (Flynn) 100 E Old County Rd Hicksville, NY 11801	Balancing Service	E	S		O	F		\$5,225,000	\$5,225,000	\$825,000	\$0	01/01/09	O	12/31/14	
FD-2009-04	nationalgrid -LI (Flynn) 100 E Old County Rd Hicksville, NY 11801	Transport Service	E	S		O	F		\$11,804,759	\$11,804,759	\$2,122,424	\$0	01/01/09	O	12/31/14	
FD-2009-05	nationalgrid-NY(GKP) One Metrotech Center Brooklyn, NY 11201	Transport Service	E	S		O	F		\$6,923,481	\$6,923,481	\$1,267,287	\$0	03/01/09	O	03/31/14	
FD-2009-06	nationalgrid -LI(Brentwood) 100 E Old County Rd Hicksville, NY 11801	Transport Service	E	S		O	F		\$837,517	\$837,517	\$207,005	\$0	03/01/09	O	03/31/14	
FD-2009-07	CONOCO PHILLIPS COMPANY 600 North Dairy Ashford Houston, TX 77079	Natural Gas Purchase	E	B		F		39	\$31,365,498	\$31,365,498	\$2,443,028	\$0	05/01/09	O	*	
FD-2010-01	JLA ENERGY LLC Two Riverway, Suite 730 Houston, Tx 77056	Natural Gas Purchase	E	B		F		39	\$1,286,927	\$1,286,927	\$276,500	\$0	02/01/10	O	*	
FD-2010-02	IBERDROLA RENEWABLES 1125 N.W. Couch St. Suite 700 Portland, OR 97209	Natural Gas Purchase	E	B		F		39	\$14,775,294	\$14,775,294	\$754,320	\$0	08/09/10	O		
FD-2002-11	PSEG ENERGY RESOURCES 80 Park Plaza Fl T19 Newark, NJ 07102	Natural Gas Purchase	E	B		F		39	\$11,017,721	\$11,017,721	\$0	\$0	11/01/02	O	*	
FD-2003-09	J. ARON 85 Broad Street New York, NY 10004	Natural Gas Purchase	E	B		F		39	\$83,241,402	\$83,241,402	\$0	\$0	04/22/03	O	*	
FD-2003-10	BP ENERGY COMPANY 501 WestLake Park Houston, TX 77253	Natural Gas Purchase	E	B		F		39	\$205,598,782	\$205,598,782	\$0	\$0	10/01/04	O	*	
FD-2003-12	CONSTELLATION POWER SOURCE 111 MARKET Place BALTIMORE, MD 21202	Natural Gas Purchase	E	B		F		39	\$55,860,651	\$55,860,651	\$0	\$0	06/09/03	O	*	
FD-2003-15	VIRGINIA POWER ENERGY MARKETING P. O. Box 25773 Richmond, VA 23360	Natural Gas Purchase	E	B		F		39	\$629,265,426	\$629,265,426	\$38,864,956	\$0	07/11/03	O	*	

3. POWER AUTHORITY OF THE STATE OF NEW YORK  
 PROCUREMENT CONTRACTS  
 JANUARY THROUGH SEPTEMBER  
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 PAL 2879-a

