



Date: July 26, 2016
To: THE TRUSTEES
From: THE PRESIDENT and CHIEF EXECUTIVE OFFICER
Subject: **Procurement (Services) Contracts –
Business Units and Facilities –
Awards, Extensions and/or Additional Funding**

SUMMARY

The Trustees are requested to approve the award and funding of the multiyear procurement (services) contracts listed in Exhibit “A,” as well as the continuation and/or funding of the procurement (services) contracts listed in Exhibit “B,” in support of projects and programs for the Authority’s Business Units/Departments and Facilities. Detailed explanations of the recommended awards and extensions, including the nature of such services, the bases for the new awards if other than to the lowest-priced bidders and the intended duration of such contracts, or the reasons for extension and the projected expiration dates, are set forth in the discussion below.

BACKGROUND

Section 2879 of the Public Authorities Law and the Authority’s Guidelines for Procurement Contracts require the Trustees’ approval for procurement contracts involving services to be rendered for a period in excess of one year.

The Authority’s Expenditure Authorization Procedures (“EAPs”) require the Trustees’ approval for the award of non-personal services, construction, equipment purchase or non-procurement contracts in excess of \$3 million, as well as personal services contracts in excess of \$1 million if low bidder, or \$500,000 if sole-source, single-source or non-low bidder.

The Authority’s EAPs also require the Trustees’ approval when the cumulative change-order value of a personal services contract exceeds \$500,000, or when the cumulative change-order value of a non-personal services, construction, equipment purchase, or non-procurement contract exceeds the greater of \$1 million or 25% of the originally approved contract amount not to exceed \$3 million.

DISCUSSION

Awards

The terms of these contracts will be more than one year; therefore, the Trustees’ approval is required. Except as noted, all of these contracts contain provisions allowing the Authority to terminate the services for the Authority’s convenience, without liability other than paying for acceptable services rendered to the effective date of termination. Approval is also requested for funding all contracts, which range in estimated value from \$250,000 to \$10 million.

Except as noted, these contract awards do not obligate the Authority to a specific level of personnel resources or expenditures.

The issuance of multiyear contracts is recommended from both cost and efficiency standpoints. In many cases, reduced prices can be negotiated for these long-term contracts. Since these services are typically required on a continuous basis, it is more efficient to award long-term contracts than to rebid these services annually.

Extensions

Although the firms identified in Exhibit "B" have provided effective services, the issues or projects requiring these services have not been resolved or completed and the need exists for continuing these contracts. The Trustees' approval is required because the terms of these contracts will exceed one year including the extension, the term of extension of these contracts will exceed one year and/or because the cumulative change-order limits will exceed the levels authorized by the EAPs in forthcoming change orders. The subject contracts contain provisions allowing the Authority to terminate the services at the Authority's convenience, without liability other than paying for acceptable services rendered to the effective date of termination. These contract extensions do not obligate the Authority to a specific level of personnel resources or expenditures.

Extension of the contracts identified in Exhibit "B" is requested for one or more of the following reasons: (1) additional time is required to complete the current contractual work scope or additional services related to the original work scope; (2) to accommodate an Authority or external regulatory agency schedule change that has delayed, reprioritized or otherwise suspended required services; (3) the original consultant is uniquely qualified to perform services and/or continue its presence and rebidding would not be practical or (4) the contractor provides a proprietary technology or specialized equipment, at reasonable negotiated rates, that the Authority needs to continue until a permanent system is put in place.

The following is a detailed summary of each recommended contract award and extension.

Contract Awards in Support of Business Units/Departments and Facilities:

Corporate Affairs

Corporate Communications

The contracts with **Angela Woods, ArtConic, C2 Marketing LLC, Eileen Burtoff and Thinkersdesign (Q16-6026MR; PO#s TBA)** would provide for computer design and production services to support the Authority's Graphic Communications Group and corporate communications efforts in order to convey the Authority's mission and message through its internal and external communications. Services may include, but are not limited to, computer design and production of graphic materials including: annual reports, corporate collateral materials, marketing and promotional brochures, newsletters, posters, advertising materials, presentations and exhibits, to be generated and produced on Macintosh computers; website design and development in both the Macintosh and PC format; and design and production of PowerPoint presentations and MS Word documents in the PC format and PREZI presentations. Designers must be highly skilled and proficient in software, such as: InDesign, Adobe

Photoshop, Illustrator and PowerPoint, with knowledge of PREZI and web technologies highly desirable. To that end, bid documents were developed by staff and were downloaded electronically from the Authority's Procurement website by 64 firms / entities, including those that may have responded to a notice in the New York State *Contract Reporter*; one additional firm obtained the bid documents from an alternate source. Bidders were requested to respond to not more than one of three service categories (A – On-premises Graphic Design; B – On-premises Special Design; or C – Project-by-Project, On-premises or Off-site, based on Project need). Ten proposals were received and evaluated, as further set forth in the Award Recommendation documents. Of this number, nine bidders responded to Category C and one bidder did not indicate a Category; therefore, the award of contracts for services in Categories A and B was deferred and approval is not sought at this time. Based on a thorough review and assessment of each bidder's respective qualifications, experience and hourly rates, staff recommends the award of contracts for Category C services to the five freelance computer graphic designers or design firms listed above, which are the most qualified and reasonably priced bidders, meet the bid requirements and possess the requisite high level, breadth and depth of experience and expertise, as well as the ability to respond quickly and meet deadlines, thereby ensuring the Authority of adequate quality resources to meet tight production schedules, as may be required. It should be noted that three of the recommended freelance designers or design firms have provided satisfactory services under existing contracts for such work. The new contracts would become effective on or about December 1, 2016, for an intended term of up to two years, subject to the Trustees' approval, which is hereby requested. Approval is also requested for the aggregate total amount expected to be expended for the term of the contracts, \$250,000. Such contracts will be monitored for utilization levels, available approved funding and combined total expenditures. It should be noted that ArtConic is a New York State-certified Minority-owned Business Enterprise ("MBE") and Thinkersdesign is a NYS-certified Woman-owned Business Enterprise ("WBE").

