

September 24, 2013

**MEMORANDUM TO THE TRUSTEES**

**FROM THE PRESIDENT and CHIEF EXECUTIVE OFFICER**

**SUBJECT: Procurement (Services) Contracts –  
Business Units and Facilities –  
Awards, Extensions and/or Additional Funding**

**SUMMARY**

The Trustees are requested to approve the award and funding of the multiyear procurement (services) contracts listed in Exhibit “A,” as well as the continuation of the procurement (services) contracts listed in Exhibit “B,” in support of projects and programs for the Authority’s Business Units/Departments and Facilities. Detailed explanations of the recommended awards and extensions, including the nature of such services, the bases for the new awards if other than to the lowest-priced bidders and the intended duration of such contracts, or the reasons for extension and the projected expiration dates, are set forth in the discussion below.

**BACKGROUND**

Section 2879 of the Public Authorities Law and the Authority’s Guidelines for Procurement Contracts require the Trustees’ approval for procurement contracts involving services to be rendered for a period in excess of one year.

The Authority’s Expenditure Authorization Procedures (“EAPs”) require the Trustees’ approval for the award of non-personal services, construction, equipment purchase or non-procurement contracts in excess of \$3 million, as well as personal services contracts in excess of \$1 million if low bidder, or \$500,000 if sole-source or non-low bidder.

The Authority’s EAPs also require the Trustees’ approval when the cumulative change-order value of a personal services contract exceeds the greater of \$500,000 or 25% of the originally approved contract amount not to exceed \$500,000, or when the cumulative change-order value of a non-personal services, construction, equipment purchase or non-procurement contract exceeds the greater of \$1 million or 25% of the originally approved contract amount not to exceed \$3 million.

**DISCUSSION**

**Awards**

The terms of these contracts will be more than one year; therefore, the Trustees’ approval is required. Except as noted, all of these contracts contain provisions allowing the Authority to terminate the services for the Authority’s convenience, without liability other than paying for acceptable services rendered to the effective date of termination. Approval is also requested for funding all contracts, which range in estimated value from \$55,000 to \$3 million. Except as

noted, these contract awards do not obligate the Authority to a specific level of personnel resources or expenditures.

The issuance of multiyear contracts is recommended from both cost and efficiency standpoints. In many cases, reduced prices can be negotiated for these long-term contracts. Since these services are typically required on a continuous basis, it is more efficient to award long-term contracts than to rebid these services annually.

### **Extensions**

Although the firms identified in Exhibit “B” have provided effective services, the issues or projects requiring these services have not been resolved or completed and the need exists for continuing these contracts. The Trustees’ approval is required because the terms of these contracts will exceed one year including the extension, the term of extension of these contracts will exceed one year and/or because the cumulative change-order limits will exceed the levels authorized by the EAPs in forthcoming change orders. The subject contracts contain provisions allowing the Authority to terminate the services at the Authority’s convenience, without liability other than paying for acceptable services rendered to the effective date of termination. These contract extensions do not obligate the Authority to a specific level of personnel resources or expenditures.

Extension of the contracts identified in Exhibit “B” is requested for one or more of the following reasons: (1) additional time is required to complete the current contractual work scope or additional services related to the original work scope; (2) to accommodate an Authority or external regulatory agency schedule change that has delayed, reprioritized or otherwise suspended required services; (3) the original consultant is uniquely qualified to perform services and/or continue its presence and rebidding would not be practical or (4) the contractor provides a proprietary technology or specialized equipment, at reasonable negotiated rates, that the Authority needs to continue until a permanent system is put in place.

The following is a detailed summary of each recommended contract award and extension.

