

May 22, 2014

MEMORANDUM TO THE TRUSTEES

FROM THE PRESIDENT and CHIEF EXECUTIVE OFFICER

**SUBJECT: Procurement (Services) Contracts –
Business Units and Facilities –
Awards, Extensions and/or Additional Funding**

SUMMARY

The Trustees are requested to approve the award and funding of the multiyear procurement (services) contracts listed in Exhibit “A,” as well as the continuation and/or funding of the procurement (services) contracts listed in Exhibit “B,” in support of projects and programs for the Authority’s Business Units/Departments and Facilities. Detailed explanations of the recommended awards and extensions, including the nature of such services, the bases for the new awards if other than to the lowest-priced bidders and the intended duration of such contracts, or the reasons for extension and the projected expiration dates, are set forth in the discussion below.

BACKGROUND

Section 2879 of the Public Authorities Law and the Authority’s Guidelines for Procurement Contracts require the Trustees’ approval for procurement contracts involving services to be rendered for a period in excess of one year.

The Authority’s Expenditure Authorization Procedures (“EAPs”) require the Trustees’ approval for the award of non-personal services, construction, equipment purchase or non-procurement contracts in excess of \$3 million, as well as personal services contracts in excess of \$1 million if low bidder, or \$500,000 if sole-source, single-source or non-low bidder.

The Authority’s EAPs also require the Trustees’ approval when the cumulative change-order value of a personal services contract exceeds \$500,000, or when the cumulative change-order value of a non-personal services, construction, equipment purchase or non-procurement contract exceeds the greater of \$1 million or 25% of the originally approved contract amount not to exceed \$3 million.

DISCUSSION

Awards

The terms of these contracts will be more than one year; therefore, the Trustees’ approval is required. Except as noted, all of these contracts contain provisions allowing the Authority to terminate the services for the Authority’s convenience, without liability other than paying for acceptable services rendered to the effective date of termination. Approval is also requested for funding all contracts, which range in estimated value from \$663,500 to \$15 million. Except as

noted, these contract awards do not obligate the Authority to a specific level of personnel resources or expenditures.

The issuance of multiyear contracts is recommended from both cost and efficiency standpoints. In many cases, reduced prices can be negotiated for these long-term contracts. Since these services are typically required on a continuous basis, it is more efficient to award long-term contracts than to rebid these services annually.

Extensions

Although the firms identified in Exhibit “B” have provided effective services, the issues or projects requiring these services have not been resolved or completed and the need exists for continuing these contracts. The Trustees’ approval is required because the terms of these contracts will exceed one year including the extension, the term of extension of these contracts will exceed one year and/or because the cumulative change-order limits will exceed the levels authorized by the EAPs in forthcoming change orders. The subject contracts contain provisions allowing the Authority to terminate the services at the Authority’s convenience, without liability other than paying for acceptable services rendered to the effective date of termination. These contract extensions do not obligate the Authority to a specific level of personnel resources or expenditures.

Extension of the contracts identified in Exhibit “B” is requested for one or more of the following reasons: (1) additional time is required to complete the current contractual work scope or additional services related to the original work scope; (2) to accommodate an Authority or external regulatory agency schedule change that has delayed, reprioritized or otherwise suspended required services; (3) the original consultant is uniquely qualified to perform services and/or continue its presence and rebidding would not be practical or (4) the contractor provides a proprietary technology or specialized equipment, at reasonable negotiated rates, that the Authority needs to continue until a permanent system is put in place.

The following is a detailed summary of each recommended contract award and extension.

Contract Awards in Support of Business Units/Departments and Facilities:

Operations Support Services

Project Management

Due to the need to commence services, the contract with **Greenman-Pedersen, Inc. (“GPI”) (4600002789)** became effective on April 30, 2014, for the initial interim award amount of \$100,000, subject to the Trustees’ approval as soon as practicable, in accordance with the Authority’s Guidelines for Procurement Contracts and EAPs. Such contract would provide for consulting and inspection services related to the application of paints and other coatings on equipment, structures and infrastructure surfaces, per the National Association of Corrosion Engineers (“NACE”) certified coating system, in support of the Authority’s Transmission Life Extension and Modernization Program and other Authority projects. Services include field and