Economic Development & Energy Efficiency

Energy Efficiency

The Authority provides a variety of services to many of its customers to promote cost savings through energy efficiency, clean energy and improved system reliability projects, as part of the Energy Services Program ("ESP"). Such projects have become more complex and costly, resulting in an increased need for engineering and other support including, but not limited to, technical, schedule and cost reviews of projects at various stages, in order to mitigate risk from project development through closeout and ensure contractor performance for the Authority and its customers. The most cost-effective way for the Authority to provide adequate staffing resources needed to support such project work and to ensure a low level of risk is to retain such services on an "as needed" basis. Since the existing contracts are expiring and the need for such services is ongoing, staff developed a new Request for Quotations (**Q16-6040AT**). Bid documents were downloaded electronically from the Authority's Procurement website by 95 firms / entities, including those that may have responded to a notice in the New York State *Contract Reporter*. Ten proposals were received and evaluated, as further set forth in the Award Recommendation documents. The proposals were first evaluated on technical qualifications based on weighted criteria set forth in the bid documents. The six highest-ranked firms were invited for interviews to further discuss their respective proposals. A commercial evaluation was also performed. Based on the foregoing, staff recommends award of contracts to four firms: **Arcadis of New York, Inc. ("Arcadis")**, **Hatch Associates Consultants, Inc. ("Hatch")**, **Hill International, Inc. ("Hill")** and **Nautilus Consulting, LLC ("Nautilus")** (PO#s

TBA), the most technically qualified bidders with reasonable and competitive pricing, which meet the bid requirements. It should be noted that three of the recommended firms have provided satisfactory services under existing contracts for such work. These contracts would provide for technical risk management services including, but not limited to, the review of contract and technical bid documents, project schedule review and evaluation, project cost estimates and evaluation, change order review and management, construction performance evaluations, dispute mediation, and document management, as well as associated work to ensure a low level of risk for ESP projects. The award of contracts to four firms is recommended in order to ensure the availability of resources to accommodate the potential volume and/or scheduling of work that may be requested, as well as to address any potential conflict of interest or performance issues. The new contracts would become effective on or about September 1, 2016, for an intended term of up to five years, subject to the Trustees' approval, which is hereby requested. Approval is also requested for the aggregate total amount expected to be expended for the term of the contracts, \$10 million. Such contracts will be monitored for utilization levels, available approved funding and combined total expenditures. It should also be noted that all costs will be recovered by the Authority.

Human Resources & Enterprise Shared Services ("ESS")

ESS – Corporate Support

Due to the need to commence services, interim approval was obtained to award contracts to **Bavier Design LLC ("Bavier") (4500273386) and Environetics Group Architects, PC ("Environetics") (4500273348)**, effective June 10, 2016, in the initial not-to-exceed award amount of \$40,000 each, subject to the Trustees' ratification and approval, in accordance with the Authority's Guidelines for Procurement Contracts and EAPs. Such contracts provide for architectural and design/planning services for the Authority's Clarence D. Rappleyea Building in White Plains, NY to support the Authority's restacking efforts in order to improve operational efficiencies. Such services include, but are not limited to, programming and design development services, preparation of construction documents and construction administration services, as further set forth in the Schedule of Services. Bid documents (**Q16-6038RM**) were developed by staff and were downloaded electronically from the Authority's Procurement website by 173 firms / entities, including those that may have responded to a notice in the New York State *Contract Reporter*. Eight proposals were received and evaluated, as further set forth in the Award Recommendation documents. In addition to evaluating the bidders' experience and other criteria, staff also calculated the cost of typical projects for partial and full floor renovation by applying estimated usage factors to each bidder's rates. Based on the foregoing, staff recommends the award of contracts to two firms, Bavier and Environetics, the lowest evaluated price bidders, which are technically qualified, meet the bid requirements and have provided satisfactory services to the Authority under prior contracts for such work. The Trustees are hereby requested to ratify and approve award of the subject contracts for an intended term of up to five years, as well as the aggregate total amount expected to be expended for the term of the contracts, \$600,000. Such contracts will be monitored for utilization levels, available approved funding and combined total expenditures.