FACILITY: WPO FOSSIL FUELS

CONTRACT NUMBER	PROVIDER NAME	CONTRACT DESCRIPTION	T P E	Y O A	M R A	R A A	O P A	W P A	B I D S	TOTAL CONTRACT AMOUNT	TOTAL EXPENDED TO DATE	AMOUNT EXPENDED 2013	CONTRACT BALANCE	DATE OF CONTRACT	O / C	PROJ COMPLETE DATE	DATE CONTRACT COMPLETE
FD-2004-08	SHELL ENERGY NA (formerly) CORAL ENERGY 909 Fannin Plaza Houston, TX 77010	Natural Gas Purchase	E	B			F		39	\$74,341,995	\$74,341,995	\$10,024,112	\$0	04/01/04	O	*	
FD-2004-09	SOUTHWEST ENERGY LP Two Greenway Plaza Houston, TX 77046	Natural Gas Purchase	E	B			F		39	\$180,117,537	\$180,117,537	\$0	\$0	06/01/04	O	*	
FD-2004-14	ONEOK ENERGY SERVICES CO. 100 West Fifth Street Tulsa, OK 74103	Natural Gas Purchase	E	B			F		39	\$95,732,355	\$95,732,355	\$0	\$0	11/01/04	O	*	
FD-2005-02	BOSS ENERGY LTD 20445 State Hwy 249 Houston, TX 77070	Natural Gas Purchase	E	B			F		39	\$73,839,766	\$73,839,766	\$0	\$0	01/25/05	O	*	
FD-2005-12	NJR ENERGY SERVICES CO. 1415 Wyckoff Road Wall, NJ 07719	Natural Gas Purchase	E	B			F		39	\$252,559,515	\$252,559,515	\$46,566,403	\$0	09/01/05	O	*	
FD-2006-03	UBS AG / UBS ENERGY LLC 677 Washington Blvd Stamford, CT 06901	Natural Gas Purchase	E	B			F		39	\$126,500,213	\$126,500,213	\$0	\$0	07/28/06	O	*	
FD-2006-05	PETROCOM VENTURES LTD 1616 S Voss Suite 945 Houston, TX 77057	Natural Gas Purchase	E	B			F		39	\$44,715,916	\$44,715,916	\$0	\$0	07/21/06	O	*	
FD-2006-07	TEXAS GAS TRANSMISSION 3800 Frederica Street Owensboro, KY 42301	Firm Gas Transport/Demand Agreement	S	S			F			\$25,479,947	\$25,479,947	\$2,392,215	\$0	07/01/06	O	10/31/17	
FD-2006-08	DOMINION TRANSMISSION 120 Tredegar Street Richmond, VA 23219	Firm Gas Transport/Demand Agreement	S	S			F			\$18,896,083	\$18,896,083	\$1,816,856	\$0	07/01/06	O	10/31/17	
FD-2006-09	TRANSCONTINENTAL GAS PIPELINE (TGPL. 5316) 2800 Post Oak Blvd Houston, TX 77056	Firm Gas Transport/Demand Agreement	S	S			F			\$11,120,062	\$11,120,062	\$1,273,583	\$0	08/04/06	O	03/31/17	
FD-2006-12	TENASKA MARKETING VENTURES 11718 Nicholas Street Omaha, NE 68154	Natural Gas Purchase	E	B			F		39	\$23,092,518	\$23,092,518	\$8,235,595	\$0	10/01/06	O	*	
FD-2007-08	ASSOCIATED ENERGY SERVICES (Formerly Spark Energy Gas) 3010 Briarpark Dr. Suite 550 Houston, TX 77042	Natural Gas Purchase	E	B			F		39	\$129,102,027	\$129,102,027	\$25,531,106	\$0	02/14/07	O	*	
FD-2007-10	ASTORIA GENERATING COMPANY, LP 18-01 20th Avenue Astoria, New York 11105	Oil Delivery Labor Fees	S	S			F			\$41,335	\$41,335	\$7,033	\$0	7/17/2006	O	*	
FD-2008-01	eCORP ENERGY MARKETING TEN THOUSAND MEMORIAL Houston, TX 77024-3410	Natural Gas Purchase	E	B			F		39	\$72,450	\$72,450	\$0	\$0	02/01/08	O	*	
FD-2008-02	CIMA-ENERGY LTD. 1221 McKinney Houston, TX 77010	Natural Gas Purchase	E	B			F		39	\$14,597,304	\$14,597,304	\$0	\$0	03/01/08	O	*	
FD-2008-05	SEQUENT ENERGY MGT 1200 Smith Street Houston, TX 77010	Natural Gas Purchase	E	B			F		39	\$36,067,470	\$36,067,470	\$0	\$0	03/01/08	O	*	

3. POWER AUTHORITY OF THE STATE OF NEW YORK  
 PROCUREMENT CONTRACTS  
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FACILITY: WPO FOSSIL FUELS