home office technical support, on an “as needed” basis, and involve, but are not limited to, inspections of Authority buildings, bridges, roadways, tunnels and transmission towers located throughout New York State, in compliance with NACE certification requirements; laboratory testing and analysis; development and/or review of coating specifications and inspection plans; preparation of detailed reports and recommendations for various applications, etc. This interim award allows GPI to perform coating inspection services related to the application of paints and other coatings in support of the ongoing Barnhart Island Bridge Rehabilitation Project. Bid documents were developed by staff and were downloaded electronically from the Authority’s Procurement website by 58 firms, including those that may have responded to a notice in the New York State *Contract Reporter*. One proposal was received and evaluated. Reasons why other firms did not submit a bid include, but are not limited to, this was not their scope of work, they could not meet the delivery or schedule requirements, or they downloaded the documents for information purposes only. Staff recommends the award of a contract to GPI, which is qualified to perform such services, meets the bid requirements and has provided satisfactory services under the existing contract for such work. The intended term of the new contract is five years, subject to the Trustees’ approval, which is hereby requested. Approval is also requested for the total amount expected to be expended for the term of the contract, \$5 million.

Due to the need to commence services, the contract with **O’Connell Electric Company, Inc. (“O’Connell”) (4500244895)** became effective on May 1, 2014, for the initial interim award amount of \$500,000, subject to the Trustees’ approval as soon as practicable, in accordance with the Authority’s Guidelines for Procurement Contracts and EAPs. Such contract would provide for the installation of sixteen 115 kV power circuit breakers, one 100 MVAR capacitor bank and demolition of existing synchronous condensers and associated equipment at the St. Lawrence/FDR Project’s Robert Moses Switchyard, as part of the Transmission Life Extension and Modernization Program. This interim award allows O’Connell to mobilize its resources and procure long-lead items, maximizing the amount of work that can be completed during the warmer weather months and facilitating coordination between construction and delivery of equipment. Bid documents were developed by staff and were downloaded electronically from the Authority’s Procurement website by 87 firms, including those that may have responded to a notice in the New York State *Contract Reporter*. Two proposals were received and evaluated, as further set forth in the Award Recommendation documents. Staff recommends the award of a contract to O’Connell, the lower-priced bidder, which is qualified to perform such services and meets the bid requirements. Furthermore, O’Connell is a full-service regional electrical, power line, utilities and communication contractor with more than 100 years of experience and possesses the resources to complete this work. The intended term of the subject contract is up to three years, subject to the Trustees’ approval, which is hereby requested. Approval is also requested for the total amount expected to be expended for the term of the contract, \$10,818,950 (including the initial interim award amount of \$500,000, as well as \$920,000 for any emergent site environmental conditions involving the disposal of oil, concrete and soil, and the provision of clean fill).

Due to the need to commence services, the contract with **O’Connell Electric Company, Inc. (“O’Connell”) (4500245184)** became effective on May 9, 2014, for the initial interim award amount of \$250,000, subject to the Trustees’ approval as soon as practicable, in accordance with the Authority’s Guidelines for Procurement Contracts and EAPs. Such contract provides for site preparation and related work in connection with the 765 kV Auto-Transformer Replacement Project at the Massena Substation, as part of the Transmission Life Extension and Modernization Program. This interim award allows O’Connell to commence mobilization activities. Bid documents were developed by staff and were downloaded electronically from the Authority’s Procurement website by 124 firms, including those that may have responded to a notice in the New York State *Contract Reporter*. Two proposals were received and evaluated, as further set forth in the Award Recommendation documents. Staff recommends the award of a contract to O’Connell, the lower-priced evaluated bidder, which is qualified to perform such work and meets the bid requirements. O’Connell’s proposal presented a detailed technical approach to the proposed scope of work. Furthermore, the firm possesses the necessary resources to perform this work. The intended term of the subject contract is up to two years, subject to the Trustees’ approval, which is hereby requested. Approval is also requested for the total amount expected to be expended for the term of the contract, \$5,190,480.