Information Technology (“IT”)

Due to the need to commence services, interim approval was obtained to award a contract to **Innotas (4600003181)**, effective July 1, 2016, in the initial not-to-exceed award amount of \$93,420, subject to the Trustees’ ratification and approval, in accordance with the Authority’s Guidelines for Procurement Contracts and EAPs. The contract provides for a cloud-based single enterprise Project Portfolio Management (“PPM”) solution and related services for the centralized management of IT processes, methods and technologies to better analyze, schedule, monitor and manage its work activities, projects and resources in order to achieve its operational goals. To that end, bid documents (**Q16-6003aRM**) were developed by staff and were downloaded electronically from the Authority’s Procurement website by 136 firms / entities, including those that may have responded to a notice in the New York State *Contract Reporter*. Nine proposals were received and evaluated, as further set forth in the Award Recommendation documents. A Post-Bid Addendum requesting multiyear pricing was issued to the nine responding bidders. The initial evaluation determined that five bidders did not sufficiently demonstrate competence within the required scope of work and were not considered further. Each of the remaining four firms was invited for two rounds of meetings (the first for a general cloud-based demonstration and the second to address specific detailed questions regarding their PPM solution). Based on the foregoing, staff recommends the award of a contract to Innotas, the lowest-priced bidder, which is qualified to provide such services and meets the bid requirements. Staff concluded that the Innotas PPM contains all the functionality sought, while providing exceptional handling of workflows, work class differentiations, pipeline management, resource allocation and portfolio analysis. The Trustees are hereby requested to ratify and approve award of the subject contract for an intended term of up to five years, as well as the total amount expected to be expended for the term of the contract, \$500,000.

Law

The contract with **Abrams & Abrams LLP (“Abrams”) (Q16-6028MR; PO# TBA)** would provide for very specialized and highly technical legal services in connection with business immigration matters. Such services include, but are not limited to, preparation of temporary work permit filings, permanent residence applications, employment authorizations, labor certifications, ancillary applications for change of status, extensions of stay, travel permits, and additional applications for family members, as well as filing of all such documents with the U.S. Government, and other immigration matters, as may be required. Bid documents were developed by staff and were downloaded electronically from the Authority’s Procurement website by 43 firms / entities, including those that may have responded to a notice in the New York State *Contract Reporter*. Nine proposals were received and evaluated, as further set forth in the Award Recommendation documents. Based on the preliminary evaluation, three firms were invited for interviews and were evaluated in greater depth. Staff recommends the award of a contract to the Abrams firm, on a “best value” basis that optimizes quality, cost and efficiency, among responsive and responsible bidders as set forth in the bid documents. The Abrams firm is qualified to provide such services, meets the bid requirements, has provided satisfactory services to the Authority under an existing contract for such work and offers a competitive pricing structure for its legal fees. The firm specializes solely in immigration law and has extensive experience in the procurement of all immigrant and nonimmigrant visas, statuses and U.S. Citizenship. The new contract would become effective on or about October 1, 2016, for an intended term of up to five years, subject to the Trustees’ approval, which is hereby requested. Approval is also requested for the total amount expected to be expended for the term of the contract, \$500,000.

Utility Operations

Environment, Health & Safety (“EH&S”) and SENY

The contracts with **Miller Environmental Group, Inc. (“Miller”), National Response Corp. (“NRC”) and WRS Environmental Services (“WRS”) (Q16-6027JR; PO#s TBA)** would provide for general environmental services for the Authority’s power plants and facilities in the Southeast New York (“SENY”) Region. Such services consist primarily of cleaning process equipment, such as tanks, oil/water separators, economizers, burners, etc. and may involve the handling of oil and/or chemicals used in operations; transporting and disposing of hazardous materials generated by such cleaning; and providing environmental and safety training to Authority staff, as may be required, in compliance with all applicable federal, state and local laws, regulations, license and permit requirements. Bid documents were developed by staff and were downloaded electronically from the Authority’s Procurement website by 94 firms / entities, including those that may have responded to a notice in the New York State *Contract Reporter*. Four proposals were received and evaluated, as further set forth in the Award Recommendation documents. All four bidders demonstrated an understanding and proper management of the environmental and safety requirements, as well as the ability to provide the requisite resources and response time; the highest-priced bidder (per the hourly rates and pricing for a typical scenario) was not considered further. Based on the foregoing, staff recommends the award of contracts to the three remaining firms, Miller, NRC and WRS, which are technically qualified to perform such services, meet the bid requirements and are competitively priced. Awarding contracts to three firms would allow the Authority greater flexibility and sufficient resources to accommodate any scheduling constraints and/or to handle simultaneous situations at multiple sites, and to obtain competitive proposals when circumstances allow. It should be noted that one of the recommended bidders has provided satisfactory services under an existing contract for such work. The new contracts would become effective on or about October 1, 2016, for an intended term of up to five years, subject to the Trustees’ approval, which is hereby requested. Approval is also requested for the aggregate total amount expected to be expended for the term of the contracts, \$7.5 million. Such contracts will be monitored for utilization levels, available approved funding and combined total expenditures.