CONTRACT NUMBER	PROVIDER NAME	CONTRACT DESCRIPTION	T P E	M O A	R A	O P A	M W	B I D	TOTAL CONTRACT AMOUNT	TOTAL EXPENDED TO DATE	AMOUNT EXPENDED 2013	CONTRACT BALANCE	DATE OF CONTRACT	O / C	PROJ COMPLETE DATE	DATE CONTRACT COMPLETE
FD-1990-33	NORTHVILLE INDUSTRIES CORP (Formerly TOSCO PIPELINE COMPANY) 25 Melville Park Rd Melville, NY 11747	Oil Storage Agmt (SETAUKET)	E	S		F			\$12,875,042	\$12,875,042	\$405,815	\$0	04/01/94	O	09/30/13	
FD-1990-34	NORTHVILLE INDUSTRIES CORP (Formerly TOSCO PIPELINE COMPANY) 25 Melville Park Rd Melville, NY 11747	Oil Storage Agmt. (HOLTSVILLE)	E	S		F			\$4,042,020	\$4,042,020	\$101,453	\$0	10/01/93	O	09/30/13	
FS-1994-17	MERRILL LYNCH (Formerly ENTERGY-KOCH TRADING (FORMERLY KOCH)) 20 E Greenway Plaza Houston, TX 77046	Natural Gas Purchase	E	B		F		39	\$270,825,075	\$270,825,075	\$1,195,378	\$0	07/01/94	O	*	
FS-1997-22	COLONIAL ENERGY 2 Riverway Suite 2000 Houston, TX 77056	Natural Gas Purchase	E	B		F		39	\$447,833,959	\$447,833,959	\$17,472,628	\$0	05/01/97	O	*	
FD-2008-09	CON EDISON, INC. (Balancing & Demand) 4 Irving Place New York, NY 10003	Transport & Balancing Service	E	S		F			\$22,484,498	\$22,484,498	\$2,823,035	\$0	08/01/08	O	04/30/16	
FD-2008-06	MACQUARIE COOK ENERGY 10100 Santa Monica Blvd los Angeles, CA 90067	Natural Gas Purchase	E	B		F		39	\$47,096,447	\$47,096,447	\$572,075	\$0	07/01/08	O	*	
FD-2008-12	eSERVICES, LLC 4461 Cox Road, Suite 113, Glenn Allen, Virginia 23060	Natural Gas Purchase	E	B		F		39	\$520,725	\$520,725	\$0	\$0	12/01/08	O	*	
FD-2011-01	BG ENERGY MERCHANTS, LLC 5444 Westheimer Suite 1200 Houston, Tx 77056	Natural Gas Purchase	E	B		F		39	\$57,789,912	\$57,789,912	\$25,211,546	\$0	03/01/11	O	*	
FD-2011-02	YAKA ENERGY, LLC 46411 Timine Way Pendleton, OR 97801	Natural Gas Purchase	E	B		F	X	39	\$12,266,833	\$12,266,833	\$1,782,733	\$0	03/01/11	O	*	
FD-2011-06	EDF Trading N. America, LLC 4700 West Sam Houston Pky North Suite 25 Houston, Tx 77041	Natural Gas Purchase	E	B		F		39	\$11,185,173	\$11,185,173	\$5,432,012	\$0	06/01/11	O	*	
FD-2012-02	REPSOL ENERGY NORTH AMERICA 2001 Timberloch Place Suite 3000 The Woodlands, Tx 77380	Natural Gas Purchase	E	B		F		39	\$12,716,727	\$12,716,727	\$11,319,067	\$0	11/13/12	O	*	
FD-2011-04	SAYBOLT LP Laboratories Windfern Rd, Houston Texas 77040 Core 6316	Oil Inspectors	S	B		F			\$48,187	\$48,187	\$16,869	\$0	01/01/11	O	12/31/13	
FD-2011-11	STATOIL NATURAL GAS, LLC 120 Long Ridge Road, Stamford CT 06902	Natural Gas Purchase	E	B		F		39	\$1,047,506	\$1,047,506	\$1,047,506	\$0	04/18/12	O	*	

3. POWER AUTHORITY OF THE STATE OF NEW YORK  
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FACILITY: WPO FOSSIL FUELS

CONTRACT NUMBER	PROVIDER NAME	CONTRACT DESCRIPTION	T P E	M O A	R A	O P A	M W E	B I S	TOTAL CONTRACT AMOUNT	TOTAL EXPENDED TO DATE	AMOUNT EXPENDED 2013	CONTRACT BALANCE	DATE OF CONTRACT	O / C	PROJ COMPLETE DATE	DATE CONTRACT COMPLETE
FD-2011-12	CHESAPEAKE ENERGY MARKING, INC 900NW 63 Road, Oklahoma City OK 73118	Natural Gas Purchase	E	B		F		39	\$1,047,507	\$962,100	\$962,100		05/28/13	O	*	
FD-2013-11	GEORGE E WARREN CO 3001 Ocean Drive Vero Beach, FL 32963	OIL PURCHASE	S	B		F		11	\$2,608,818	\$2,608,818	\$2,608,818	\$0	01/25/13	C		01/30/13
FD-2013-02	PHILLIP 66 CO 600 N. Dairy Ashford Houston, TX 77079	OIL PURCHASE	S	B		F		11	\$2,833,242	\$2,833,242	\$2,833,242	\$0	01/25/13	C		02/06/13
FD-2013-03	NORTHVILLE INDUST. CORP NIC 25 Melville Park Road Melville, NY 11747	OIL PURCHASE	S	B		F		11	\$985,223	\$985,223	\$985,223	\$0	01/25/13	C		01/31/13
FD-2013-04	NORTHVILLE INDUST. CORP NIC 25 Melville Park Road Melville, NY 11748	OIL PURCHASE	S	B		F		11	\$2,565,873	\$2,565,873	\$2,565,873	\$0	02/14/13	C		02/28/13
FD-2013-05	APEX OIL CO INC 8235 Forsyth Blvd Suite 400 Saint Louis, Mo 63105	OIL PURCHASE	S	B		F		11	\$4,336,946	\$4,336,946	\$4,336,946	\$0	02/20/13	C		03/04/13

<b>FUELS TOTALS</b>	<b>\$3,477,449,260</b>	<b>\$3,477,363,853</b>	<b>\$250,979,296</b>
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NOTE:

\* Contract continues until terminated by NYPA or Counterparty upon specified notice.