### **Contract Awards in Support of Business Units/Departments and Facilities:**

#### **Business Services**

##### ***Energy Risk Assessment and Control***

The Authority’s Enterprise Risk Management program (“ERM program”) provides a coordinated approach to identifying, assessing and managing risks across the organization, in the areas of finance, as well as infrastructure, operational reliability, safety, workforce management, customer and legal and regulatory compliance. The Authority enlisted the services of risk management consultants to assist with program implementation and to advise staff on various risk-related matters, as needed. The Authority’s Risk Management group now plans to further develop and mature the ERM program, with the continued assistance of such consultants, to support activities that include, but are not limited to: review of governance, corporate culture and

communication materials; recommendations for incident response initiatives; identification, assessment, modeling and quantification of key risks; defining the process and development of risk tolerances and thresholds; and development of key risk mitigation strategies. Staff also intends to advance the Authority's Energy Commodity and Credit Risk Management program, with continued consulting support for tasks involving risk modeling and quantification, credit risk evaluation and energy derivative fair market valuation and/or validation. Since the existing competitively bid contracts for such services are expiring at the end of 2013, and the need for these services is ongoing, staff developed a new Request for Quotations ("**RFQ**" No. **Q13-5471**). Bid documents were downloaded electronically from the Authority's Procurement website by 78 firms, including those that may have responded to a notice in the *New York State Contract Reporter*. Eight proposals were received and evaluated. A thorough technical review of the proposals, as more fully discussed in the Award Recommendation documents, indicated that no single firm was fully responsive to all requirements set forth in the RFQ. A number of firms demonstrated specific expertise, experience, skills, strengths and qualifications in different areas that complement each other and, which taken as a whole, would provide the Authority with the ability to award specific well-defined tasks to the best-qualified firm that can complete each task most efficiently and at competitive rates. Based on the foregoing, staff recommends the award of contracts to the following five firms: **The Brattle Group, Inc. ("Brattle")**, **Deloitte & Touche LLP ("Deloitte")**, **KEMA, Inc. ("KEMA")**, **PA Consulting Group, Inc. ("PA Consulting")** and **RMG Financial Consulting, Inc. ("RMG") (PO#s TBA)**, which meet the bid requirements and are the most technically qualified to provide such risk management consulting services, on an "as needed" basis. Additionally, some of these firms have provided satisfactory services under existing contracts for such work. The new contracts would become effective on or about January 1, 2014 for an intended term of up to three years, subject to the Trustees' approval, which is hereby requested. Approval is also requested for the total amount expected to be expended for the term of the contracts, \$3 million. Total commitments and expenditures for the contracts will also be tracked against the approved aggregate total. Such contracts will be closely monitored for utilization levels, available approved funding and combined total expenditures.

## Energy Resource Management

### *Fuel Operations*

The contract with **Saybolt LP ("Saybolt") (PO# TBA)** would provide for independent petroleum inspection and other related services in connection with the delivery, transfer and storage of various types of distillate fuel oil within the New York Harbor and Long Island areas. Such services include, but are not limited to, the inspection, measurement and testing of bulk oil deliveries and transfers made via barge, tanker, pipeline or truck to the Authority's electric generating stations and/or oil storage facilities situated within the aforementioned areas for the Richard M. Flynn, 500 MW and Astoria Energy II power plants. The resulting data on oil quantity and quality provides the basis for both paying for oil delivered and assessing penalties for non-conforming oil, as well as for providing evidence of compliance with environmental quality regulations. Since the existing contract for such services expires at the end of the year, and the need for such services is ongoing, staff developed a new Request for Proposals (**QFS-2013-03**). Bids were solicited from seven firms, including those that may have responded to a

notice in the New York State *Contract Reporter*. Five proposals were received and evaluated. Based on each firm's unit pricing for the required services and forecasted demand / projected usage, staff calculated the total costs for providing such services (including a mixture of delivery modes and quantities for each mode of delivery or activity) for each of the bidders. Based on the foregoing and as further set forth in the Award Recommendation documents, staff recommends the award of a contract to Saybolt, the lowest-priced evaluated bidder, which is qualified to perform the services, is fully responsive to the Authority's bid requirements and has provided satisfactory services under an existing contract for such work. The new contract would become effective on or about January 1, 2014 for an intended term of up to three years, subject to the Trustees' approval, which is hereby requested. Approval is also requested for the total amount expected to be expended for the term of the contract, \$55,000.