Environment, Health & Safety

The contract with **TRC Environmental Corp. (“TRC”) (Q14-5615; PO# TBA)** would provide for Relative Accuracy Test Audits (“RATA” Testing) of the Continuous Emissions Monitoring Systems (“CEMS”) at the Authority’s power plant sites in the Southeastern New York (“SENY”) Region, in compliance with all applicable regulatory requirements and performance specifications. Services include, but are not limited to, testing for nitrous oxide, carbon monoxide, ammonia and oxygen to demonstrate the accuracy, precision and reliability of the CEMS system, required by the New York State Department of Environmental Conservation (“NYS DEC”) to maintain each plant’s Title V Air Permit. Bid documents were developed by staff and were downloaded electronically from the Authority’s Procurement website by 19 firms, including those that may have responded to a notice in the New York State *Contract Reporter*. Two proposals were received and evaluated, as further set forth in the Award Recommendation documents. Staff recommends the award of a contract to TRC, the lower-priced evaluated bidder, which is qualified to perform such services and meets the bid requirements. The contract would become effective on or about July 1, 2014, for an intended term of up to five years, subject to the Trustees’ approval, which is hereby requested. Approval is also requested for the total amount expected to be expended for the term of the contract, \$663,500.

The Authority’s operating facilities, substations, electrical transmission facilities, and maintenance and support facilities store and use various types of oils and hazardous materials in their normal operating practices. Government regulations require that owners and operators of facilities that store oil and have the potential to spill oil on land or in waters of the United States or adjoining shorelines prepare Spill Prevention Control and Countermeasure (“SPCC”) Plans. In addition, government regulations require that a strong spill contingency plan be included in the SPCC plan, which provides a written commitment of manpower, equipment and materials required to expeditiously control and remove any harmful quantity of oil discharged. In

compliance with such federal, state and local requirements, the Authority maintains contracts with emergency spill response contractors. Since the existing contracts for such services are expiring and the need is ongoing, bid documents (Q14-5581) were developed by staff and were downloaded electronically from the Authority's Procurement website by 64 firms, including those that may have responded to a notice in the New York State *Contract Reporter*. Seven proposals were received, evaluated and rated based on weighted criteria including, but not limited to: contractor response time of two hours or less with sufficient resources to contain, control and clean up discharged oil; U.S. Coast Guard certification, which establishes response capability guidelines in a marine environment, for those facilities that have the potential to discharge oil to surface waters; completeness / responsiveness of the proposal; amount and type of equipment at the contractor's staging facility; and calculated costs for typical land and surface water spill scenarios. This process determined the most qualified and cost-effective contractor/s for each facility, as further set forth in the Award Recommendation documents. Staff recommends the award of contracts to six firms: **Allstate Power Vac, Inc. ("Allstate")**, **Environmental Products & Services of Vermont, Inc. ("EP&S")**, **Miller Environmental Group, Inc. ("Miller")**, **National Vacuum Corp. ("NVC")**, **OP-TECH Environmental Services, Inc. ("OP-TECH")** and **WRS Environmental Services, Inc. ("WRS")**, which are qualified to perform such work and meet the bid requirements. The one remaining firm was not selected based on its low technical score and not fully meeting the bid requirements. It should be noted that several of the recommended firms have provided satisfactory service to the Authority under existing contracts for such work. The award of contracts to multiple firms would ensure that each Authority facility will be able to obtain sufficient resources to respond to a spill within two hours of contractor notification and that each site also has one back-up contractor for emergency situations. The new contracts would become effective on or about June 1, 2014, for an intended term of up to five years, subject to the Trustees' approval, which is hereby requested. Approval is also requested for the aggregate total amount expected to be expended for the term of the contracts, \$15 million. Such contracts will be monitored for utilization levels, available approved funding and combined total expenditures.

The contracts with **AECOM Technical Services, Inc. ("AECOM")**, **ARCADIS of New York, Inc. ("ARCADIS")**, **Kleinfelder East, Inc. ("Kleinfelder")**, **Kleinschmidt Associates, PA, PC ("Kleinschmidt")**, **Louis Berger & Associates, PC ("Berger")** and **TRC Environmental Corp. ("TRC") (Q13-5541)** would provide for on-call general environmental services to the Authority, as needed. Services to be provided by these engineering / environmental consulting firms include, but are not limited to, providing technical expertise and assistance on projects and tasks in the following two areas: 1) Environmental Operations (e.g., hazardous waste management plans, site investigations, spill prevention, control and countermeasure plans, State Pollutant Discharge Elimination System ("SPDES") permits, notices of termination, notices of intent, storm water pollution preventions plans, etc.) and 2) Studies (e.g., fisheries studies, wetland delineation and design, rare-threatened and endangered species considerations, etc.). Bid documents were developed by staff and were downloaded electronically from the Authority's Procurement website by 210 firms, including those that may have responded to a notice in the New York State *Contract Reporter*. Thirty-five proposals were received and evaluated; of this number, seven did not meet the bid requirements and were not considered further. The remaining 28 proposals were evaluated in greater detail; each proposal was rated by the evaluation team based on weighted criteria, as further set forth in the Award