(1) Natural gas purchase transactions are effected, as needed, based on current prices and requirements, using the previously agreed upon general terms and conditions.

3. POWER AUTHORITY OF THE STATE OF NEW YORK  
 PROCUREMENT CONTRACTS  
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FACILITY: WPO FOSSIL FUELS

CONTRACT NUMBER	PROVIDER NAME	CONTRACT DESCRIPTION	T Y E	M O A	R A A	O P A	M W B E	B I D S	TOTAL CONTRACT AMOUNT	TOTAL EXPENDED TO DATE	AMOUNT EXPENDED GAS 2013	AMOUNT EXPENDED OIL 2013	CONTRACT BALANCE	DATE OF CONTRACT	O / C	PROJ COMPLETE DATE	DATE CONTRACT COMPLETE
FD-2008-10	UNITED ENERGY TRADING, LLC 215 Union Blvd. Suite 425 Lakewood CO 80228	Natural Gas Purchase	E	B		F		39	\$82,058,457	\$82,058,457	\$26,699,554		\$0	11/19/2008	O	*	
FD-2007-09	INUPIAT ENERGY ( Formerly ENJET, INC ) 5373 West Alabama- Suite 502 Houston, TX 77056	Natural Gas Purchase	E	B		F		39	\$148,511,468	\$148,511,468	\$0		\$0	3/21/2007	O	*	
FD-2001-23	SEMPRA 58 Commerce Road Stamford, CT 06902	Natural Gas Purchase	E	B		F		39	\$168,290,671	\$168,290,671	\$0		\$0	12/01/01	O	*	
FD-2009-03	nationalgrid -LI (Flynn) 100 E Old County Rd Hicksville, NY 11801	Balancing Service	E	S		O	F		\$5,225,000	\$5,225,000	\$825,000		\$0	01/01/09	O		12/31/14
FD-2009-04	nationalgrid -LI (Flynn) 100 E Old County Rd Hicksville, NY 11801	Transport Service	E	S		O	F		\$11,804,759	\$11,804,759	\$2,122,424		\$0	01/01/09	O		12/31/14
FD-2009-05	nationalgrid-NY(GKP) One Metrotech Center Brooklyn, NY 11201	Transport Service	E	S		O	F		\$6,923,481	\$6,923,481	\$1,267,287		\$0	03/01/09	O		03/31/14
FD-2009-06	nationalgrid -LI(Brentwood) 100 E Old County Rd Hicksville, NY 11801	Transport Service	E	S		O	F		\$837,517	\$837,517	\$207,005		\$0	03/01/09	O		03/31/14
FD-2009-07	CONOCO PHILLIPS COMPANY 600 North Dairy Ashford Houston, TX 77079	Natural Gas Purchase	E	B		F		39	\$31,365,498	\$31,365,498	\$2,443,028		\$0	05/01/09	O	*	
FD-2010-01	JLA ENERGY LLC Two Riverway, Suite 730 Houston, Tx 77056	Natural Gas Purchase	E	B		F		39	\$1,286,927	\$1,286,927	\$276,500		\$0	02/01/10	O	*	
FD-2010-02	IBERDROLA RENEWABLES 1125 N.W. Couch St. Suite 700 Portland, OR 97209	Natural Gas Purchase	E	B		F		39	\$14,775,294	\$14,775,294	\$754,320		\$0	08/09/10	O		
FD-2002-11	PSEG ENERGY RESOURCES 80 Park Plaza Fl T19 Newark, NJ 07102	Natural Gas Purchase	E	B		F		39	\$11,017,721	\$11,017,721	\$0		\$0	11/01/02	O	*	
FD-2003-09	J. ARON 85 Broad Street New York, NY 10004	Natural Gas Purchase	E	B		F		39	\$83,241,402	\$83,241,402	\$0		\$0	04/22/03	O	*	
FD-2003-10	BP ENERGY COMPANY 501 WestLake Park Houston, TX 77253	Natural Gas Purchase	E	B		F		39	\$205,598,782	\$205,598,782	\$0		\$0	10/01/04	O	*	
FD-2003-12	CONSTELLATION POWER SOURCE 111 MARKET Place BALTIMORE, MD 21202	Natural Gas Purchase	E	B		F		39	\$55,860,651	\$55,860,651	\$0		\$0	06/09/03	O	*	
FD-2003-15	VIRGINIA POWER ENERGY MARKETING P. O. Box 25773 Richmond, VA 23360	Natural Gas Purchase	E	B		F		39	\$629,265,426	\$629,265,426	\$38,864,956		\$0	07/11/03	O	*	