The contracts with **National Insulation & GC Corp. (“National”) and RFJ Insulation Contractor, Inc. (“RFJ”) (Q16-6043JT; PO#s TBA)** would provide for the repair or removal of existing insulation and installation of new insulation, as needed, at the Authority’s power plants in the SENY Region. Such services include furnishing all labor, supervision, tools, equipment and materials to perform the work. To that end, bid documents were developed by staff and were downloaded electronically from the Authority’s Procurement website by 29 firms / entities, including those that may have responded to a notice in the New York State *Contract Reporter*. Two proposals were received and evaluated, as further set forth in the Award Recommendation documents. Staff recommends the award of contracts to both bidders, National and RFJ, which are technically qualified to perform such services and meet the bid requirements. Awarding contracts to both firms would allow the Authority greater flexibility and sufficient resources to obtain competitive proposals based on both requisite expertise and the ability to accommodate any scheduling constraints, especially during plant outages. It should be noted that one of the bidders has provided satisfactory service under an existing contract for such work. The new contracts would become effective on or about August 1, 2016, for an intended term of up to five years, subject to the Trustees’ approval, which is hereby requested. Approval is also requested for the aggregate total amount expected to be expended for the term of the contracts, \$500,000. Such contracts will be monitored for utilization levels, available approved funding and combined total expenditures.

The contract with **Veolia ES Technical Solutions, LLC (“Veolia”) (Q16-6032JR; PO# TBA)** would provide for the recycling / disposal of batteries, light ballasts, lamps, mercury-containing material and other related waste streams generated by the Authority’s Energy Services Program projects at Customers’ facilities. Services include, but are not limited to, furnishing, or arranging for furnishing, all labor, supervision, material, equipment, laboratory facilities, transportation vehicles, fuel, tolls, highway use taxes, insurance (including environmental liability), spill prevention control and countermeasure equipment and materials and federal, state and local permits, licenses and other approvals necessary to manage the waste from its point/s of generation within New York State to the point/s of ultimate disposition. Since the existing contract is expiring and the need for such services is ongoing, bid documents were developed by staff and were downloaded electronically from the Authority’s Procurement website by 39 firms / entities, including those that may have responded to a notice in the New York State *Contract Reporter*. Two proposals were received and evaluated, as further set forth in the Award Recommendation documents. One of the two bidders took exception to the federal regulation 40 CFR 761.3 and subsequently withdrew its proposal. The remaining proposal was evaluated in greater detail. Staff recommends the award of a contract to Veolia, which is qualified to provide such services, fully meets the bid requirements and has provided satisfactory services under an existing contract for such work. The new contract would become effective on or about October 1, 2016, for an intended term of up to five years, subject to the Trustees’ approval, which is hereby requested. Approval is also requested for the total amount expected to be expended for the term of the contract, \$3 million. It should be noted that all costs will be recovered by the Authority.

Extensions and/or Additional Funding Requests:

Business Services

Controller’s Office

At their meeting of July 29, 2014, the Trustees approved the award of a competitively bid contract to **KPMG LLP (“KPMG”) (4500249908)** to provide for independent accounting and auditing services, including but not limited to, annual audits of the Authority’s financial records for the years 2014 through 2018, as well as other audit and non-audit services, as may be required, in the amount of \$2.5 million, for a term of up to five years through July 31, 2019. At their meeting of March 29, 2016, the Authority’s Audit Committee authorized staff to engage KPMG to perform advisory services relating to the transfer of The Canal Corp. to the Authority. An additional \$500,000 was subsequently authorized in accordance with the Authority’s EAPs. Based on KPMG’s letter of engagement, staff estimates that total funding for this project will approximate \$7,535,000, comprising \$1,607,000 for due diligence services; \$3,278,000 for integration services; and \$2,650,000 for Information Technology project management support. (It should be noted that the \$7,535,000 includes \$1,125,000 of available funds in the existing contract and \$6,410,000 of additional funding being requested.) Based on the foregoing, additional funding in the amount of \$6,410,000 is now requested to provide support for this effort through the end of June 2017. The Trustees are therefore requested to approve the additional funding requested, thereby increasing the total compensation limit of the subject contract with KPMG from the current \$3 million to \$9,410,000 in order to perform advisory services relating to the transfer of The Canal Corp. to the Authority.

Corporate Affairs

Corporate Communications

The contract with **Essense Partners dba Akasaka Enterprises (“Essense”) (formerly Akasaka Enterprises dba The Energy Agency, Inc.) (4500265963)** provides for the redesign of the Authority’s external website (NYPA.gov). The original award, which was competitively bid, became effective on November 20, 2015 for a term of up to one year, in the amount of \$991,569. The work involved to fully rebrand and redesign NYPA.gov includes: user research, stakeholder interviews, metrics review, content audits, best practices/competitive landscape review, strategy, NYPA IT and Cyber Security requirements, state requirements, Americans with Disabilities Act compliance, translation, social and video integration, user experience and design concepts, rebranding, information architecture, site map, wireframes, page layouts, interface, design and UX tests, content creation, fully responsive site design and approvals, content management system recommendation/selection/development, site build/implementation, migration, environments, pre go-live testing, launch and post-launch functionality/design/bug resolution. The new website is on track to go live and launch in a timely manner, currently projected for November. An eight-week period of initial post go-live support, which begins at launch, as well as a six-month post-launch period for design/development services, are vital components of the overall project and will require additional time beyond the current contract term. An eight-month extension of the existing contract is therefore requested. (It should be noted that comprehensive, multiyear support/maintenance services for the new NYPA.gov website will be provided under a separate contract.) The current contract amount is \$991,569; staff anticipates that no additional funding will be required for the extended term, since these services were included in the original scope of work. The Trustees are requested to approve extension of the subject contract through July 19, 2017, with no additional funding requested. It should be noted that Essense is a NYS-certified MBE and WBE.