## Enterprise Shared Services

### *Human Resources*

The Authority provides a comprehensive benefits program to its employees and retirees, and retains the services of a benefits consultant to review and analyze its current program, recommend alternative benefits design in response to emerging plan costs or benefits practices, perform the requisite actuarial testing and valuations, and ensure the program is in compliance with federal and state laws and regulations. The contract with **Buck Consultants LLC** ("**Buck**") (**Q13-5442; PO# TBA**) would provide for such benefits consulting and actuarial services to assist the Authority in the following areas: compliance, health care reform / benefits plan design and cost management, Request for Proposals ("RFP") process for health care plans, retiree benefits reporting and additional consulting services, as needed. Specific tasks / services include, but are not limited to: providing expert guidance on legislation and regulations that impact the Authority's retirement savings and health plans to ensure that our plans are in compliance with all applicable laws; conducting required annual coverage and nondiscrimination testing for the Authority's dependent care flexible spending account and providing an annual actuarial attestation regarding prescription drug coverage for Authority retirees; assisting Authority staff with formulating a benefits action plan to respond to health care reform mandates and to determine their financial impact on the Authority; analyzing and evaluating the costs and funding of various plans and options; managing the RFP process for health care plans, including RFP preparation and bid evaluation and analysis; preparing biennial actuarial valuations / reports of retiree benefits (Other Post-Employment Benefits other than pensions, "OPEB"), in accordance with Governmental Accounting Standards Board ("GASB") requirements, and additional consulting services for emergent issues, on an "as needed" basis. Bid documents were developed by staff and were downloaded electronically from the Authority's Procurement website by 78 firms, including those that may have responded to a notice in the New York State *Contract Reporter*. Seven proposals were received and evaluated, as further set forth in the Award Recommendation documents. Staff recommends the award of a contract to Buck, the lowest-priced bidder, which is qualified to provide such services, meets the bid requirements and has performed satisfactory services under a prior contract for such work. The new contract would become effective on or about October 1, 2013 for an intended term of up to five years, subject to the Trustees' approval, which is hereby requested. Approval is also requested for the

total amount expected to be expended for the term of the contract, \$530,000.

### ***Information Technology***

The contract with **Ricoh USA, Inc. (“Ricoh”)** (Q13-5457; PO# TBA) would provide for maintenance services for approximately 135 departmental class Multi-Function Devices (“MFDs”) that provide Managed Print Services (“MPS,” including document printing, copying, scanning and faxing) to support business functions at the Authority’s offices and facilities, as well as a dedicated on-site service manager in the White Plains Office. Bid documents were developed by staff and were downloaded electronically from the Authority’s Procurement website by 29 firms, including those that may have responded to a notice in the New York State *Contract Reporter*. One proposal was received and evaluated. Reasons provided by some of the other firms that did not submit a proposal include, but are not limited to, they are not an authorized Ricoh dealer and therefore lack access to replacement parts and cannot service Ricoh MFDs or they downloaded the bid documents for information purposes only. As the original equipment manufacturer of the vast majority of the Authority’s MFDs, Ricoh is uniquely qualified to provide such maintenance services, replacement parts and on-site support services for this equipment, including fully integrated software for MFD and MPS tracking and analysis for maintenance and management reporting. Additionally, Ricoh is an authorized service provider for several other major manufacturers of such equipment also covered under this contract. Based on the foregoing, as well as its reasonable pricing, staff recommends the award of a contract to Ricoh, which is technically qualified to perform such work, meets the bid requirements and has provided satisfactory services under the existing contract for such work. Based on past utilization history and usage projections, staff recommends that the contract be funded for a total of \$1.1 million. The new contract would become effective on or about October 1, 2013 for an intended term of up to five years, subject to the Trustees’ approval, which is hereby requested. Approval is also requested for the total amount expected to be expended for the term of the contract, \$1.1 million.

### **Operations - Support Services and - Power Generation**

The contract with **Applied Ecological Services, Inc. (“AES”)** (Q13-5470; PO# TBA) would provide for planting services in connection with the Frog Island Wetland Restoration Habitat Improvement Project (Phase II), as part of the implementation of the New License and associated Settlement Agreement commitments for the Niagara Power Project. Services include all labor and services, supervision, materials and equipment necessary to perform the work, as set forth in the bid documents. The planting contractor will supply (cultivate or procure) the specified plant species for subsequent installation of the plant material, including plants in shrub, plug and tuber form, within a newly restored wetland area in the upper Niagara River known as Frog Island. Since some of the native plant species may not be readily available, it is anticipated that such plants will need to be procured or cultivated well in advance of the scheduled planting periods, expected to be between May and July of 2014 and 2015, respectively. (It should be noted that the restoration of Frog Island (Phase I -- dredging and excavation work) is currently in progress; such work is being performed by another contractor under a separate competitively bid contract, as approved by the Trustees at their meeting of May 21, 2013.) Bid documents for