3. POWER AUTHORITY OF THE STATE OF NEW YORK  
 PROCUREMENT CONTRACTS  
 JANUARY THROUGH SEPTEMBER  
 2013  
 PAL 2879-a

FACILITY: WPO FOSSIL FUELS

CONTRACT NUMBER	PROVIDER NAME	CONTRACT DESCRIPTION	T P E	Y O A	M A	R A	O P A	N A	P A	M W B E	B I D S	TOTAL CONTRACT AMOUNT	TOTAL EXPENDED TO DATE	AMOUNT EXPENDED GAS 2013	AMOUNT EXPENDED OIL 2013	CONTRACT BALANCE	DATE OF CONTRACT	O / C	PROJ COMPLETE DATE	DATE CONTRACT COMPLETE
FD-2004-08	SHELL ENERGY NA (formerly) CORAL ENERGY 909 Fannin Plaza Houston, TX 77010	Natural Gas Purchase	E	B					F		39	\$74,341,995	\$74,341,995	\$10,024,112		\$0	04/01/04	O	*	
FD-2004-09	SOUTHWEST ENERGY LP Two Greenway Plaza Houston, TX 77046	Natural Gas Purchase	E	B					F		39	\$180,117,537	\$180,117,537	\$0		\$0	06/01/04	O	*	
FD-2004-14	ONEOK ENERGY SERVICES CO. 100 West Fifth Street Tulsa, OK 74103	Natural Gas Purchase	E	B					F		39	\$95,732,355	\$95,732,355	\$0		\$0	11/01/04	O	*	
FD-2005-02	BOSS ENERGY LTD 20445 State Hwy 249 Houston, TX 77070	Natural Gas Purchase	E	B					F		39	\$73,839,766	\$73,839,766	\$0		\$0	01/25/05	O	*	
FD-2005-12	NJR ENERGY SERVICES CO. 1415 Wyckoff Road Wall, NJ 07719	Natural Gas Purchase	E	B					F		39	\$252,559,515	\$252,559,515	\$46,566,403		\$0	09/01/05	O	*	
FD-2006-03	UBS AG / UBS ENERGY LLC 677 Washington Blvd Stamford, CT 06901	Natural Gas Purchase	E	B					F		39	\$126,500,213	\$126,500,213	\$0		\$0	07/28/06	O	*	
FD-2006-05	PETROCOM VENTURES LTD 1616 S Voss Suite 945 Houston, TX 77057	Natural Gas Purchase	E	B					F		39	\$44,715,916	\$44,715,916	\$0		\$0	07/21/06	O	*	
FD-2006-07	TEXAS GAS TRANSMISSION 3800 Frederica Street Owensboro, KY 42301	Firm Gas Transport/Demand Agreement	S	S					F			\$25,479,947	\$25,479,947	\$2,392,215		\$0	07/01/06	O	10/31/17	
FD-2006-08	DOMINION TRANSMISSION 120 Tredegar Street Richmond, VA 23219	Firm Gas Transport/Demand Agreement	S	S					F			\$18,896,083	\$18,896,083	\$1,816,856		\$0	07/01/06	O	10/31/17	
FD-2006-09	TRANSCONTINENTAL GAS PIPELINE (TGPL. 5316) 2800 Post Oak Blvd Houston, TX 77056	Firm Gas Transport/Demand Agreement	S	S					F			\$11,120,062	\$11,120,062	\$1,273,583		\$0	08/04/06	O	03/31/17	
FD-2006-12	TENASKA MARKETING VENTURES 11718 Nicholas Street Omaha, NE 68154	Natural Gas Purchase	E	B					F		39	\$23,092,518	\$23,092,518	\$8,235,595		\$0	10/01/06	O	*	
FD-2007-08	ASSOCIATED ENERGY SERVICES (Formerly Spark Energy Gas) 3010 Briorpark Dr. Suite 550 Houston, TX 77042	Natural Gas Purchase	E	B					F		39	\$129,102,027	\$129,102,027	\$25,531,106		\$0	02/14/07	O	*	
FD-2007-10	ASTORIA GENERATING COMPANY, LP 18-01 20th Avenue Astoria, New York 11105	Oil Delivery Labor Fees	S	S					F			\$41,335	\$41,335		\$7,033	\$0	7/17/2006	O	*	
FD-2008-01	eCORP ENERGY MARKETING TEN THOUSAND MEMORIAL Houston, TX 77024-3410	Natural Gas Purchase	E	B					F		39	\$72,450	\$72,450	\$0		\$0	02/01/08	O	*	
FD-2008-02	CIMA-ENERGY LTD. 1221 McKinney Houston, Tx 77010	Natural Gas Purchase	E	B					F		39	\$14,597,304	\$14,597,304	\$0		\$0	03/01/08	O	*	
FD-2008-05	SEQUENT ENERGY MGT 1200 Smith Street Houston, TX 77010	Natural Gas Purchase	E	B					F		39	\$36,067,470	\$36,067,470	\$0		\$0	03/01/08	O	*	