Economic Development & Energy Efficiency

Energy Efficiency

The contract with **Peter J. Catanzaro Inc. (“Catanzaro”) (4500257212)** provides for the installation of high-efficiency lighting at the Metropolitan Transportation Authority’s Manhattanville Bus Depot facility in New York City, as part of the implementation of the LED lighting upgrade project and in support of Governor Cuomo’s Executive Order No. 88 (which requires State agencies, authorities and departments to improve their energy efficiency by 20% by 2020). The original award, which was competitively bid, became effective on April 8, 2015 for a term of up to one year, in the amount of \$263,282. An additional \$27,287 was subsequently authorized in accordance with the Authority’s EAPs for additional work scope requested by the Customer (installation of new EMT conduit for the lighting control system). Interim approval of a six-month extension was obtained in accordance with the Authority’s Guidelines for Procurement Contracts and EAPs, subject to the Trustees’ ratification and approval, in order to allow work to continue without delays and sufficient time for Catanzaro to complete the scope of work. The current contract amount is \$290,569; staff anticipates that no additional funding will be required for the extended term. The Trustees are requested to ratify and approve extension of the subject contract through September 30, 2016, with no additional funding requested.

Human Resources & Enterprise Shared Services

HR - Benefits

The contract with **Convey Compliance Systems LLC (A Sovos Compliance Company) (“CCS”) (4500265375)** provides for tax reporting services in connection with the Affordable Care Act (“ACA”) requirements. The original award, which was issued on a single-source basis, became effective on October 21, 2015 for a term of less than one year, in the amount of \$28,485. The contract term was subsequently extended to one year, in accordance with the Authority’s Guidelines for Procurement Contracts and EAPs, in order to continue the required ACA tax reporting services. The firm has assisted the Authority in satisfying its ACA reporting obligations to the Internal Revenue Service (“IRS”) for calendar year 2015, including the timely delivery of 1095-C forms to Authority employees and retirees, as well as a recent file transmittal to the IRS. CCS has also kept abreast of all federal and state regulatory changes and updated the ACA Taxport program as necessary, incorporating frequent IRS changes. A five-month extension through March 31, 2017 is now requested in order to allow the Authority to maintain continuity of its ACA tax reporting requirements. The current contract amount is \$28,485; staff anticipates that an additional \$35,000 will be required for the extended term. The Trustees are requested to approve extension of the subject contract through March 31, 2017, as well as the additional funding requested.

Technology & Innovation

Strategic Operations

The contract with **Siemens Industry Inc. (“Siemens”) (4500262985)** provides for Continuous Protection System Monitoring (“CPSM”), as part of the Smart Generation & Transmission strategic initiative. The original award, which was competitively bid, became effective on August 31, 2015 for a one-year term, in the amount of \$671,475, to provide a fully functional pilot system for each of the Small Clean Power Plant (“SCPP”) sites (except Brentwood) as well as an engineering solution for each typical protection scheme at the Authority, inclusive of the general design for the communications system required. Additional funding in the cumulative amount of \$170,087 was subsequently authorized in accordance with the Authority’s EAPs. A one-year extension is now requested to expand the CPSM to the 500MW Facility and the SCPP at Brentwood and to allow sufficient time to complete the original and expanded scope of work. Siemens will provide the detailed engineering package, hardware, software and onsite technical support during installation (to be performed by a separate contractor and requiring the entire facility to be out of service, therefore such work must be aligned with planned outage periods). The current contract amount is \$841,562; staff anticipates that no additional funding will be required for the extended term. The Trustees are requested to approve extension of the subject contract through August 30, 2017, with no additional funding requested.

Utility Operations

Project Management and B-G

At their meeting of October 15, 2014, the Trustees approved the award of a competitively bid contract to **General Electric International, Inc. (“GEI”) (4600002857)** to

provide for the repair of the main generator rotors at the Blenheim-Gilboa Power Project, for a term of approximately three years, in the amount of \$10.3 million. Additional funding in the cumulative total amount of \$2,016,773 was subsequently authorized in accordance with the Authority's Procurement Guidelines and EAPs. As a result of lessons learned from the repair of B-G Unit 3, a decision was made to replace all rotor rim support ledges on the remaining Units, in lieu of repairing them, which is an extra cost to the contract. The current Target Value of the contract is \$12,316,773; staff anticipates that additional funding in the amount of \$1.5 million will be required to complete the remaining extra main rotor repairs (including ledge replacement, Non-Destructive Examination of dovetails of the pole keys and rim keys, and brake plates stress relief). The Trustees are requested to approve the additional funding requested, thereby increasing the approved total contract amount to \$13,816,773.