Phase II services were developed by staff and were downloaded electronically from the Authority's Procurement website by 31 firms, including those that may have responded to a notice in the New York State *Contract Reporter*. Two proposals were received and evaluated. Staff recommends the award of a contract to AES, the lower-priced bidder, which is qualified to perform such services, fully meets the bid requirements, has demonstrated relevant experience and a thorough understanding of the work scope, and has provided satisfactory service under prior and existing contracts for such work. The new contract would become effective on or about October 1, 2013 for an intended term of up to two years and three months, subject to the Trustees' approval, which is hereby requested. Approval is also requested for the total amount expected to be expended for the term of the contract, \$542,505. It should be noted that AES's proposed supplier of all plant material for this project, including herbaceous plants, riparian shrubs and submerged aquatic vegetation, is located in New York State and is a New York State-certified Women-owned Business Enterprise ("WBE").

The contract with **BRG Machinery Consulting ("BRG") (Q13-5466; PO# TBA)** would provide for consulting services to support all major rotating machinery and the Nuovo Pignone centrifugal gas compressors at the Authority's plants in the Southeastern New York ("SENY") region. Such services include, but are not limited to, providing highly specialized technical expertise on- and off-site, as needed, during scheduled periodic maintenance of such equipment (performed by another contractor under a separate competitively bid contract), as well as troubleshooting, making recommendations, diagnosing and resolving or repairing equipment failures on an emergency or other "as needed" basis. Bid documents were developed by staff and were downloaded electronically from the Authority's Procurement website by 38 firms, including those that may have responded to a notice in the New York State *Contract Reporter*; one proposal was received and evaluated. Reasons why some of the other firms did not submit a proposal include, but are not limited to, their key personnel / expertise were unavailable at this time, it was not their scope of work or they downloaded the bid documents for information purposes only. Based on its strong analytical expertise and technology coupled with practical experience, staff recommends award of a contract to BRG, the technically qualified bidder, which is capable of performing the work, compliant with the bid requirements and has provided satisfactory services under previous contracts for such work. The new contract would become effective on or about October 1, 2013 for an intended term of up to five years, subject to the Trustees' approval, which is hereby requested. Approval is also requested for the total amount expected to be expended for the term of the contract, \$450,000.

In 2001, the Authority initiated a project with the Electric Power Research Institute ("EPRI") to utilize acoustic emissions for the detection of electrical / thermal fault sources within transformers at various Authority facilities and locations. Since the project's inception, 12 Authority transformers have been tested employing this technology. Valuable condition assessment / evaluation reports, generated from the data obtained via this method of monitoring, have provided important information for operational and maintenance decisions regarding these transformers, resulting in greater reliability and safety. Since some faults within a transformer may not be revealed during short-term monitoring, the Authority is now pursuing a five-year contract to allow for the application of this technology and long-term monitoring over an extended timeframe. The proposed contract with **MISTRAS Group, Inc. ("MISTRAS") (Q13-5462; PO# TBA)** would provide for such monitoring and analysis of acoustic emission data on

transformers, reactors and other power system equipment to identify and locate the source of abnormal electrical behavior within such equipment. Services also include, but are not limited to, installation and use of leased instrumentation / equipment to conduct such testing, as well as system maintenance, data downloading and analysis, and preparation of monthly and final reports including recommendations for maintenance solutions. To that end, bid documents were developed by staff and were downloaded electronically from the Authority's Procurement website by 28 firms, including those that may have responded to a notice in the New York State *Contract Reporter*. Three proposals were received and evaluated. Based primarily on its experience utilizing acoustic emission technology on large power apparatus, and as further set forth in the Award Recommendation documents, staff recommends the award of a contract to MISTRAS, the technically qualified bidder that fully meets the bid requirements and technical specification, has demonstrated extensive relevant work experience within the electrical utility industry, and has provided satisfactory service under previous contracts for such work. The new contract would become effective on or about January 1, 2014 for an intended term of up to five years, subject to the Trustees' approval, which is hereby requested. Approval is also requested for the total amount expected to be expended for the term of the contract, \$300,000.