Recommendation documents. Staff recommends the award of contracts to the six aforementioned firms, which were the highest scoring, most qualified bidders based primarily on their technical capability, experience in specific technical areas defined in the bid documents, pricing and responsiveness / quality of the proposal. The award of multiple contracts will provide the Authority with a vehicle for rapid response to a wide variety of emergent tasks and will ensure that adequate specialty environmental expertise is available, as needed. Work will be assigned to the firm possessing the most capability in the area required and the ability to meet the Authority's schedule and budget considerations. The contracts would become effective on or about June 1, 2014, for an intended term of up to five years, subject to the Trustees' approval, which is hereby requested. Approval is also requested for the aggregate total amount expected to be expended for the term of the contracts, \$4 million. Such contracts will be monitored for utilization levels, available approved funding and combined total expenditures.

Contract Extensions and/or Additional Funding:

Executive Offices

The contract with **Ernst & Young LLP ("EY") (4500231450)** provides for consulting and auditing services. The Authority's Board of Trustees and Executive Management Committee requested a management audit of the Authority's Procurement and Internal Audit Departments, including an assessment of the Authority's procurement policies and practices, as well as the Authority's internal audit methodology, procedures and resources for conducting internal audits. After a competitive search, the firm of Ernst & Young was awarded a contract, which became effective on May 2, 2013, for a term of less than one year, in the lump sum amount of \$365,000. It was anticipated that EY would conclude its work by October 2013. However, the EY audits were suspended from June 2013 until January 2014, when EY was requested to resume the work. Administratively, the contract term was extended through April 30, 2014. EY provided its assessments and recommendations to the Trustees in February 2014. Based on this report, EY was requested to undertake additional assessments regarding the Authority's competitive bidding processes and the Authority's internal audit function. In order to provide for the continuation of services under the existing contract, which would extend beyond one year, the President and Chief Executive Officer authorized a three-month interim extension of the subject contract through July 31, 2014 and also authorized additional funding in the amount of \$392,000, in accordance with the Authority's Guidelines for Procurement Contracts and Expenditure Authorization Procedures, subject to the Trustees ratifying such actions as soon as practicable. The current contract amount is \$757,000; staff estimates that no additional funding will be required for services to be provided during the extended term. The Trustees are requested to ratify and approve the three-month interim extension of the subject contract through July 31, 2014, as well as the additional funding previously authorized in accordance with the EAPs.

Operations Support Services

Project Management

At their meeting of September 26, 2006, the Trustees approved the award of a contract to **NAES Corp. (formerly North American Energy Services) (4500133069)** to provide for the operation and maintenance (“O&M”) of the New York City Department of Environmental Protection’s (“NYC DEP”) East Delaware and Neversink hydroelectric facilities (“Facilities”). The original award, which was competitively bid, became effective on November 29, 2006 for an initial term of 19 months, with an option to extend for two additional years. (There are provisions in the contract to extend the contract term for additional periods of time, to a maximum of nine additional years; requests to exercise any such further renewal options and approval of additional funding beyond the current levels will be presented to the Trustees for review and approval as needs arise.) Several incremental additional funding increases, as well as contract term extensions, were subsequently authorized by the Trustees, most recently at their meeting of June 26, 2012, when the approved compensation limit was increased to \$17,959,957. Since the need for such services is ongoing and the contract provides the aforementioned option for additional extension(s), a two-year extension is now requested to provide for the continuation of such services through June 30, 2016. The current contract amount is \$17,910,956; staff projects that an additional \$3,960,000 will be required for the extended term (\$2,248,860 for O&M services and \$1,711,140 to support new and/or ongoing capital projects that have been identified and agreed to by the NYC DEP for the extended term). The Trustees are requested to approve extension of the subject contract through June 30, 2016, as well as the additional funding requested, thereby increasing the approved contract value to 21,919,957. All contract renewals between the Authority and NAES are subject to the Operating Agreement between the Authority and NYC DEP. The City of New York, acting through NYC DEP, will reimburse the Authority for all direct and administrative costs.