Information Technology

At their meeting of July 30, 2015, the Trustees approved the award of competitively bid contracts to 15 firms, as listed on Exhibit "B", resulting from Request for Quotations Q15-5806CP, to provide for IT temporary staffing services to support various Authority initiatives, infrastructure and applications, for a term of up to three years, in the aggregate total amount of \$9 million. Such services and the originally approved funding have been and continue to be utilized at an accelerated rate for the following areas: Application Development (Ariba, Mobile Center for Excellence, Critical Infrastructure Management System ("CIMS"), Access Information Management System ("AIMS")); Use by Other Departments and for Strategic Initiatives; Infrastructure (network and LAN administration support, Help Desk support, hardware/software specialists); Application Support (MAXIMO, SharePoint); IT Project Management (implementation of new IT projects); Governance (including Document Warehouse); and Cyber Security initiatives. An additional \$2.25 million was authorized in accordance with the Authority's EAPs to provide continued support for projects including, but not limited to: IT assessment of The Canal Corp. transfer, cyber security, infrastructure, customer service and IT projects such as Smart Grid, CIMS, AIMS and Ariba implementation. The current aggregate Target Value is \$11.25 million; IT staff has concluded that the accelerated rate of services and funding utilization is expected to continue for the following reasons: better alignment with the Business Units and Strategic Initiatives; increased Cyber Initiatives; a changing operating environment; Canal Corp. transfer; Ariba implementation; and continued utilization by other departments. Therefore, staff projects that additional funding in the aggregate amount of \$8.75 million will be required for the previously approved contract term. The Trustees are requested to approve the additional funding requested, thereby increasing the approved aggregate total to \$20 million. Such contracts will be monitored for utilization levels, available approved funding and combined total expenditures. It should be noted that seven of these firms are NYS-certified MWBEs.

FISCAL INFORMATION

Funds required to support contract services for various Business Units/Departments and Facilities have been included in the 2016 Approved Operations Budget. Funds for subsequent years, where applicable, will be included in the budget submittals for those years. Payment will be made from the Operating Fund.

Funds required to support contract services for capital projects have been included as part of the approved capital expenditures for those projects and will be disbursed from the

Capital Fund in accordance with the project's Capital Expenditure Authorization Request, as applicable.

RECOMMENDATION

The Senior Vice President – Operations Support Services and Chief Engineer, the Senior Vice President – Economic Development & Energy Efficiency, the Senior Vice President – Human Resources and Enterprise Shared Services, the Senior Vice President – Technology and Innovation, the Senior Vice President and Chief Information Officer, the Vice President & Controller, the Assistant General Counsel – HR and Labor Relations, the Vice President – Environment, Health & Safety, the Vice President – Project Management, the Vice President – Procurement, the Vice President – Engineering, the Vice President – Corporate Communications, the Regional Manager – Western New York, the Regional Manager – Northern New York, the Regional Manager – Central New York and the Regional Manager – Southeastern New York recommend that the Trustees approve the award of multiyear procurement (services) contracts to the companies listed in Exhibit “A” and the extension and/or funding of the procurement (services) contracts listed in Exhibit “B,” for the purposes and in the amounts discussed within the item and/or listed in the respective exhibits.

For the reasons stated, I recommend the approval of the above-requested action by adoption of the resolution below.

Gil C. Quiniones
President and Chief Executive Officer

RESOLUTION

RESOLVED, That pursuant to the Guidelines for Procurement Contracts adopted by the Authority, the award and funding of the multiyear procurement services contracts set forth in Exhibit "A," attached hereto, are hereby approved for the period of time indicated, in the amounts and for the purposes listed therein, as recommended in the foregoing memorandum of the President and Chief Executive Officer; and be it further

RESOLVED, That pursuant to the Guidelines for Procurement Contracts adopted by the Authority, the contracts listed in Exhibit "B," attached hereto, are hereby approved and extended for the period of time indicated, in the amounts and for the purposes listed therein, as recommended in the foregoing memorandum of the President and Chief Executive Officer; and be it further

RESOLVED, That the Chairman, the Vice Chairman, the President and Chief Executive Officer, the Chief Operating Officer and all other officers of the Authority are, and each of them hereby is, authorized on behalf of the Authority to do any and all things, take any and all actions and execute and deliver any and all agreements, certificates and other documents to effectuate the foregoing resolution, subject to the approval of the form thereof by the Executive Vice President and General Counsel.

Procurement (Services) and Other Contracts – Awards
 (For Description of Contracts See "Discussion")

EXHIBIT "A"
 July 26, 2016

<u>Bus Unit/ Plant Site</u>	<u>Company Contract #</u>	<u>Start of Contract</u>	<u>Description of Contract</u>	<u>Closing Date</u>	<u>Award Basis¹ Contract Type²</u>	<u>Compensation Limit</u>	<u>Amount Expended To Date</u>	<u>Authorized Expenditures For Life Of Contract</u>
CORPORATE AFFAIRS - CORPORATE COMMUNICA- TIONS	Q16-6026MR; 5 awards:	12/01/16 (on or about)	Provide for computer design and production services to support the Authority's corporate communications efforts through its internal and external communications	11/30/18	B/P			\$250,000*
	1. ANGELA WOODS Yonkers, NY							
	2. ARTCONIC ♦ New York, NY							
	3. C2 MARKETING LLC Schenectady, NY							
	4. EILEEN BURTOFF New York, NY							
5. THINKERSDESIGN ♦ Hawthorne, NY (PO#s TBA)								
ECONOMIC DEVELOPMENT & ENERGY EFFICIENCY - ENERGY EFFICIENCY	Q16-6040AT; 4 awards:	09/01/16 (on or about)	Provide for risk management consulting services in connection with the Authority's Energy Services Program projects	08/31/21	B/P			\$10,000,000*
	1. ARCADIS OF NEW YORK, INC. New York, NY							
	2. HATCH ASSOCIATES CONSULTANTS, INC. Amherst, NY							
	3. HILL INTERNATIONAL, INC. Philadelphia, PA							
4. NAUTILUS CONSULTING LLC Syosset, NY (PO#s TBA)								