The contract with **Quintal Contracting Corp. ("Quintal") (RFQ 6000140180; PO# TBA)** would provide for algae removal and disposal services for the Richard M. Flynn Power Plant. Services include the removal (scraping) of algae buildup from the bottom and sides of the North and South Reinjection Basins (on an "as needed" basis, approximately seven times per year) and the addition of duct bank material (coarse sand) to the bottom of the basins to maintain basin depth within 12 inches of the top of the water inlet vault, as well as the removal of all such excavated material and proper disposal off-site. Bid documents were developed by staff and were downloaded electronically from the Authority's Procurement website by 26 firms, including those that may have responded to a notice in the New York State *Contract Reporter*. Two proposals were received and evaluated. Staff recommends the award of a contract to Quintal, the lower-priced bidder, which is qualified to perform such work, meets the bid requirements and has provided satisfactory services under an existing contract for such work. The new contract would become effective on or about October 1, 2013 for an intended term of up to five years, subject to the Trustees' approval, which is hereby requested. Approval is also requested for the total amount expected to be expended for the term of the contract, \$200,000. It should be noted that Quintal is a Small Business Enterprise.

### **Contract Extensions:**

#### **Enterprise Shared Services**

##### ***Information Technology***

At their meeting of September 28, 2010, the Trustees approved the award of competitively bid contracts to a maximum of twelve prequalified firms to provide for the services of specialized SAP temporary programming personnel, on an "as needed" basis, for an intended term of up to two years, in the aggregate amount of \$3 million. Staff indicated that contracts would only be awarded to those firms that successfully placed a candidate and all such contracts would expire on the same date, regardless of their duration. Contracts have been

awarded to four of the prequalified firms: **BayForce Technology Solutions, Inc. (“BayForce”)** (4600002707), **Carlyle Consulting Services, Inc. (“Carlyle”)** (4600002705), **Mitchell / Martin, Inc. (4600002335)** and **Sapta Global, Inc. dba Zen4IT (“Sapta”)** (4600002367). An extension of services through September 30, 2013 was authorized in accordance with the Authority’s Guidelines for Procurement Contracts and Expenditure Authorization Procedures. These services have been rebid and an evaluation of the proposals received in response to the Authority’s Request for Quotations is in progress. Staff now requests a three-month extension of the subject contracts through December 31, 2013 to allow sufficient time to complete a thorough evaluation process; the Trustees’ approval for the new awards will be sought at the December meeting. The current aggregate “Target Value” allocated to date is \$957,800 (of the originally approved \$3 million); there is sufficient funding to cover services provided during the extended term. The Trustees are requested to approve a three-month extension of the subject contracts, with no additional funding requested. It should be noted that Sapta Global is a New York State-certified Minority and Women-owned Business Enterprise (“M/WBE”).

### **Operations Support Services**

#### ***Project Management***

At their meeting of January 23, 2013, the Trustees approved the award of competitively bid contracts to three firms, **CH2M HILL, Inc. (“CH2M”)** (4600002626), **CHA Consulting, Inc. (“CHA”)** (4600002640) and **RCM Technologies, Inc. (“RCM”)** (4600002627), to provide for on-call engineering, project and construction management services to support the Authority’s Transmission Life Extension and Modernization (“T-LEM”) program and various other projects at Authority facilities throughout the state as needed, for a term of up to five years, in the initial aggregate total award amount of \$10 million. T-LEM is a multiyear program that will upgrade the Authority’s existing transmission system to maintain availability, increase reliability and ensure regulatory compliance. The Program, which encompasses Authority transmission assets in the Central, Northern and Western regions, has been divided into several projects, including: upgrades, refurbishments and replacements associated with switchyards and substations; transmission line structures or towers and associated hardware, including tower painting; replacement of the submarine cable on PV-20; and work along rights-of-way, including access roads. The work scope is a result of internal and external assessments and recommendations. Funding is requested in a tiered approach for each project as the complete plan of work is developed. Based on equipment condition and senior management’s decision to accelerate switchyard equipment replacements associated with T-LEM, additional support from the aforementioned firms is now required. The additional engineering and project management services will supplement the Authority’s existing resources and ensure the proper implementation of T-LEM. Based on the foregoing, staff recommends that additional funding in the amount of \$10 million be approved. The current aggregate “Target Value” is \$10 million. The Trustees are requested to approve the additional funding requested for the subject contracts, thereby increasing the aggregate total amount to \$20 million, in accordance with the aforementioned tiered approach. Total commitments and expenditures for these contracts will continue to be tracked against the approved aggregate total. Such contracts will be closely monitored for utilization levels, available approved funding and combined total expenditures, including travel and living expenses.