The contract with **Northline Utilities LLC (“Northline”) (4500232210)** provides for construction services in connection with Phase 3 of the Alcoa Transmission Line Relocation Project, which entails the relocation of the existing 115 kV Transmission Lines MRG1, MRG2 and MR3 in support of Alcoa’s Massena Modernization Project. At their meeting of May 21, 2013, the Trustees approved capital expenditures in the amount of \$3,715,000 for the project, which included funding for Phase 3 construction / installation. The original award for Phase 3, which was competitively bid, became effective on May 21, 2013, for a term of less than one year and an award amount of \$2,135,916; an additional \$362,812 was subsequently authorized in accordance with the Authority’s EAPs. Delays in completing Phase 3 construction work are the result of additional work being required and long lead-times for transmission material delivery due to Hurricane Sandy. A three-month extension is now requested in order to complete the work. The current contract amount is \$2,498,728; staff anticipates that no additional funding will be required for the extended term. The Trustees are requested to approve extension of the subject contract through August 31, 2014, with no additional funding requested. It should be noted that Alcoa will reimburse the Authority for 100 percent of the cost of the project.

The contract with **Power Engineering, Inc. (4500232540)** provides for post-upgrade mechanical testing and analysis for Unit 11 of the Lewiston Pump Generating Plant (“LPGP”) at the Niagara Power Project, as part of the Authority’s Life Extension and Modernization (“LEM”)

Program. The original award, which was competitively bid, became effective on June 7, 2013, for a term of less than one year and an award amount of \$722,648 (from the approved total of \$774,098). Several equipment performance anomalies identified subsequent to the Unit's upgrade and return to service in 2013 prevented the completion of the original scope of work. Additional testing, instrumentation and investigation were required in order to determine the root cause of the anomalies and to implement short-term repairs. Additional testing and analysis needs to be performed to provide the information required to determine and implement the appropriate long-term remedial measures for this Unit and for all other such Units to be upgraded during the LEM Program, and to complete the original contract scope. A one-year extension is therefore requested in order to resolve these issues and perform this work. The current contract amount is \$722,648; staff anticipates that an additional \$509,346 will be required for the proposed extended term. The Trustees are requested to approve extension of the subject contract through June 6, 2015, as well as the additional funding requested.

FISCAL INFORMATION

Funds required to support contract services for various Business Units/Departments and Facilities have been included in the 2014 Approved O&M Budget. Funds for subsequent years, where applicable, will be included in the budget submittals for those years. Payment will be made from the Operating Fund.

Funds required to support contract services for capital projects have been included as part of the approved capital expenditures for those projects and will be disbursed from the Capital Fund in accordance with the project's Capital Expenditure Authorization Request.

RECOMMENDATION

The Vice President – Public and Regulatory Affairs and Chief of Staff, the Vice President – Environment, Health & Safety, the Acting Vice President – Project Management, the Acting Vice President – Procurement, the Vice President – Transmission, the Regional Manager – Western New York, the Regional Manager – Northern New York, the Regional Manager – Central New York and the Regional Manager – Southeastern New York recommend that the Trustees approve the award of multiyear procurement (services) contracts to the companies listed in Exhibit "A" and the extension and/or funding of the procurement (services) contracts listed in Exhibit "B," for the purposes and in the amounts discussed within the item and/or listed in the respective exhibits.

For the reasons stated, I recommend the approval of the above-requested action by adoption of the resolution below.

Gil C. Quiniones
President and Chief Executive Officer

RESOLUTION

RESOLVED, That pursuant to the Guidelines for Procurement Contracts adopted by the Authority, the award and funding of the multiyear procurement services and other contracts set forth in Exhibit "A," attached hereto, are hereby approved for the period of time indicated, in the amounts and for the purposes listed therein, as recommended in the foregoing memorandum of the President and Chief Executive Officer; and be it further

RESOLVED, That pursuant to the Guidelines for Procurement Contracts adopted by the Authority, the contracts listed in Exhibit "B," attached hereto, are hereby approved and extended for the period of time indicated, in the amounts and for the purposes listed therein, as recommended in the foregoing memorandum of the President and Chief Executive Officer; and be it further

RESOLVED, That the Chairman, the Vice Chair, the President and Chief Executive Officer, the Chief Operating Officer and all other officers of the Authority are, and each of them hereby is, authorized on behalf of the Authority to do any and all things, take any and all actions and execute and deliver any and all agreements, certificates and other documents to effectuate the foregoing resolution, subject to the approval of the form thereof by the Acting Executive Vice President and General Counsel.