*Note: represents aggregate total for up to 2-year term

*Note: represents aggregate total for up to 5-year term
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Procurement (Services) and Other Contracts – Awards
(For Description of Contracts See "Discussion")

EXHIBIT "A"
July 26, 2016

<u>Bus Unit/ Plant Site</u>	<u>Company Contract #</u>	<u>Start of Contract</u>	<u>Description of Contract</u>	<u>Closing Date</u>	<u>Award Basis¹ Contract Type²</u>	<u>Compensation Limit</u>	<u>Amount Expended To Date</u>	<u>Authorized Expenditures For Life Of Contract</u>
HUMAN RESOURCES & ENTERPRISE SHARED SERVICES - ESS - CORP. SUPP.	Q16-6038RM; 2 awards: 1. BAVIER DESIGN LLC Rowayton, CT (4500273386) 2. ENVIRONETICS GROUP ARCHITECTS, PC Englewood Cliffs, NJ (4500273348)	06/10/16	Provide for architectural and design services for the Rappleyea Building	06/09/21	B/A	\$40,000 each (Initial not-to-exceed Award Amount)		\$600,000*
						*Note: represents aggregate total for up to 5-year term		
INFORMATION TECHNOLOGY	INNOTAS San Francisco, CA (Q16-6003aRM; 4600003181)	07/01/16	Provide for cloud-based single Project Portfolio Management (PPM) solution and related services	06/30/21	B/S	\$93,420 (Initial not-to-exceed Award Amount)		\$500,000*
						*Note: represents total for up to 5-year term		
LAW	ABRAMS & ABRAMS LLP New York, NY (Q16-6028MR; PO# TBA))	10/01/16 (on or about)	Provide for legal services in connection with immigration matters	09/30/21	B/L			\$500,000*
						*Note: represents total for up to 5-year term		
UTILITY OPERATIONS - EH&S + SENY	Q16-6027JR; 3 awards: 1. MILLER ENVIRONMENTAL GROUP, INC. Calverton, NY 2. NATIONAL RESPONSE CORP. Great River, NY	10/01/16 (on or about)	Provide for general environmental services for the Authority's plants in the SENY Region	09/30/21	B/S			\$7,500,000*
						*Note: represents aggregate total for up to 5-year term		

[continued on next page]

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Procurement (Services) and Other Contracts – Awards
(For Description of Contracts See "Discussion")

EXHIBIT "A"
July 26, 2016

<u>Bus Unit/ Plant Site</u>	<u>Company Contract #</u>	<u>Start of Contract</u>	<u>Description of Contract</u>	<u>Closing Date</u>	<u>Award Basis¹ Contract Type²</u>	<u>Compensation Limit</u>	<u>Amount Expended To Date</u>	<u>Authorized Expenditures For Life Of Contract</u>
	3. WRS ENVIRONMENTAL SERVICES Yaphank, NY (PO#s TBA)							
UTILITY OPERATIONS - SENY	Q16-6043JT; 2 awards:	08/01/16 (on or about)	Provide for repair/removal of existing insulation and installation of new insulation at the Authority's plants in the SENY Region, as needed	07/31/21	B/S			\$500,000*
	1. NATIONAL INSULATION & GC CORP. Hicksville, NY							
	2. RFJ INSULATION CONTRACTOR, INC. Brightwaters, NY (PO#s TBA)							
UTILITY OPERATIONS - EH&S + ECONOMIC DEVELOPMENT & ENERGY EFFICIENCY	VEOLIA ES TECHNICAL SOLUTIONS LLC West Bridgewater, MA (Facility) (Q16-6032JR; PO# TBA)	10/01/16 (on or about)	Provide for recycling/disposal of batteries, light ballasts, lamps and other related waste streams generated by Energy Services Program projects	09/30/21	B/S			\$3,000,000*

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Procurement (Services) Contracts – Extensions and/or Additional Funding
(For Description of Contracts See "Discussion")