## FISCAL INFORMATION

Funds required to support contract services for various Business Units/Departments and Facilities have been included in the 2013 Approved O&M Budget. Funds for subsequent years, where applicable, will be included in the budget submittals for those years. Payment will be made from the Operating Fund.

Funds required to support contract services for capital projects have been included as part of the approved capital expenditures for those projects and will be disbursed from the Capital Fund in accordance with the project's Capital Expenditure Authorization Request.

## RECOMMENDATION

The Senior Vice President – Enterprise Shared Services, the Senior Vice President – Operations Support Services and Chief Engineer, the Senior Vice President – Power Generation, the Senior Vice President – Energy Resource Management, the Senior Vice President and Chief Risk Officer, the Vice President – Project Management, the Vice President – Engineering, the Vice President – Environment, Health and Safety, the Vice President – Procurement, the Vice President – Information Technology and Chief Information Officer, the Vice President – Project Development, Licensing and Compliance, the Vice President – Human Resources, the Director – Asset and Maintenance Management, the Regional Manager – Northern New York, the Regional Manager – Western New York, the Regional Manager – Central New York and the Regional Manager – Southeastern New York recommend that the Trustees approve the award of multiyear procurement (services) contracts to the companies listed in Exhibit “A” and the extension and/or funding of the procurement (services) contracts listed in Exhibit “B,” for the purposes and in the amounts discussed within the item and/or listed in the respective exhibits.

For the reasons stated, I recommend the approval of the above-requested action by adoption of a resolution in the form of the attached draft resolution.

Gil C. Quiniones  
President and Chief Executive Officer

Att.  
Procurement Awards and Extensions

## RESOLUTION

RESOLVED, That pursuant to the Guidelines for Procurement Contracts adopted by the Authority, the award and funding of the multiyear procurement services and other contracts set forth in Exhibit "A," attached hereto, are hereby approved for the period of time indicated, in the amounts and for the purposes listed therein, as recommended in the attached memorandum of the President and Chief Executive Officer; and be it further

RESOLVED, That pursuant to the Guidelines for Procurement Contracts adopted by the Authority, the contracts listed in Exhibit "B," attached hereto, are hereby approved and extended for the period of time indicated, in the amounts and for the purposes listed therein, as recommended in the attached memorandum of the President and Chief Executive Officer; and be it further

RESOLVED, That the Chairman, the Vice Chairman, the President and Chief Executive Officer, the Chief Operating Officer and all other officers of the Authority are, and each of them hereby is, authorized on behalf of the Authority to do any and all things, take any and all actions and execute and deliver any and all agreements, certificates and other documents to effectuate the foregoing resolution, subject to the approval of the form thereof by the Executive Vice President and General Counsel.

Procurement (Services) Contracts – Awards  
(For Description of Contracts See "Discussion")