Procurement (Services) Contracts - Awards
(For Description of Contracts See "Discussion")EXHIBIT "A"
May 22, 2014

Plant Site	Company Contract #	Start of Contract	Description of Contract	Closing Date	Award Basis ¹ Contract Type ²	Compensation Limit	Amount Expended To Date	Authorized Expenditures For Life Of Contract
OPERATIONS SUPPORT SERVICES - PROJECT MGMT	GREENIMAN-PEDERSEN, INC. Babylon, NY (HQ) Albany, NY (Branch Office) (4500002789)	04/30/14	Provide for consulting and inspection services relating to the application of paints and other NACE certified coatings to Authority equipment, structures and infrastructure	04/29/19	B/P	\$100,000		\$5,000,000*
OPERATIONS SUPPORT SERVICES - PROJECT MGMT	O'CONNELL ELECTRIC COMPANY, INC. Victor, NY (4500244895)	05/01/14	Provide for breaker installation and related work, as part of the T-LEM Program at the STL/FDR Project	04/30/17	B/C	\$500,000		\$10,818,950*
OPERATIONS SUPPORT SERVICES - PROJECT MGMT	O'CONNELL ELECTRIC COMPANY, INC. Victor, NY (4500245184)	05/09/14	Provide for site preparation and related work for the 765 KV Auto-Transformer Replacement Project at Massena Substation	05/08/16	B/C	\$250,000		\$5,190,480*

*Note: represents total for up to 5-year term

*Note: represents total for up to 3-year term

*Note: represents total for up to 2-year term

† M / WBE: New York State-certified Minority / Women-owned Business Enterprise (indicated by the † symbol after the Company Name)
 1 Award Basis: B= Competitive Bid; S= Sole Source; SI= Single Source; C= Competitive Search
 2 Contract Type: P= Personal Service; S= (Non-Personal) Service; C= Construction; E= Equipment; N= Non-Procurement; A= Architectural & Engineering Service; L= Legal Service

Procurement (Services) Contracts – Awards
(For Description of Contracts See "Discussion")

EXHIBIT "A"
May 22, 2014

<u>Plant Site</u>	<u>Company Contract #</u>	<u>Start of Contract</u>	<u>Description of Contract</u>	<u>Closing Date</u>	<u>Award Basis¹ Contract Type²</u>	<u>Compensation Limit</u>	<u>Amount Expended To Date</u>	<u>Authorized Expenditures For Life Of Contract</u>
OPERATIONS SUPPORT SERVICES - EH&S	TRC ENVIRONMENTAL CORP. Windsor, CT (Q14-5615; PO# TBA)	07/01/14 (on or about)	Provide for Relative Accuracy Test Audits (RATA) of Continuous Emissions Monitoring Systems at SENY sites	06/30/19	B/S			\$663,500*
						*Note: represents total for up to 5-year term		
OPERATIONS SUPPORT SERVICES - EH&S	Q14-5581; 6 awards: 1. ALLSTATE POWER VAC, INC. Rahway, NJ 2. ENVIRONMENTAL PRODUCTS & SERVICES OF VERMONT, INC. Glenmont, NY 3. MILLER ENVIRONMENTAL GROUP, INC. Calverton, NY 4. NATIONAL VACUUM CORP. Niagara Falls, NY 5. OP-TECH ENVIRONMENTAL SERVICES, INC. East Syracuse, NY 6. WRS ENVIRONMENTAL SERVICES, INC. Yaphank, NY (PO#s TBA)	06/01/14 (on or about)	Provide for on-call emergency spill response, clean-up and disposal services, as needed	05/31/19	B/S			\$15,000,000*
						*Note: represents aggregate total for up to 5-year term		

♦ **M / WBE:** New York State-certified Minority / Women-owned Business Enterprise (indicated by the ♦ symbol after the Company Name)
1 **Award Basis:** B= Competitive Bid; S= Sole Source; Si= Single Source; C= Competitive Search
2 **Contract Type:** P= Personal Service; S= (Non-Personal) Service; C= Construction; E= Equipment; N= Non-Procurement; A= Architectural & Engineering Service; L= Legal Service