3. POWER AUTHORITY OF THE STATE OF NEW YORK  
 PROCUREMENT CONTRACTS  
 JANUARY THROUGH SEPTEMBER  
 2013  
 PAL 2879-a

FACILITY: WPO FOSSIL FUELS

CONTRACT NUMBER	PROVIDER NAME	CONTRACT DESCRIPTION	T P E	Y O A	M A	R A	O A	N A	P A	M A	B A	I A	S	TOTAL CONTRACT AMOUNT	TOTAL EXPENDED TO DATE	AMOUNT EXPENDED GAS 2013	AMOUNT EXPENDED OIL 2013	CONTRACT BALANCE	DATE OF CONTRACT	O / C	PROJ COMPLETE DATE	DATE CONTRACT COMPLETE
FD-1990-33	NORTHVILLE INDUSTRIES CORP (Formerly TOSCO PIPELINE COMPANY) 25 Melville Park Rd Melville, NY 11747	Oil Storage Agmt (SETAUKET)	E	S					F					\$12,469,227	\$12,469,227		\$405,815	\$0	04/01/94	O	09/30/13	
FD-1990-34	NORTHVILLE INDUSTRIES CORP (Formerly TOSCO PIPELINE COMPANY) 25 Melville Park Rd Melville, NY 11747	Oil Storage Agmt. (HOLTSVILLE)	E	S					F					\$4,042,020	\$4,042,020		\$101,453	\$0	10/01/93	O	09/30/13	
FS-1994-17	MERRILL LYNCH (Formerly ENTERGY-KOCH TRADING (FORMERLY KOCH)) 20 E Greenway Plaza Houston, TX 77046	Natural Gas Purchase	E	B					F			39		\$270,825,075	\$270,825,075	\$1,195,378		\$0	07/01/94	O	*	
FS-1997-22	COLONIAL ENERGY 2 Riverway Suite 2000 Houston, TX 77056	Natural Gas Purchase	E	B					F			39		\$447,833,959	\$447,833,959	\$17,472,628		\$0	05/01/97	O	*	
FD-2008-09	CON EDISON, INC. (Balancing & Demand) 4 Irving Place New York, NY 10003	Transport & Balancing Service	E	S					F					\$22,484,498	\$22,484,498	\$2,823,035		\$0	08/01/08	O	04/30/16	
FD-2008-06	MACQUARIE COOK ENERGY 10100 Santa Monica Blvd los Angeles, CA 90067	Natural Gas Purchase	E	B					F			39		\$47,096,447	\$47,096,447	\$572,075		\$0	07/01/08	O	*	
FD-2008-12	eSERVICES, LLC 4461 Cox Road, Suite 113, Glenn Allen, Virginia 23060	Natural Gas Purchase	E	B					F			39		\$520,725	\$520,725	\$0		\$0	12/01/08	O	*	
FD-2011-01	BG ENERGY MERCHANTS, LLC 5444 Westheimer Suite 1200 Houston, Tx 77056	Natural Gas Purchase	E	B					F			39		\$57,789,912	\$57,789,912	\$25,211,546		\$0	03/01/11	O	*	
FD-2011-02	YAKA ENERGY, LLC 46411 Timine Way Pendleton, OR 97801	Natural Gas Purchase	E	B					F	X		39		\$12,266,833	\$12,266,833	\$1,782,733		\$0	03/01/11	O	*	
FD-2011-06	EDF Trading N. America, LLC 4700 West Sam Houston Pky North Suite 25 Houston, Tx 77041	Natural Gas Purchase	E	B					F			39		\$11,185,173	\$11,185,173	\$5,432,012		\$0	06/01/11	O	*	
FD-2012-02	REPSOL ENERGY NORTH AMERICA 2001 Timberloch Place Suite 3000 The Woodlands, Tx 77380	Natural Gas Purchase	E	B					F			39		\$12,716,727	\$12,716,727	\$11,319,067		\$0	11/13/12	O	*	
FD-2011-04	SAYBOLT LP Laboratories Windfern Rd, Houston Texas 77040	Oil Inspectors	S	B					F					\$48,187	\$48,187		\$16,869	\$0	01/01/11	O	12/31/13	
FD-2011-11	STATOIL NATURAL GAS, LLC 120 Long Ridge Road, Stamford CT 06902	Natural Gas Purchase	E	B					F			39		\$1,047,506	\$1,047,506	\$1,047,506			04/18/12	O	*	