EXHIBIT "A"  
September 24, 2013

<u>Plant Site</u>	<u>Company Contract #</u>	<u>Start of Contract</u>	<u>Description of Contract</u>	<u>Closing Date</u>	<u>Award Basis<sup>1</sup> Contract Type<sup>2</sup></u>	<u>Compensation Limit</u>	<u>Amount Expended To Date</u>	<u>Authorized Expenditures For Life Of Contract</u>
BUSINESS SERVICES - ERAC	<b>Q13-5471; 5 awards:</b> <b>1. DELOITTE &amp; TOUCHE LLP</b> New York, NY <b>2. KEMA, INC.</b> Burlington, MA <b>3. PA CONSULTING GROUP, INC.</b> New York, NY <b>4. RMG FINANCIAL CONSULTING, INC.</b> Colbert, WA <b>5. THE BRATTLE GROUP, INC.</b> Cambridge, MA (PO#s TBA)	01/01/14 (on or about)	Provide for risk management consulting services to support the following: enterprise risk management program, risk modeling and quantification, credit risk and energy derivative fair market valuation and/or validation	12/31/16	B/P			<b>\$3,000,000*</b>
							*Note: represents aggregate total for up to 3-year term	
ENERGY RESOURCE MGMT - FUEL OPERATIONS	<b>SAYBOLT LP</b> Houston, TX (HQ) Linden, NJ (Branch Office) (QFS-2013-03; PO# TBA)	01/01/14 (on or about)	Provide for independent petroleum inspection and other related services	12/31/16	B/P			<b>\$55,000*</b>
							*Note: represents total for up to 3-year term	

♦ **M / WBE:** New York State-certified Minority / Women-owned Business Enterprise (indicated by the ♦ symbol after the Company Name)  
 1 **Award Basis:** B= Competitive Bid; S= Sole Source; Si= Single Source; C= Competitive Search  
 2 **Contract Type:** P= Personal Service; S= (Non-Personal) Service; C= Construction; E= Equipment; N= Non-Procurement; A= Architectural & Engineering Service; L= Legal Service

**Procurement (Services) Contracts – Awards**  
(For Description of Contracts See "Discussion")

EXHIBIT "A"  
September 24, 2013

<u>Plant Site</u>	<u>Company Contract #</u>	<u>Start of Contract</u>	<u>Description of Contract</u>	<u>Closing Date</u>	<u>Award Basis<sup>1</sup> Contract Type<sup>2</sup></u>	<u>Compensation Limit</u>	<u>Amount Expended To Date</u>	<u>Authorized Expenditures For Life Of Contract</u>
ENTERPRISE SHARED SERVICES - HUMAN RESOURCES	<b>BUCK CONSULTANTS LLC</b> Stamford, CT and New York, NY (Q13-5442; PO# TBA)	10/01/13 (on or about)	Provide for consulting and actuarial services in connection with the Authority's employee benefits program	09/30/18	B/P			<b>\$530,000*</b>
						*Note: represents total for up to 5-year term		
ENTERPRISE SHARED SERVICES - IT	<b>RICOH USA, INC.</b> Purchase, NY (Q13-5457; PO# TBA)	10/01/13 (on or about)	Provide for maintenance of the Authority's Multi-Function Devices that provide Managed Print Services (document printing, copying, scanning & faxing) to support business functions at Authority offices & facilities + a dedicated on-site service manager at WPO	09/30/18	B/S			<b>\$1,100,000*</b>
						*Note: represents total for up to 5-year term		
OPERATIONS SUPPORT SERVICES - PROJECT DEV., LICENSING & COMPLIANCE	<b>APPLIED ECOLOGICAL SERVICES, INC.</b> Brodhead, WI (Q13-5470; PO# TBA) <b>NOTE:</b> all plant material will be supplied by a NYS-certified WBE located in NYS	10/01/13 (on or about)	Provide for planting services for Phase II of the Frog Island Wetland Restoration Habitat Improvement Project, in accordance with the New License and Settlement Agreement commitments for the Niagara Project	12/31/15	B/C			<b>\$542,505*</b>
						*Note: represents total for up to 2.25-year term		

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**1 Award Basis:** B= Competitive Bid; S= Sole Source; Si= Single Source; C= Competitive Search  
**2 Contract Type:** P= Personal Service; S= (Non-Personal) Service; C= Construction; E= Equipment; N= Non-Procurement; A= Architectural & Engineering Service; L= Legal Service