Procurement (Services) Contracts – Awards
(For Description of Contracts See "Discussion")

EXHIBIT "A"
May 22, 2014

<u>Plant Site</u>	<u>Company Contract #</u>	<u>Start of Contract</u>	<u>Description of Contract</u>	<u>Closing Date</u>	<u>Award Basis¹ Contract Type²</u>	<u>Compensation Limit</u>	<u>Amount Expended To Date</u>	<u>Authorized Expenditures For Life Of Contract</u>
OPERATIONS SUPPORT SERVICES - EH&S	Q13-5541; 6 awards: 1. AECOM TECHNICAL SERVICES, INC. New York, NY 2. ARCADIS OF NEW YORK, INC. White Plains, NY 3. KLEINFELDER EAST, INC. Newburgh, NY 4. KLEINSCHMIDT ASSOCIATES, PA, PC Pittsfield, ME 5. LOUIS BERGER & ASSOCIATES, PC New York, NY 6. TRC ENVIRONMENTAL CORP. New York, NY (PO#s TBA)	06/01/14 (on or about)	Provide for on-call general environmental services	05/31/19	B/P			\$4,000,000*

*Note: represents aggregate total for up to 5-year term

◆ **M / WBE:** New York State-certified Minority / Women-owned Business Enterprise (indicated by the ◆ symbol after the Company Name)
 1 **Award Basis:** B= Competitive Bid; S= Sole Source; Si= Single Source; C= Competitive Search
 2 **Contract Type:** P= Personal Service; S= (Non-Personal) Service; C= Construction; E= Equipment; N= Non-Procurement; A= Architectural & Engineering Service; L= Legal Service

Proc Ext Exh B

Procurement (Services), Contracts - Extensions and/or Additional Funding
(For Description of Contracts See "Discussion")

EXHIBIT "B"
May 22, 2014

Plant Site/ Bus. Unit	Company Contract #	Start of Contract	Description of Contract	Closing Date	Award Basis ¹ Contract Type ²	Compensation Limit	Amount Expended To Date	Authorized Expenditures For Life Of Contract
EXECUTIVE OFFICES	ERNST & YOUNG LLP New York, NY 4500231450	05/02/13	Provide for consulting and auditing services requested by the Autho- rity's Trustees	07/31/14	B/P	\$757,000	\$365,000	\$757,000*
*Note: includes originally approved amount of \$365,000 + an additional \$392,000 authorized per the EAP's; NO ADDITIONAL FUNDING REQUESTED								
OPERATIONS SUPPORT SERVICES - PROJECT MGMT	NAES CORP. Issaquah, WA 4500133069	11/29/06	Provide for the operation and maintenance of the NYC DEP's East Delaware and Neversink hydroelectric facilities	06/30/16	B/S	\$17,910,956	\$15,589,379	\$21,919,957*
*Note: includes \$17,959,957 previously approved by the Trustees + CURRENT INCREASE OF \$3,960,000 All direct and administrative overhead costs will be reim- bursed to the Authority by the City of New York, acting through the NYC DEP.								
OPERATIONS SUPPORT SERVICES - PROJECT MGMT	NORTHLINE UTILITIES LLC Au Sable Forks, NY 4500232210	05/21/13	Provide for services in connection with the Alcoa Transmission Line Relo- cation Project	08/31/14	B/C	\$2,498,728	\$1,602,389	\$2,498,728*
*Note: represents originally approved amount of \$2,135,916 + an additional \$362,812 authorized per the EAPs NO ADDITIONAL FUNDING REQUESTED								
OPERATIONS SUPPORT SERVICES - PROJECT MGMT	POWER ENGIN- EERING INC. Irvine, CA 4500232540	06/07/13	Provide for post-upgrade mechanical testing and analysis for Unit 11 at LPGP, Niagara Project	06/06/15	B/P	\$722,648	\$475,637	\$1,283,444*
*Note: includes originally approved amount of \$774,098 + CURRENT INCREASE OF \$509,346								

† M / WBE: New York State-certified Minority / Women-owned Business Enterprise (indicated by the † symbol after the Company Name)
 1 Award Basis: B= Competitive Bid; C= Competitive Search; S= Sole Source; SI = Single Source
 2 Contract Type: P= Personal Service; S= (Non-Personal) Service; C= Construction; E= Equipment; N= Non-Procurement; L= Legal Service