EXHIBIT "B"
July 26, 2016

<u>Plant Site/ Bus. Unit</u>	<u>Company Contract #</u>	<u>Start of Contract</u>	<u>Description of Contract</u>	<u>Closing Date</u>	<u>Award Basis¹ Contract Type²</u>	<u>Compensation Limit</u>	<u>Amount Expended To Date</u>	<u>Authorized Expenditures For Life Of Contract</u>
BUSINESS SERVICES - CONTROLLER'S OFFICE	KPMG LLP New York, NY (US HQ) & multiple other offices: Washington, DC Albany, NY Atlanta, GA 4500249908	09/16/14	Provide for independent accounting and auditing services, as well as other audit and non-audit services, as may be required	07/31/19	B/P	\$3,000,000	\$1,221,173	\$9,410,000*
						*Note: represents originally approved amount of \$2.5 million + an additional \$500,000 authorized per the EAPs + CURRENT REQUEST for \$6,410,000 to support the transfer of The Canal Corp to the Authority.		
CORPORATE AFFAIRS - CORPORATE COMMUNICATIONS	ESSENCE PARTNERS ♦ dba AKASAKA ENTERPRISES New York, NY 4500265963	11/20/15	Provide for redesign of the Authority's external website (NYPA.gov)	07/19/17	B/P	\$991,569	\$593,543	\$991,569*
						*Note: represents original award amount of \$991,569; NO additional funding requested		
ECONOMIC DEVELOPMENT & ENERGY EFFICIENCY - ENERGY EFFICIENCY	PETER J CATANZARO, INC. Brooklyn, NY 4500257212	04/08/15	Provide for installation of high efficiency lighting at the MTA Manhattanville Bus Depot facility	09/30/16	B/C	\$290,569	\$225,814	\$290,569*
						*Note: represents original award amount of \$263,282 + an additional \$27,287 authorized per the EAPs; NO additional funding requested		
HR & ESS - HR / BENEFITS	CONVEY COMPLIANCE SYSTEMS LLC (A Sovos Compliance Company) Minnetonka, MN 4500265375	10/21/15	Provide for Affordable Care Act tax reporting services	03/31/17	Si/P	\$28,485	\$23,085	\$63,485*
						*Note: represents original award amount of \$28,485 + CURRENT REQUEST for \$35,000		
TECHNOLOGY & INNOVATION - STRATEGIC OPERATIONS	SIEMENS INDUSTRY, INC. Wendell, NC 4500262985	08/31/15	Provide for Continuous Protection System Monitoring, as part of the Authority's Smart Generation & Transmission strategic initiative	08/30/17	B/P	\$841,562	\$598,163	\$841,562*
						*Note: represents original award amount of \$671,475 + an additional \$170,087 authorized per the EAPs; NO additional funding requested		

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Procurement (Services) Contracts – Extensions and/or Additional Funding
 (For Description of Contracts See "Discussion")

EXHIBIT "B"
July 26, 2016

<u>Plant Site/ Bus. Unit</u>	<u>Company Contract #</u>	<u>Start of Contract</u>	<u>Description of Contract</u>	<u>Closing Date</u>	<u>Award Basis¹ Contract Type²</u>	<u>Compensation Limit</u>	<u>Amount Expended To Date</u>	<u>Authorized Expenditures For Life Of Contract</u>
UTILITY OPERATIONS - PROJ MGMT + B-G	GENERAL ELECTRIC INTERNATIONAL, INC. Medford, MA 4600002857	11/18/14	Provide for repair of the main generator rotors at B-G	11/17/17	B/S	\$12,316,773 (Target Value) *Note: represents original approved amount of \$10.3 million + an additional \$2,016,773 authorized per the EAPs + CURRENT REQUEST for \$1,500,000	\$10,452,031 (Released to date)	\$13,816,773*
INFORMATION TECHNOLOGY	15 multiple awards (resulting from Q15-5806CP): 1. 22nd CENTURY ♦ TECHNOLOGIES, INC. Somerset, NJ 4600003024 2. ARTECH INFORMATION SYSTEMS LLC Morristown, NJ 4600003026 3. CARLYLE CONSULTING SERVICES, INC. New York, NY 4600003027 4. CLARUSTEC, INC. Edison, NJ 4600003028 5. CMA CONSULTING SERVICES ♦ Latham, NY 4600003039 6. DONNELLY & MOORE CORP. ♦ New City, NY (HQ) New York, NY (Branch Office) 4600003029	08/01/15	Provide for IT temporary staffing services to support various initiatives, infrastructure and applications	07/31/18	B/S	\$11,250,000 (Aggregate Target Value) *Note: represents original approved aggregate amount of \$9M + an additional \$2.25M authorized per the EAPs + CURRENT REQUEST for \$8.75M	\$8,059,603 (Aggregate Amount Released to date)	\$20,000,000*

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Procurement (Services) Contracts – Extensions and/or Additional Funding
(For Description of Contracts See "Discussion")

EXHIBIT "B"
July 26, 2016

<u>Plant Site/ Bus. Unit</u>	<u>Company Contract #</u>	<u>Start of Contract</u>	<u>Description of Contract</u>	<u>Closing Date</u>	<u>Award Basis¹ Contract Type²</u>	<u>Compensation Limit</u>	<u>Amount Expended To Date</u>	<u>Authorized Expenditures For Life Of Contract</u>
	7. ECLARO INTERNATIONAL, INC. ♦ New York, NY 4600003030							
	8. GARNET RIVER LLC ♦* Saratoga Springs, NY 4600003031 * NYS-certified WBE at time of award							
	9. INDOTRONIX INTERNATIONAL CORP. Poughkeepsie, NY 4600003032							
	10. MINDLANCE, INC. Hoboken, NJ 4600003033							
	11. NEOTECRA, INC. New York, NY 4600003034							
	12. SOFTWARE GUIDANCE & ASSISTANCE, INC. Tarrytown, NY 4600003035							
	13. SYSTEM EDGE (USA) LLC ♦ Iselin, NJ (HQ) New York, NY (Branch Office) 4600003038							
	14. TRIGYN TECHNOLOGIES, INC. Edison, NJ 4600003036							
	15. UNIQUE COMP, INC. ♦ Long Island City, NY 4600003037							

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