3. POWER AUTHORITY OF THE STATE OF NEW YORK  
 PROCUREMENT CONTRACTS  
 JANUARY THROUGH SEPTEMBER  
 2013  
 PAL 2879-a

FACILITY: WPO FOSSIL FUELS

CONTRACT NUMBER	PROVIDER NAME	CONTRACT DESCRIPTION	T P E	Y O A	M O A	R N A	O P A	M W B E	B I S	TOTAL CONTRACT AMOUNT	TOTAL EXPENDED TO DATE	AMOUNT EXPENDED GAS 2013	AMOUNT EXPENDED OIL 2013	CONTRACT BALANCE	DATE OF CONTRACT	O / C	PROJ COMPLETE DATE	DATE CONTRACT COMPLETE
FD-2011-12	CHESAPEAKE ENERGY MARKING, INC 900NW 63 Road, Oklahoma City OK 73118	Natural Gas Purchase	E	B		F			39	\$1,047,507	\$962,100	\$962,100			05/28/13	O	*	
FD-2013-11	GEORGE E WARREN CO 3001 Ocean Drive Vero Beach, FL 32963	OIL PURCHASE	S	B		F			11	\$2,608,818	\$2,608,818		\$2,608,819	\$0	01/25/13	C		01/30/13
FD-2013-02	PHILLIP 66 CO 600 N. Dairy Ashford Houston, TX 77079	OIL PURCHASE	S	B		F			11	\$2,833,242	\$2,833,242		\$2,833,242	\$0	01/25/13	C		02/06/13
FD-2013-03	NORTHVILLE INDUST. CORP NIC 25 Melville Park Road Melville, NY 11747	OIL PURCHASE	S	B		F			11	\$985,223	\$985,223		\$985,224	\$0	01/25/13	C		01/31/13
FD-2013-04	NORTHVILLE INDUST. CORP NIC 25 Melville Park Road Melville, NY 11748	OIL PURCHASE	S	B		F			11	\$2,565,873	\$2,565,873		\$2,565,873	\$0	02/14/13	C		02/28/13
FD-2013-05	APEX Oil CO INC 8235 Forsyth Blvd Suite 400 Saint Louis, Mo 63105	OIL PURCHASE	S	B		F			11	\$4,336,946	\$4,336,946		\$4,336,946	\$0	02/20/13	C		03/04/13

**FUELS TOTALS** \$3,477,043,445    \$3,476,958,038    \$237,118,024    \$13,861,274

NOTE:  
 \* Contract continues until terminated by NYPA or Counterparty upon specified notice.  
 (1) Natural gas purchase transactions are effected, as needed, based on current prices and requirements, using the previously agreed upon general terms and conditions.

## CORPORATE FINANCE ADDENDUM

PROVIDER NAME	CONTRACT DESCRIPTION	T Y P E	M O A	R A	O A	P A	M B I D S	TOTAL CONTRACT AMOUNT	TOTAL EXPENDED TO DATE	AMOUNT EXPENDED 2013	CONTRACT BALANCE	DATE OF CONTRACT	O \ C	PROJ COMPLETE DATE	DATE CONTRACT COMPLETE
Bank of New York (formely JPMorgan) 101 Barclay Street New York, NY 10286	Trustee/Paying Agent Services on Bonds	S	C	9	N			421,801.54	421,801.54	-	-	2/24/1998	O	*	
Bank of New York (formely JPMorgan) 101 Barclay Street New York, NY 10286	Trustee- ARTN	S	C	9	N			222,271.50	222,271.50	10,673.50	-	9/2/2003	O	3/1/2020	
Bank of New York (formerly JPMorgan) 101 Barclay Street New York, NY 10286	Trustee- Commercial Paper	S	C	9	N			127,350.96	127,350.96	5,759.94	-	10/2/2006	O	*	
Bank of Nova Scotia One Liberty Plaza, 26th Fl. New York, NY 10006	Revolving Line of Credit for ARTN	S	C	9	N		6	1,300,900.83	1,023,460.54	71,123.78	277,440.29	9/4/2007	O	9/1/2015	
Barclays Capital (assigned from Lehman Bros.) ** 745 Seventh Avenue New York, NY 10019	Re-marketing Agent CP-1	S	C	9	N			1,383,667.74	1,383,667.74	92,848.32	-	7/14/1994	O	*	
Barclays Capital (assigned from Lehman Bros.) 745 Seventh Avenue New York, NY 10019	Re-marketing Agent CP-3	S	2	9	N			10,775.00	10,775.00	-	-	6/26/2008	O	*	
Citigroup 390 Greenwich Street New York, NY 10013	Re-marketing Agent CP-2	S	C	9	N			463,712.01	463,712.01	9,928.75	-	12/4/1997	O	*	
Goldman, Sachs & Co. 85 Broad Street New York, NY 10004-2456	Re-marketing Agent CP-2 and 3	S	C	9	N			962,252.59	962,252.59	27,693.13	-	12/4/1997	O	*	