**Procurement (Services) Contracts – Awards**  
 (For Description of Contracts See "Discussion")

**EXHIBIT "A"**  
 September 24, 2013

<u>Plant Site</u>	<u>Company Contract #</u>	<u>Start of Contract</u>	<u>Description of Contract</u>	<u>Closing Date</u>	<u>Award Basis<sup>1</sup> Contract Type<sup>2</sup></u>	<u>Compensation Limit</u>	<u>Amount Expended To Date</u>	<u>Authorized Expenditures For Life Of Contract</u>
OPERATIONS - POWER GEN - SENY Plants	<b>BRG MACHINERY CONSULTING</b> Charlottesville, VA (Q13-5466; PO# TBA)	10/01/13 (on or about)	Provide for consulting services to support all major rotating machinery and NP centrifugal gas compressors at SENY plants	09/30/18	B/P			<b>\$450,000*</b>
						*Note: represents total for up to 5-year term		
OPERATIONS SUPPORT SERVICES - ASSET & MAINTENANCE MANAGEMENT	<b>MISTRAS GROUP, INC.</b> Princeton Junction, NJ (Q13-5462; PO# TBA)	01/01/14 (on or about)	Provide for monitoring and analysis of acoustic emission data on transformers, reactors and other power equipment	12/31/18	B/P			<b>\$300,000*</b>
						*Note: represents total for up to 5-year term		
OPERATIONS - POWER GEN - FLYNN	<b>QUINTAL CONTRACTING CORP.</b> Islip, NY (RFQ 6000140180; PO# TBA)	10/01/13 (on or about)	Provide for algae removal and disposal services for the Flynn Plant	09/30/18	B/S			<b>\$200,000*</b>
						*Note: represents total for up to 5-year term		

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**Procurement (Services) Contracts – Extensions and/or Additional Funding**  
 (For Description of Contracts See "Discussion")

<u>Plant Site/ Bus. Unit</u>	<u>Company Contract #</u>	<u>Start of Contract</u>	<u>Description of Contract</u>	<u>Closing Date</u>	<u>Award Basis<sup>1</sup> Contract Type<sup>2</sup></u>	<u>Compensation Limit</u>	<u>Amount Expended To Date</u>	<u>Authorized Expenditures For Life Of Contract</u>
ENTERPRISE SHARED SERV - IT	<b>4 related contracts:</b>		Provide for services of temporary SAP programming personnel	12/31/13	B/S	<b>\$957,800</b> (Aggregate Target Value allocated to date)		<b>\$3,000,000*</b>
	<b>1. BAYFORCE TECHNO- LOGY SOLUTIONS, INC.</b> Tampa, FL <b>4600002707</b>	06/25/13						
	<b>2. CARLYLE CONSULT- ING SERVICES, INC.</b> New York, NY <b>4600002705</b>	07/14/13						
	<b>3. MITCHELL / MARTIN INC.</b> New York, NY <b>4600002335</b>	10/01/10						
	<b>4. SAPTA GLOBAL, INC.♦ dba ZEN4IT</b> Woodbridge, NJ <b>4600002367</b>	01/10/11						
OPERATIONS SUPP SERV - PROJECT MANAGEMENT	<b>3 related contracts:</b>	01/23/13	Provide for on-call engineering, project and construction management services for the Authority's T-LEM program and various other projects to support all facilities, as needed	12/29/17	B/P	<b>\$10,000,000</b> (Aggregate Target Value allocated)		<b>\$20,000,000*</b>
	<b>1. CH2M HILL INC.</b> Englewood, CO <b>4600002626</b>							
	<b>2. CHA CONSULT- ING INC.</b> Albany, NY <b>4600002640</b> [continued on next page]							

\*Note: represents originally approved aggregate total amount;  
**NO ADDITIONAL FUNDING requested**

\*Note: includes originally approved initial aggregate total award amount of \$10M + **CURRENT INCREASE of \$10 million**

♦ **M / WBE:** New York State-certified Minority / Women-owned Business Enterprise (indicated by the ♦ symbol after the Company Name)  
 1 **Award Basis:** B= Competitive Bid; C= Competitive Search; S= Sole Source; Si = Single Source  
 2 **Contract Type:** P= Personal Service; S= (Non-Personal) Service; C= Construction; E= Equipment; N= Non-Procurement; L= Legal Service

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<u>Plant Site/ Bus. Unit</u>	<u>Company Contract #</u>	<u>Start of Contract</u>	<u>Description of Contract</u>	<u>Closing Date</u>	<u>Award Basis<sup>1</sup> Contract Type<sup>2</sup></u>	<u>Compensation Limit</u>	<u>Amount Expended To Date</u>	<u>Authorized Expenditures For Life Of Contract</u>
	3. RCM TECH- NOLOGIES INC. Pennsauken, NJ 4600002627							

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