## CORPORATE FINANCE ADDENDUM

PROVIDER NAME	CONTRACT DESCRIPTION	T Y P E	M O A	R A	O A	N P	P A	M B E	B I D S	TOTAL CONTRACT AMOUNT	TOTAL EXPENDED TO DATE	AMOUNT EXPENDED 2013	CONTRACT BALANCE	DATE OF CONTRACT	O \ C	PROJ COMPLETE DATE	DATE CONTRACT COMPLETE
Goldman, Sachs & Co. 85 Broad Street New York, NY 10004-2456	Re-marketing EMCP	S	C	9	N					780,490.91	780,490.91	59,748.10	-	3/4/2003	O	*	
Goldman, Sachs & Co. ** 85 Broad Street New York, NY 10004-2456	ART Notes Remarketing	S	C	9	N					2,159,135.00	2,159,135.00	153,700.00	-	5/15/1985	O	3/1/2020	
JPMorgan Chase 270 Park Avenue New York, NY 10017-2070	Re-marketing Agent CP-2	S	C	9	N					224,800.24	224,800.24	9,774.90	-	6/28/2001	O	*	
JPMorgan Chase 270 Park Avenue New York, NY 10017-2070	Re-marketing Agent CP-3	S	C	9	N					597,795.04	597,795.04	8,712.32	-	12/4/1997	O	*	
JPMorgan Chase 270 Park Avenue New York, NY 10017-2070	Escrow Agent POCR/CASP & LMEI Funds	S	C	9	N					512,432.70	512,432.70	12,063.55	-	5/30/1996	O	*	
JPMorgan Chase 270 Park Avenue New York, NY 10017-2070	Revolving Line of Credit 2011	S	C	9	N			2		10,928,888.89	9,826,597.23	2,726,041.67	1,102,291.66	1/20/2011	O	1/20/2014	

## CORPORATE FINANCE ADDENDUM

PROVIDER NAME	CONTRACT DESCRIPTION	T P E	Y A	M A	R A	O A	N A	P A	M E	B S	TOTAL CONTRACT AMOUNT	TOTAL EXPENDED TO DATE	AMOUNT EXPENDED 2013	CONTRACT BALANCE	DATE OF CONTRACT	O C	PROJ COMPLETE DATE	DATE CONTRACT COMPLETE	
Morgan Stanley 1221 Avenue of the Americas New York, NY 10020	Re-marketing Agent CP-1	S	C	9	N					7	217,908.27	217,908.27	49,979.47	-	3/31/2009	O	*		
RBC Capital Markets ** 1211 Avenue of the Americas New York, NY 10036	Re-marketing Agent CP-1	S	C	9	N						349,290.68	349,290.68	79,233.55	-	7/14/1994	O	*		
CORPORATE FINANCE TOTALS											<u>20,663,473.90</u>	<u>19,283,741.95</u>	<u>3,317,280.98</u>	<u>1,379,731.95</u>					

## NOTES:

\* Contract continues until terminated by NYPA or Counterparty upon specified notice

\*\* Expense History only available from 1997

POWER AUTHORITY OF THE STATE OF NEW YORK  
2012 ANNUAL REPORT OF PROCUREMENT CONTRACTS  
REQUIRED BY N.Y. PUBLIC AUTHORITIES LAW, SECTION 2879

CORPORATE FINANCE: WPO

TOTAL NUMBER OF CONTRACTS	16	
TOTAL CONTRACT VALUE		\$20,663,474
TOTAL EXPENDED TO DATE		\$19,283,742
TOTAL EXPENDED IN REPORT YEAR		\$3,317,281
TOTAL CONTRACT BALANCE		\$1,379,732

STATUS SUMMARY

TOTAL NUMBER OPEN CONTRACTS	16
TOTAL NUMBER CLOSED CONTRACTS	0

METHOD OF AWARD SUMMARY

TOTAL NUMBER COMP BID	
TOTAL NUMBER SOLE SOURCE/SINGLE SOURCE	1
TOTAL NUMBER COMP SEARCH	15
TOTAL NUMBER MWBE/SBE	

COMMITMENT TYPE SUMMARY

TOTAL NUMBER ARCHITECTURAL & ENGINEERING SERVICES	
TOTAL NUMBER CONSTRUCTION	
TOTAL NUMBER EQUIP/GOODS/COMMODITY	
TOTAL NUMBER LEGAL SERVICES	0
TOTAL NUMBER PERSONAL SERVICES	
TOTAL NUMBER OTHER NON PERSONAL SERVICES	16